

11 **1. Abstract**

12 **Background:** Rice intake is a major route of chronic, oral exposure to inorganic arsenic (iAs), a known
13 human carcinogen. Regular rice consumers are under an elevated risk of lung and bladder cancers
14 associated with exposure to iAs via daily rice intake. The feasibility of reducing rice consumption as a
15 potential mean to mitigate iAs-related health risk has not been adequately studied.

16 **Objective:** This paper aims to i) identify social-behavioral determinants of rice consumption in rice
17 consumers; and ii) explore the feasibility of the altering the risk perceptions and behavior of rice
18 consumption through the communication of risk information.

19 **Methods:** Rice consumers were recruited on the campus of Indiana University Bloomington (IUB). The
20 social-behavioral determinants of consumption in rice consumers were identified using a psychometrical
21 questionnaire, which was constructed by the formula of Health Belief Model. Theoretical framework of
22 rice consumption behavior was devised based on identified determinants. An educational material was
23 designed by organizing risk information related to the exposure of iAs through rice consumption by the
24 proposed framework, with highlights on the identified determinants. The impact and effectiveness of
25 the material was evaluated using a randomized controlled trial (n=136) that subjects were randomly
26 assigned to treatment (n=67) and control groups (n=69), while only the treatment received the
27 educational material as intervention. Psychometrical measurements were conducted after the
28 intervention to compare the differences in risk perceptions and behavior related to rice consumption
29 between the treatment and control groups.

30 **Results:** Risks of lung and bladder cancers in our sample of college rice consumers are five times greater
31 than the general U.S. population. Perceived risk is a strong predictor of changes in rice consumption
32 behavior, while perceived barrier might also have substantial influences. Our risk communication
33 intervention improved the perception of susceptibility and seriousness, while had not direct impact on
34 short-term rice consumption.

35 **Keywords:** rice, arsenic, randomized controlled trial, intervention, health belief model

36

37 2. Background

38 *2.1 Elevated Exposure to Inorganic Arsenic and Health Risks in Rice Consumers*

39 Inorganic arsenic is a naturally occurring element that widely exists in the earth's crust. Inorganic arsenic
40 has been long known for its toxicity. Inorganic arsenic (iAs) is a group 1 human carcinogen (International
41 Agency for Research on Cancer [IARC], 2012). Acute exposure to large doses of iAs causes severe
42 symptoms such as vomiting, muscle cramping and even death in extreme cases (Agency for Toxic
43 Substances and Disease Registry [ATSDR], 2007). Chronic exposure to low dose of iAs can impose serious
44 health burdens as well, such as skin lesions, cardiovascular diseases, developmental neurological
45 disorders and cancers at skin, lung and bladder (Gomez-Caminero et al., 2001; ATSDR, 2007; ATSDR,
46 2016). Drinking water and diet are the primary pathways of exposure in the non-occupationally exposed
47 population.

48 Among all varieties of food items, rice is the biggest contributor to dietary exposure of iAs due to rice's
49 ability to accumulate arsenic and magnitude of consumption (U.S. FDA, 2016). Rice, as a semi-aquatic
50 food crop that grows in flooded fields, can take up and accumulate arsenic more efficiently from
51 surrounding soil and water than barley and wheat (Su et al., 2010). Indeed, elevated concentrations of
52 iAs have been found in rice samples from around the world (Zhu et al., 2008; European Food Safety
53 Authority [EFSA], 2009; Joint FAO/WHO Expert Committee on Food Additives [JECFA], 2011; U.S. Food
54 and Drug Administration [U.S. FDA], 2013). Furthermore, rice is a widely accessible and popular staple
55 food that feeds billions of people around the world.

56 Quantitative studies of the exposure to iAs via rice intake demonstrate that the exposure displays
57 distinctive patterns of distributions across the U.S. population, while some subpopulations are found to
58 have an alarming level of exposure. The entire population has a low average exposure of 31.9 ng iAs/ kg
59 body weight/ day (U.S. FDA, 2016). However, since the exposure climbs proportionally to the rate of rice
60 intake, subpopulations that consume considerably higher amount of rice are at elevated level of
61 exposure to iAs through daily rice consumption. Mantha et al. (2017) reports that the estimated daily iAs
62 in Tribal, Asian and Pacific American (\bar{x} = 2.8 μ g iAs/day) is about three times greater than that in the
63 entire population (\bar{x} = 1.1 μ g/day). In addition to Asian Americans, multiracial groups, Mexican
64 Americans and 22% of Caucasian population have up to ten times larger rates of rice consumption in
65 their regular diet (U.S. FDA, 2016). The elevated exposure to iAs in the high-consumption population is

66 not well represented in the weighted average level of exposure in the entire U.S. population, due to the
67 small population size of these subpopulations (< 3.4% of total U.S. population).

68 Health risks associated with the exposure to iAs via rice intake in U.S. rice consumers are of great
69 concern. Elevated exposure in rice consumers leads to escalation in associated health risks. A total of 39
70 lifetime cases of lung and bladder cancers (best studied cancer endpoints) per million population was
71 estimated in the entire U.S. population (U.S. FDA). As a comparison, predicted lifetime cases of lung and
72 bladder cancers in the high-consumption subpopulations can reach almost ten times higher than that in
73 the general population, which consists of a large proportion of non-consumers of rice.

74 *2.2 Risk Mitigation by Reducing Rice Consumption*

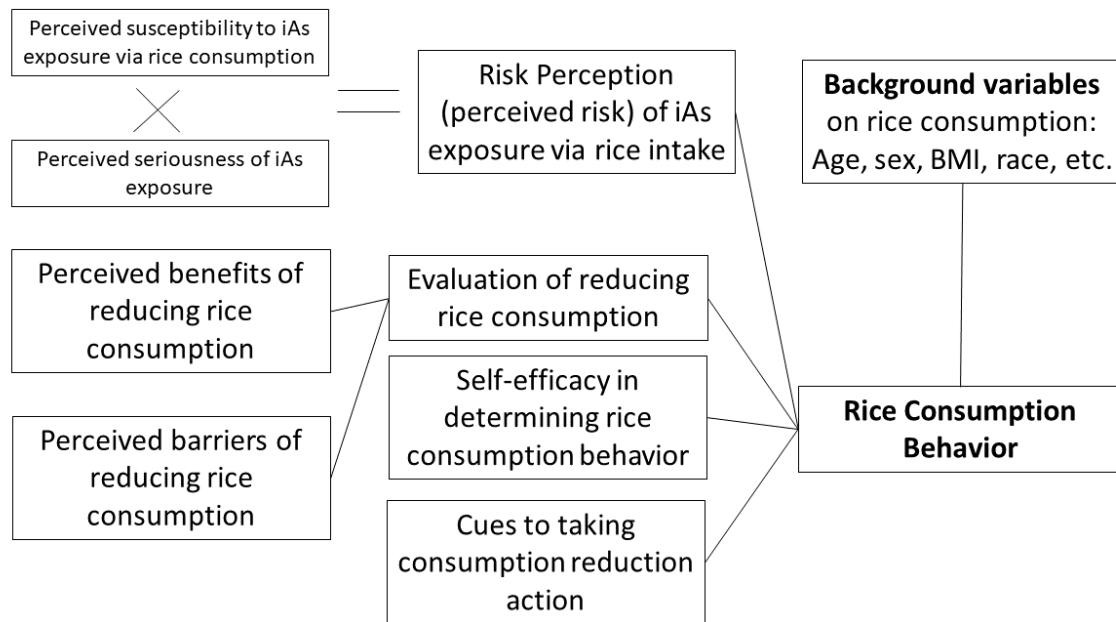
75 The excessive health risks associated with iAs exposure via rice intake in the aforementioned
76 subpopulations are not currently addressed by regulatory actions. U.S. FDA (2016) concluded that the
77 estimated lung and bladder cancer cases caused by iAs exposure via rice (39 cases per million) in the U.S.
78 general population is a small proportion relative to cases lung and bladder cancers of all causes (90,000
79 cases per million). In addition, imposing limits on the iAs concentrations in rice would significantly
80 damage the U.S. rice supply on the market. For example, a 100 ppb limit of iAs concentration on rice and
81 rice products would exclude 93% of existing brown rice market since U.S. FDA (2016) found only 7% of
82 brown rice and rice products have iAs concentrations lower than 100 ppb. Cutting the limit to 75 ppb
83 would further eliminate all existing brown rice supply from the market.

84 Strategies to mitigate health risks related to iAs exposure via rice intake other than regulatory limit have
85 been intensively discussed, targeting various phases from industrial stages such as agronomic
86 production and processing of rice to individual stages such as cooking practice and consumer preference
87 of rice (Nachman et al., 2018). However, little attention has been paid to the approach of mitigating
88 exposure by directly reducing rice consumption. As mentioned in section 1.1, amount of rice
89 consumption is a key determining factor of iAs exposure through rice that level of exposure escalates
90 proportionally to the rate of rice intake. Lowering the rate of rice consumption from three occasions per
91 day to one occasion per day can effectively reduce the estimated lifetime cases of lung and bladder
92 cancers from 408 to 136 per million population (U.S. FDA, 2016). Yet, the feasibility and practicability of
93 modifying individual rate of rice consumption has not been adequately explored.

94 *2.3 Using Health Belief Model in Assist of Risk Communication to Reduce Rice*
95 *Consumption*

96 Risk communication is a practice to communicate risk information to the target audience that has been
97 widely applied in public health and risk management. According to Gerrard et al. (1999), the
98 fundamental assumption of risk communication is that being more knowledgeable about consequences
99 of risk behavior can enhance the individuals' ability to make decisions on precautionary/ preventative or
100 risk behavior. Risk communication promotes risk-related decision-making capability in a way that being
101 informed about the consequences of risk behavior influences individuals' risk perceptions and invokes
102 subsequent behavioral changes (Gerrard et al., 1999). Having rice consumers aware of the iAs exposure
103 and its associated health risks might modify rice consumers' perceptions regarding the negative
104 outcomes of rice consumption, which might further induce changes in rice consumption.

105 The framework of Health Belief Model (HBM) is used to identify social-behavioral determinants of rice
106 consumption other than the risk perception. HBM has been demonstrated to be a powerful explanatory
107 tool to predict preventative behavior (Champion & Skinner, 2008) and a successful guidance for the
108 development of communication intervention (Carpenter, 2010). The evolved HBM framework posits five
109 core constructs (Champion & Skinner, 2008): i) perceived threat from the risk behavior, ii) perceived
110 benefit of the precautionary/ preventative action, iii) perceived barrier in executing the preventative
111 action, iv) cues to action such as "how to" instructions and v) self-efficacy as individual's expectancy to
112 successfully take the preventative action. The construct perceived threat is similar but not equal to risk
113 perception. Perceived threat be further unfolded as perceived susceptibility and perceived seriousness,
114 while perceived risk is the product of likelihood and hazard. Although the equivalency can be assumed
115 between likelihood and susceptibility and between hazard and seriousness, the multiplicative
116 relationship between susceptibility and seriousness is not included in perceived threat. Therefore, a
117 modified version of HBM, replacing perceived risks for perceived threats, is used in this study. Structure
118 of the modified HBM is shown in figure 1. The application of HBM requires construct definitions to be
119 consistent with the original theory and specific to the context of application as well. The variability in
120 construct measurements also requires the construct validity and reliability to be examined with each
121 study.



122

123 Figure 1 Structure of Health Belief Model of Predictors on Rice Consumption Behavior

124 The objective of this study is to i) identify social-behavioral determinants of rice consumption behavior
 125 in rice consumers and ii) evaluate the feasibility of altering the risk perception and behavior of rice
 126 consumption through risk communication. This paper is structured to answer the following questions: 1)
 127 Is our psychometrical measurement designed under HBM able to identify and capture the social-
 128 behavioral determinants of consumption reduction behavior in rice consumers? 2) Is risk perception one
 129 of the determinants of the consumption reduction behavior, or in other words whether changes in risk
 130 perception relates to the consumption reduction behavior? 3) Can our communication material cause
 131 any changes in risk perceptions? 4) Finally, can our communication material cause any direct changes in
 132 reduction behavior?

133 3. Methods

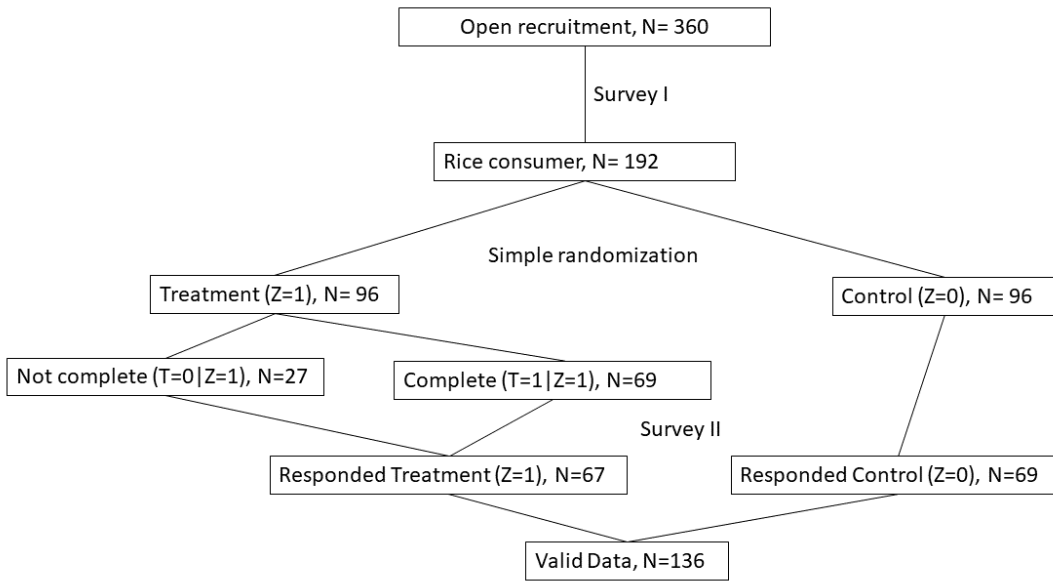
134 3.1 Subject Recruitment and Inclusion

135 A total of 360 adult participants from the campus of Indiana University Bloomington (IUB) voluntarily
 136 enrolled through April to November of 2019. A flyer was designed for recruitment purpose to include
 137 title, investigator, contact information, eligibility of participation. This study was described as “staple
 138 food” study instead of “rice” on the flyer to reduce potential interview bias. Consequently, there were

139 two eligibility criterion that the participant must be adult and a regular consumer of any type of staple
140 food (e.g. wheat, potato and rice). Flyers were distributed in buildings, facilities and dorms across IUB
141 campus. Our study was entire constructed online that voluntary participants were directly by a link and
142 a QR code on the flyer to our study webpage, where they can read the informed consent of this study.
143 After granting their consent, participants were asked to take a short, preliminary online questionnaire
144 survey on Qualtrics in which socio-demographic variables, baseline consumption behavior of wheat,
145 potato and rice and baseline risk perceptions were asked. Data of rice consumption were analysed to
146 identify regular rice consumers who eat more than half serving (50g cooked weight) of rice per day. A
147 total of 192 identified rice consumers were included in the randomized controlled trial.

148 *3.2 Randomized Controlled Trial*

149 Flow diagram of this study is shown in figure 2. A simple randomization design was applied that 192
150 subjects were randomly assigned to either treatment or control group (n=96 each). The intervention
151 program, an online video presentation, was administered to only subjects in the treatment group
152 through email. 82% of subjects in the treatment group actually finished the intervention program. One
153 month after the completion of intervention, all subjects in both groups were asked to take a final survey
154 that is an online questionnaire on Qualtrics, in which both behavior and risk perceptions were
155 investigated. Given the interval between intervention and the final survey, the survey reflects only
156 short-term outcomes. A total of 136 responses (treatment n=67, control n=69) were received with
157 balanced attrition rate (28%) in the two groups. Monetary incentives were provided for the completion
158 of the study.



159
 160 Figure 2 Flow Diagram of This Study

161 *3.3 Instrument*

162 As explained in section 1.4, both dietary behavior and social-behavioral determinants were surveyed for
 163 wheat, potato and rice in order to reduce interview bias. Questions in each section were first
 164 determined for rice as a template, then adjusted correspondingly for wheat and potato.

165 The dietary questionnaire used in both the preliminary survey and final survey was designed based on
 166 the instrument used in What We Eat in America 2015-2016 (U.S. Department of Agriculture [USDA],
 167 2015). Our adopted dietary questionnaire has also been validated in a previous study (Zhou et al., 2020).
 168 Rice consumption behavior is investigated through the measurement of the amount consumed per
 169 serving (i.e. serving size is not necessarily the same across individual), number of servings consumed
 170 each day during the last two days of survey, weekly average servings consumed, type of rice consumed
 171 and cooking methods. Daily intake rate is calculated separately from two-day average and from weekly
 172 average. An adjusted daily intake rate is estimated by taking the mean of the two intake rates.

173 As described in section 1.3, our questions were designed based on HBM constructs to identify
 174 determinants of rice consumption and change in consumption behavior. A seven-level Likert scale
 175 format is used that subjects choose from strongly disagree to strongly agree. Questions (called as
 176 “items” in HBM) are consistent to the concept of HBM constructs and also specific to the risk

177 perceptions and health beliefs associated with the consumption of each staple food in our study. 20
178 items were initially drafted and reviewed by an advisory board, consisting of both HBM specialists and
179 actually consumers of the three staple food. Items related to the benefits and barriers of changing
180 consumption behavior were modified according to suggestions from the advisory board. The final
181 version retains 16 items, representing the five core HBM constructs (4 for perceived risk, 2 for perceived
182 benefits, 4 for perceived barriers, 3 for cues to action and 3 for self-efficacy). Items are examined for
183 construct validity, reliability and predictive validity.

184 Construct validity is tested using exploratory factor analysis to determine the structure of interrelations
185 between items (Nunnally 1994). A principal component analysis (PCA) method is used to identify factors
186 with eigenvalue greater than 1. Items are expected to be factored into five independent scales,
187 correspond to the five constructs. Varimax rotation is used to organize the component matrix. The
188 relationship between item and factor is identified if the loading of the item is greater than 0.4 on the
189 factor and lower than 0.4 for all other factors (Wardle et al., 2003).

190 Reliability is tested for items retained with enough construct validity from two aspects: internal
191 consistency and test-retest reliability. Internal consistency of items in one factor, or in other words
192 associated with one construct, is confirmed if the Cronbach's alpha value is greater than 0.6. Score of
193 individual scale is calculated as the sum of scores of items under the scale. Test-retest reliability is
194 calculated as the correlation of scales between the preliminary test and the final test, using data only
195 from the control group as changes of scales are expected caused by our intervention in the treatment
196 group. Predictive validity was examined on how good the scales predict the outcome variables, i.e.
197 reduction in consumption in this study using multiple regression.

198 Both dietary and psychometrical questionnaire can be found in the supplementary material.

199 *3.4 Intervention Material*

200 Successful risk communication requires the dissemination of accurate risk information to the target
201 audience in a comprehensible way. The risk-communication based intervention is to deliver general
202 information pertaining to the risk of iAs exposure through rice intake. Emphases are made on the
203 following facts regarding risk perception according to HBM: 1) chronic exposure to iAs, even at low dose,
204 can cause severe adverse health effects, 2) rice consumption is the biggest source of dietary exposure to
205 iAs and 3) rice consumers are indeed under greater risks of lung and bladder cancers. The three
206 statements reflect the two critical components of risk perception, susceptibility and seriousness, of iAs

207 exposure in rice consumers. Facts are based on data from peer-reviewed journal articles and other
208 credible sources such as U.S. FDA (2016) and Dartmouth Toxic Metals Superfund Research Program
209 (2017). Language of eighth grade is used to ensure comprehensibility. Given our target audience of
210 college population (mostly students), information was eventually organized into an online presentation
211 on Qualtrics, which can be accessed by individual at any time during the intervention stage through a
212 personal link. Progress and completion of the presentation is recorded by Qualtrics automatically. Script
213 of the presentation is available in the supplementary material.

214 3.5 Models for Intervention Evaluation

215 The impact of our intervention on rice consumption and risk perception is evaluated using a two-stage
216 casual linear model. The average Treatment on the Treated (TOT), or in other term the impact of
217 receiving the intervention is represented by a Wald estimator as equation 1:

$$218 \quad TOT = \frac{ITT}{Compliance Rate} \quad eq.1$$

219 Where *Intent to Treat (ITT)* = $E(Y|Z = 1) - E(Y|Z = 0)$, compliance rate = $E(T|Z = 1) - E(T|Z =$
220 $0)$. Since intervention material can only be accessed through a personal link by subjects in the treatment
221 group, no subject in the control group could access the intervention that $E(T|Z=0)=0$. Therefore,
222 compliance rate can be simplified as $E(T|Z = 1)= 1-28\%= 72\%$. The equation of TOT can be written as
223 $TOT= ITT / 0.72$.

224 The analysis of rice consumption focuses on comparing the differences in the daily consumption rate
225 post intervention between the treatment and control groups. Assuming a successful randomization, all
226 observable and non-observable characteristics and events are matched between the two groups that
227 difference in average rice consumption rate between groups is the impact of the intervention.
228 Specifically, the model with covariates is estimated as equation 2 (ITT):

$$229 \quad Y_i(IR) = \alpha_0 + \alpha_1 * Z_i + \alpha_2 * X_i + \varepsilon \quad eq.2$$

230 Where IR means post rice intake rate, X are covariates for rice consumption including pre rice intake
231 rate and other socio-demographic variable. Pre intake rate is added as candidate covariate so that each
232 subject serves as its own control to account for within-subject variation.

233 The analysis of risk perception focuses on comparing the differences in the scores of each of the five
234 scales post intervention between the treatment and control groups. Holding the same assumption of

235 randomization, difference in average score between groups is the impact of the intervention. I
 236 estimated this model with a different set of candidate covariates as equation 3 (ITT):

$$237 \quad Y_i(\text{scale}) = \alpha_0 + \alpha_1 * Z_i + \alpha_2 * X'_i + \varepsilon \quad \text{eq. 3}$$

238 Where scale refers to each of the five scales that a total of five submodel of Y (scale) were tested.
 239 X' are covariates for scales that pre rice intake is no longer included. Instead, the score of
 240 corresponding scale before intervention is used for the same purpose as in equation 2 to control for
 241 within-subject variation. For example, the submodel of Y(risk perception) has the pre score of risk
 242 perception as candidate covariate.

243 3.6 Statistical Analysis

244 The principal component analysis was performed using SPSS (IBM Corp. 2017). Cronbach's alpha,
 245 reliability correlation, multiple regression and ordinary least square regression were performed using R
 246 (R core team, 2013). Model selection was performed using stepwise comparison in R.

247 4. Results

248 4.1 Characteristics of College Rice Consumers and Risk Estimation

249 Table 1 Characteristics of Subjects by Groups

Demographic variables	Total (n=136)	Treatment (n=67)	Control (n=69)	Difference p value ¹
gender (male =1, female =2)	Male n=52 (39%), female n=82 (61%)	1.606 ²	1.618	0.8916
age	23.5 (0.354) ³	24.1 (0.531)	22.9 (0.464)	0.1022
height (cm)	170.9 (0.861) ³	170.2 (1.294)	171.4 (1.145)	0.4844
weight (kg)	68.1 (1.361) ³	66.7 (1.649)	69.5 (2.151)	0.3052
race (1=white, 2=black, 3=asian, 4=hispanic, 5=other)	White n=69 (51%), black n=9 (7%), asian n=47 (35%), hispanic n=8 (6%), other n=3 (2%)	2.104 (0.148) ³	1.942 (0.129)	0.4083
US Born (0=no, 1=yes)	Born in US n=104 (76%), Not in US n=30 (24%)	0.742 ²	0.809	0.3612

marriage (0=single, 1=in relationship/ married)	Single n= 110 (81%), in relationship/ married n=25 (19%)	0.179 ²	0.191	0.858
Employment(0=unemployed, 1=employed)	Employed n=77 (n=57%), unemployed n=54 (43%)	0.548 ²	0.623	0.3898
tobacco (0=no, 1=yes)	Nonsmoker n=129 (95%), smoker n=7 (5%)	0.089 ²	0.014	0.0515
alcohol (0=no, 1= yes)	Consumer n=91 (67%), non-consumer n=41 (33%)	0.769 ²	0.612	0.051
Daily Rice intake (g/ D)	139.0 (9.6) ³	151.4 (15.7)	126.7 (11.1)	0.2019
Daily Wheat intake (g/ D)	226.9 (17.9) ³	251.7 (32.8)	203.1 (15.1)	0.1919
Daily Potato intake (g/ D)	98.7 (7.5) ³	97.4 (11.0)	100.0 (10.4)	0.8778

250 ¹ independent two sample t test between treatment and control at 95% confidence level. ² variables of
251 gender, US Born, marriage, employment, tobacco and alcohol are coded in binary status that group
252 specific values can be interpreted as the percentage of responses with Yes (female for gender). ³ mean
253 (standard error)

254 Characteristics of subjects are displayed in table 1 combined and by groups. As expected, our sample
255 population of rice consumers can be profiled as young (age \bar{x} = 23.5), mostly domestic, non-smoking
256 college students (\bar{x} = 76%). More female rice consumers (\bar{x} =61%) were recruited than males. Individuals
257 of Asian ethnicity take a large proportion of our sample (35%, relative to 5.6% of national population),
258 consistent with the fact that the Asian American population has higher rice intake (Mantha et al. 2017;
259 U.S. FDA, 2016). The average daily intake rate of in our sample is 139 g/D (about one and half serving/D).
260 Assuming this dietary behavior to be maintained throughout lifetime, more than 200 cases of lung and
261 bladder cancers per million population would be expected which is five times higher than the general
262 population.

263 No significant difference is found between treatment and control groups in any of the observed
264 variables as shown in the last column of table 1. Notably, the treatment group has a larger mean and a
265 larger standard error of daily rice intake than the control group. This larger within-group variance in the
266 treatment group does not invalidate our assumption of randomization. However, it does support our
267 inclusion of pre intervention intake as candidate covariate in the ITT model of intake rate (X in equation
268 1).

270 Table 2 Validation of Psychometrical Instrument²

Rotated Component Matrix (n=136)					
Component Loading ¹					Question
BAR	EFF	CTA	RISK	BEN	
0.845					<i>Compared with other staple food, rice are: - Easy to purchase</i>
0.796					<i>Compared with other staple food, rice are: - Affordable</i>
0.737					<i>Compared with other staple food, rice are: - Easy to prepare</i>
0.581					<i>Compared with other staple food, rice are: - Delicious</i>
	0.788				<i>I can completely decide the amount of staple food I want to eat</i>
	0.775				<i>I can completely decide the way in which my staple food is prepared.</i>
	0.775				<i>I can completely decide what kind of staple food I want to eat</i>
	0.417		0.405	0.312	<i>I am vulnerable to long-term low-level inorganic arsenic exposure because of my diet.</i>
		0.819			<i>I would try to reduce rice consumption if recommended by family members or friends</i>
		0.794			<i>I would try to reduce rice consumption if I read information on the mass media</i>
		0.763			<i>I would try to reduce rice consumption if recommended by a doctor</i>
			0.809		<i>Long-term exposure to low-level inorganic arsenic will put a heavy burden on my life</i>
			0.755		<i>Long-term exposure to low-level inorganic arsenic can cause various diseases including cancer</i>
			0.711		<i>I am worried a lot about my long-term exposure to low-level inorganic arsenic.</i>
				0.944	<i>Rice consumption is an important source of long-term exposure to inorganic arsenic.</i>
				0.939	<i>Reducing rice consumption can help to reduce the long-term exposure to inorganic arsenic.</i>
BAR	EFF	CTA	RISK	BEN	
Variance Explained (n=136)					
3.245	2.585	1.791	1.564	1.459	Eigenvalues
20.3	36.4	47.6	57.4	66.5	Cumulative percentage of total variance explained (%)
Internal Consistency (n=136)					
0.735	0.74	0.737	0.682	0.853	Cronbach's alpha
Test-retest Reliability					
0.42*	0.51*	0.56*	0.64*	0.42*	Pearson's r
**	**	**	**	**	

271 ¹ BAR= perceived barriers, EFF= self-efficacy, CTA= cues to action, RISK= perceived risk/ risk perception,

272 BEN= perceived benefits ² ' p < .1, * p < .05, ** p < .01, *** p < .001.

273 The construct validity was confirmed by the results of exploratory factor analysis. Results of principal
 274 component analysis (PCA) and Varimax rotation are shown in the top half of table 2. With good
 275 construct validity, items should represent the construct as specified theoretically (Champion 1984).
 276 Factors with eigenvalue greater than 1 were extracted. Since number of extracted factors equal to
 277 number of constructs, we consider all five scales for HBM constructs are successfully identified. As
 278 explained in section 1.6, a criteria of 0.4 is used to judge item-factor loading. Loadings of all items pass
 279 the criteria except that one item “*I am vulnerable to long-term low-level inorganic arsenic exposure*
 280 *because of my diet.*” has its loading spread across perceived risks, self-efficacy and perceived benefits (>
 281 0.4), which should be related exclusively to perceived risks. This item was dropped in the following
 282 analysis of reliability, predictivity and intervention evaluation. Eigenvalues are total variance that can be
 283 explained by the individual component. The first extracted factor always has largest eigenvalues, i.e. the
 284 most variance explained. Factors are extracted and order by eigenvalues. Cumulative percentages of
 285 variance explained are provided for illustration in table 2. A cumulative of 66.5% variance explained by
 286 the five extracted factors demonstrated that our scales are sufficiently good for psychometric
 287 measurements (Champion 1993).

288 Our instruments were demonstrated to be reliable. Internal consistency is tested using pre intervention
 289 scale data and found to be good for all five scales (>0.7) (Champion 1993) as shown in the lower half of
 290 table 2. Alpha value of perceived risks is marginally lower than others due to the drop of the item
 291 mentioned in the last paragraph. The examination of test-retest reliability is conducted by calculating
 292 the correlation of scales before and after intervention in the control group only. Lowest correlations
 293 (0.42) were found in scales of perceived barriers and perceived benefits, which are acceptable. All
 294 correlations are significant at 0.001 level.

295 Values of post-intervention scales are summarized at the bottom of table 2. Since a seven-level Likert
 296 design was used, the range of each scale equals (k, 7k), with k being number of items included in the
 297 scale. All scores are towards the positive (agree) side. Mean of perceived barriers are close to the
 298 maximum, which indicates that considerably barriers of financial, social and psychological are perceived
 299 by subjects to prevent them from taking actions.

300 Table 3 Predictive Validity of HBM Scales on Rice Consumption Behavior³

Scale	Descriptive Statistics		Regression Coefficient	
	Mean±SD	Range	Change of rice IR(g/D) ¹	Controlling for Wheat

				and Potato 2
self-efficacy	16.2±3.7	3-21	3.45(3.36)	6.88 (4.15)
cues to action	13.3±3.9	3-21	-3.40(3.41)	-1.49 (3.97)
Perceived barriers	24.1±3.4	4-28	3.81(3.69)	-1.14 (4.89)
Perceived benefits	9.3±2.8	2-14	-4.88(5.71)	-5.35 (6.76)
Perceived risks	12.0±3.0	3-21	8.77(5.12)*	10.68 (5.88)'
Adjusted R squared			3.3%	6.4%

301 ¹ Post rice daily intake rate – pre rice daily intake rate. ² add changes of intake rate in wheat and potato
302 as candidate covariates ³ ' p < .1, * p < .05, ** p < .01, *** p < .001.

303 Table 3 shows the summary statistics of post-intervention scales in the left panel. Mean score of self-
304 efficacy is more than median (of the range), indicating that the subjects believe their level of control
305 over behavioral change are good on average. Mean of cues to action is slightly greater than median,
306 which reflects that cues from other sources (family, friends and doctor) can slightly encourage subjects
307 to take actions. High mean scores of perceived barriers show that considerable barriers of financial,
308 social and psychological are perceived by subjects. The more than median value of perceived benefits
309 demonstrate that the risk mitigation benefit of reducing rice consumption was slightly acknowledged by
310 subjects. Finally, mean score of perceived risks is neutral, indicating that health risks associated with iAs
311 exposure via rice intake were not well realized on average. The scales' predictive abilities are judged by
312 its of prediction on the change in rice intake by scales. Since no randomization of subjects were
313 considered in this step, coefficients in the right panel of table 3 demonstrate only correlations. The first
314 model use a linear combination of scales to predict change in rice intake rate, which is calculated as the
315 difference before and after intervention. Positive value of change indicates reduction. Perceived risks is
316 the only factor of significant correlation with behavioral change. Perceived risks are positively associated
317 with reduction in rice intake (beta= 8.77, p<0.05) that individuals with higher levels of risk perception
318 have larger degree of reduction in consumption. A second model with change in wheat and potato
319 consumption included as candidate covariates was compared with the previous model. Perceived risk
320 remains the single significant predictor of change in rice intake. However, predictors in the second
321 model have a larger percentage of variances explained from 3.3% to 6%.

322 4.3 Results of Intervention on Behavior and Perception

323 Given the experimental setting of this study, the differences in average values of outcomes between the
 324 treatment and control group are caused by the intervention. Two outcomes variables, rice intake rate
 325 and five HBM scales after intervention, are modelled using following equation 2 and 3 respectively. Final
 326 models are presented with only selected covariates in table 4 and 5.

327 Table 4 Estimates of Final Model for Intervention Impact on HBM Scales¹

Variable	Scale				
	Perceived Risks	Perceived Benefits	Perceived Barriers	Cues to Action	Self-Efficacy
Receiving our risk communication intervention	0.91 (0.45)*	2.31 (0.42)***	0.54 (0.53)	0.94 (0.61)	0.88 (0.54)
Corresponding pre-intervention scale	0.42 (0.06)***	0.33 (0.08)***	0.40 (0.08) ***	0.50 (0.08)***	0.57 (0.08)***
Gender	0.63 (0.46)'	0.91 (0.43) *	-0.42 (0.55)	0.76 (0.62)	-0.18 (0.55)

328 ¹ p < .1, * p < .05, ** p < .01, *** p < .001.

329 Each scale is fitted by its own submodel, with its corresponding pre-intervention scale value included in
 330 the candidate covariates. Results of the five submodels were summarized in table 4. Interestingly,
 331 gender is included as covariate along with corresponding pre-intervention scale in each submodel.
 332 Receiving our risk communication intervention caused positive changes in perceived risks and benefits.
 333 After watching our presentation, subjects were better acknowledged on the health risks associated with
 334 iAs exposure via rice intake that they gained a better perception on the their susceptibility to iAs
 335 exposure because of their rice diet and the seriousness (adverse health effects) of iAs exposure. Subjects
 336 also realized that reducing rice consumption is one beneficial strategy that can mitigate the risks. Given
 337 that our intervention material was designed to exclusively target the perceived risks, we propose several
 338 possible explanations for the unanticipated change in perceived benefits. First, some contents in our risk
 339 communication material could directly convince rice consumers the benefits of reducing rice
 340 consumption, although the “side effect” of these contents was not originally planned. Second, it is
 341 possible that our items for perceived benefits actually explain some variances in perceived risks that
 342 factors of RISK and BEN are not exclusive to each other. Another possibility would be raise in risk
 343 perception regarding iAs exposure in rice consumers can indirectly cause an increase in the perceived
 344 benefits of preventatively reducing rice consumption, which is supported by similar findings from

345 previous studies on the interrelationship between perceived benefits and perceived susceptibility of
 346 risky sexual behavior (Joseph et al., 2009; Champion & Skinner, 2008).

347 Table 5 Estimates of Final Model for Intervention Impact on Rice Consumption¹

Variable	Daily Rice Intake Rate (g/day)
Receiving our risk communication intervention	0.16 (22.78)
Daily rice intake before intervention (g/day)	0.46 (0.10)***
Race (ref= White)	
Black	39.07 (46.63)
Asian	61.46 (30.67)**
Hispanic (Mexican and other)	12.26 (52.68)
Other (Native, Pacific Islander and etc.)	62.08 (73.02)
Born in the U.S.	7.78 (32.64)

348 ¹ p < .1, * p < .05, ** p < .01, *** p < .001.

349 Receiving our intervention does not cause significant change of rice consumption in our subjects of
 350 college rice consumers. The validity, reliability and predictive validity of perceived risk as a predictor on
 351 change in rice consumption have been established in section 4.2. Rice consumers also had increased
 352 perception of risks as the consequence of our intervention. We believe that the change in the variance
 353 of rice consumption from the change of risk perception could be insufficient to modify rice
 354 consumption, as risk perception is only one of the determinants of consumption behavior. For example,
 355 the five HBM scales explain a small variance in the consumption behavior as shown in table 3.
 356 Meanwhile, perceived barriers of reducing rice consumption (financial, social and psychological) seem to
 357 critical in behavioral change as indicated by high mean score of perceived barriers. Consequently,
 358 changes in risk perception regarding iAs exposure via rice intake caused by our risk communication
 359 intervention was not powerful enough to initiate reduction in rice consumption. Moreover, one
 360 noteworthy result is the regression coefficient on the fixed effect of “being Asian”. After controlling for

361 other variables, Asian Americans have greater daily rice consumption rates, which makes them the
362 subpopulation of priority for risk assessment and mitigation.

363 5. Discussion

364 This study, to the best of our knowledge, is the first to advance the knowledge of the feasibility and
365 effectiveness of risk communication intervention in mitigating the risk of iAs exposure via rice intake.
366 The role of risk perception in the change of rice consumption behavior is highlighted in our findings of
367 psychometrical measurements. Furthermore, results of randomized controlled trials indicate that risk
368 communication can effectively raise the susceptibility and seriousness of iAs exposure. Although the
369 impact of our intervention on short-term rice consumption behavior was not significant, our data
370 provide plausible hypothesis for the gap between improvements in risk perception and initiation of
371 behavioral changes. This study has shortcomings in demonstrating direct behavioral change due to
372 limited sample size. The number of participants enrolled in our study was limited by the population of
373 IUB campus, which can be addressed by expanding the scope of data collection. Sufficient sample size
374 can enhance the significance of our study by enabling more complex study designs as well. As
375 demonstrated by previous risk assessment studies and our data, Asian American population is indeed at
376 much greater risks. Future psychological and intervention studies can be tailored to address the Asian
377 American population as major target audience. Longitudinal studies with more samples would also
378 contribute to the knowledge of long-term behavioral change, given the nature of chronic exposure to
379 iAs via rice intake. Our intervention program has potential to be improved based on the results of HBM
380 measurements. Risk perception, perceived barriers and other determinants can be better expressed and
381 emphasized by combining risk communication theory, Health Belief Model and other health education
382 theories.

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