

polycentric circles

JANUARY 2017 • VOL 22, No 1

NEWSLETTER OF THE OSTROM WORKSHOP

Newly Founded Research Programs

Governance of Natural Resources

—DEAN LUECK, PROGRAM DIRECTOR

The **Program on Governance of Natural Resources** was created in 2016 to develop a network of scholars to examine the institutions that govern natural resources. The program supports and disseminates the work of its network of scholars, which includes scholars from IU and around the world. At IU, the program draws on faculty from Anthropology, Economics, Geography, Law, Political Science, the School of International and Global Studies, and the School of Public and Environmental Affairs. The study of natural resources has a long tradition at the Ostrom Workshop, and the program will continue to build on that tradition.



Program Components

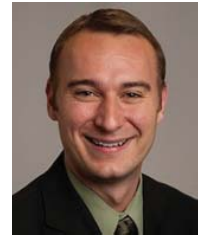
- Ostrom Workshop Colloquium Series: natural resource scholars will be a regular and integral component of the series.
- Ostrom Symposium on Natural Resource Governance: invited event to be held annually in the fall and comprised of 6–8 original papers presented for discussion.
- Ostrom Lecture on Environmental Policy: given annually in the spring semester by a distinguished scholar-in-residence (see p. 3 for details regarding February 22, 2017 lecture).
- Outreach and Collaboration: ongoing project with innovative organizations including The Natural Resources Law Working Group at the University of Oslo and the Classical Liberal Institute at NYU School of Law.
- Visiting Scholars: the program will host visiting scholars working on natural resource topics.

Read the interview with Dean Lueck in *Inside IUBloomington*, September 21, 2016.

Governance of Internet and Cybersecurity

—SCOTT SHACKELFORD, PROGRAM DIRECTOR

Officially launched this month, the **Program on Governance of Internet and Cybersecurity** will be led by Professor Scott Shackelford (associate professor of Business Law and Ethics, IU Kelley School of Business).



The program brings together scholars from across IU and beyond to build scholarly networks, as well as seek out partnerships with managers and policymakers in the United States and abroad in order to translate research findings into effective policy. A polycentric approach to both Internet governance and cybersecurity risk management will be encouraged in this program with scholars coming from diverse backgrounds including, but not limited to: Secure Computing, Law, Business, Economics, Ethics, Public Policy, Media, Education, Psychological and Brain Sciences, Political Science, and International Relations. Only through such a multidisciplinary, multisector, multistakeholder partnership might meaningful progress be made toward engendering sustainable models of Internet governance and an equitable cyber peace.

Program Components

- Ostrom Workshop Colloquium Series: cybersecurity and Internet governance scholars will be integrated into the series.
- Cybersecurity Speaker Series: beginning with IU Vice President Brad Wheeler and Dr. Jackie Kerr of Lawrence Livermore National Laboratory, regular cross-listed talks from leading voices will be held in collaboration with the Center for Applied Cybersecurity Research and the Kelley School of Business, along with other interested partners.
- Ostrom Workshop Colloquium on Cybersecurity and Internet Governance, April 27–29, 2017 (see p. 3 for details).
- Outreach and Collaboration: state governments, including the Indiana Office of Technology, as well as leading private firms, civil society, academic centers such as the Internet Corporation for Assigned Names and Numbers (ICANN), the United Nations' International Telecommunication Union, among other public- and private-sector stakeholders.
- Visiting Scholars: the program will host visiting scholars working on cybersecurity and Internet governance topics.

Read the interview with Scott Shackelford in *IU Policy Briefings blog*, January 12, 2017.

Additional information about the program directors and research programs can be found on our website.

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POLYCENTRIC CIRCLES is published biannually in January and August. The deadline to submit items for inclusion in the August issue is **JULY 24**.

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MISSION: To build upon the theme of governance to understand and address major societal problems



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The Ostrom Workshop is a research center of the Office of the Vice Provost for Research at IU Bloomington

Ostrom Symposium in Beijing



Foreground: IU President Michael McRobbie
Background: Wang Jianxun, Wang Yahua

As part of Indiana University's Bicentennial celebration, leading scholars and practitioners from across China and IU discussed the lasting legacy and impact of work by Elinor and Vincent Ostrom's research in China at the "Ostrom Symposium on the Study of the Commons, Governance, and Collective Decision."

On December 7, 2016, IU President Michael McRobbie gave opening remarks at the all-day symposium at the IU China Gateway office in Beijing. As President McRobbie explained in his welcoming remarks, Lin had a "profound impact" on development studies around the world, and she was largely responsible for the development of the study of the commons, a field that has exploded over the past quarter century.

Many of those in attendance at the symposium were members of the Chinese Ostrom Society, founded in 2009 to study and explore the Ostroms' ideas and methods on resource management and polycentric governance. The society features several scholars who have worked to translate the Ostroms' many writings into Chinese, including Wang Jianxun, an IU alumnus now on the faculty of the China University of Political Science and Law, and Professor Mao Shoulong of Renmin University, whose team of writers has translated almost all of the Ostroms' core works and facilitated their publication in China.

Read more about the symposium on Ryan Piurek's blog, "[Celebrating Elinor Ostrom and IU's Continuing Engagement with China.](#)"

■ OSTROM LECTURE ON ENVIRONMENTAL POLICY



FEBRUARY 22, 2017

4:30 PM • WOODBURN HALL 120

Reception to follow in the Social Science Research Commons, WH200

**“Who Owns the Environment?
Lessons from the Legacy of
Elinor Ostrom”**

by Terry Anderson

We typically treat the environment as a commons to be managed by regulations from Washington. As Elinor Ostrom taught us, however, “managing the commons” may be more effective at the local level. This lecture will provide examples of how and why property rights and markets can improve environmental stewardship.

The inaugural Ostrom Lecture on Environmental Policy will be presented by **Terry Anderson**, the John and Jean De Nault Senior Fellow at the Hoover Institution and William A. Dunn Distinguished Senior Fellow at PERC (Property and Environment Research Center). This new lecture is part of our Program on Governance of Natural Resources and will be given annually in the spring semester.

■ ROUNDTABLE ON CONTEMPORARY AUTOCRACY

MARCH 24, 2017

11:00 AM–1:00 PM • OSTROM WORKSHOP

Convener: Regina Smyth

The IU Russian Studies Workshop, together with the support of the Ostrom Workshop and the Department of Political Science, will hold a panel discussion, “The Political Economy of Contemporary Autocracy,” on Friday, March 24, 2017.

Panelists include Scott Gelbach (University of Wisconsin) and Konstantin Sonin (University of Chicago), who will explore the growing literature on contemporary autocracies, their contributions to debates, and the directions of future research. The event is cosponsored by the Russian Studies Workshop, Ostrom Workshop, and Department of Political Science.

■ OSTROM MEMORIAL LECTURE



APRIL 12, 2017

**5:00 PM • INDIANA MEMORIAL
UNION, STATE ROOM EAST**

Reception to follow in State Room West

**“Rule Breaking and Political
Imagination”**

by Kenneth Shepsle

The third annual Ostrom Memorial Lecture will be presented by **Kenneth Shepsle**, George Markham Professor of Government, Harvard University.

■ OSTROM WORKSHOP COLLOQUIUM ON CYBERSECURITY AND INTERNET GOVERNANCE

APRIL 27–29, 2017

TIME: TBA • OSTROM WORKSHOP

Convener: Scott Shackelford

Abstract deadline: March 3, 2017 (see [CALL FOR PAPERS](#))

We are pleased to announce the inaugural Ostrom Workshop research colloquium to formally launch our new Program on Governance of Internet and Cybersecurity. The colloquium seeks participation from scholars interested in numerous facets of cybersecurity and Internet governance, including, but not limited to: Secure Computing, Law, Business, Economics, Ethics, Public Policy, Media Studies, Education, Psychological and Brain Sciences, Political Science, and International Law and Relations.

■ POLITICAL INSTITUTIONS AND ECONOMIC POLICY (PIEP) CONFERENCE

MAY 13, 2017 • OSTROM WORKSHOP

[Note: This conference is closed.]

Conveners: Lee Alston & Federica Carugati

■ ENVIRONMENTAL POLITICS AND GOVERNANCE CONFERENCE

JUNE 21–24, 2017 • SPEA, IU BLOOMINGTON

[Note: Submissions are closed]

See [EPG Conference website](#).

Check out our [EVENTS web page](#) for more information!

PAST EVENTS

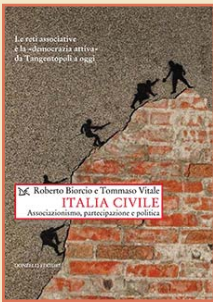
- **ROY GARDNER MEMORIAL LECTURE**, given by Professor Ehud Kalai, Northwestern University; IU Bloomington, September 1, 2016.
- **OSTROM SYMPOSIUM ON NATURAL RESOURCE GOVERNANCE**, IU Bloomington, October 7–8, 2016. *Convener*: Dean Lueck. This new invited event is part of our Program on Governance of Natural Resources and will be held annually in the fall. Sixteen scholars from IU and other institutions (including the University of Pennsylvania and Stanford University) presented and discussed topics on land use regulations, the performance of environmental agencies, water rights, and energy policy. Robert Bish, former student of Vincent Ostrom and emeritus professor of economics at the University of Victoria, Canada, led a discussion on the history of the Workshop and the legacy of the Ostroms.
- **SPECIAL ROUNDTABLE**, “Is There a Role for Moderation in America’s Polarized Politics?,” IUB, October 21, 2016 (see [IU Policy Briefings](#); see also [archived live stream](#)). *Panelists*: Lee H. Hamilton (former congressman, School of Global & International Studies, SPEA), Geoffrey Kabaservice (author of *Rule and Ruin*), and Leslie Lenkowsky (SPEA). *Moderator*: Edward Carmines (Political Science).
- **INFORMALITY AND DEVELOPMENT: A CONFERENCE IN HONOR OF ELINOR OSTROM**, IU Bloomington, October 22–23, 2016. *Conveners*: Michael Alexeev (professor of economics, IU) and Ravi Kanbur (T. H. Lee Professor of World Affairs, Cornell University). The Ostrom Workshop and Cornell University organized a conference to honor the memory and the legacy of Elinor Ostrom by exploring the intersection between informality and development. The conference organizers were Michael Alexeev and Ravi Kanbur (coeditor with Lin of the OUP volume *Linking the Formal and Informal Economy*, whose 10th anniversary of publication was also marked in 2016).

Conference participation was structured to bring together invited senior scholars with relatively junior researchers who were selected from submissions to a global call for papers. Among the senior participants were Santiago Levy, Vice President of the Inter-American Development Bank, who spoke about informality and productivity in Mexico; Nancy Benjamin of the World Bank, who presented on informality in West Africa; Martha Chen of Harvard University, who presented a framework for assessing the urban commons and their impact on urban livelihoods; and Hartmut Lehmann of the University of Bologna, who focused on informality in countries under transition from Soviet-type socialism. This broad global spread of coverage was also seen in the presentations of the junior scholars. Overall, the 14 papers presented at the conference covered informality in a broad range of countries including Afghanistan, Benin, Burkina Faso, Democratic Republic of Congo, Ghana, India, Peru, Russia, Senegal, Tajikistan, Tanzania, Uganda, Ukraine, Vietnam, and Zambia.

NEWS

- Director **Lee Alston** was named a Fellow of the Cliometric Society in September 2016. Newly elected fellows will be honored at the meeting of the Cliometric Society in Strasbourg, France, July 4–7, 2017.
- **Gwen Arnold** (WAF member; assistant professor of environmental policy at UC–Davis) has won the 2016 John Kincaid *Publius* Best Article Award for “When Cooperative Federalism Isn’t: How U.S. Federal Interagency Contradictions Impede Effective Wetland Management,” *Publius* 45(2) (Spring 2015): 244–69.
- **Margaret Baechtold** retired as Operations Manager of the Workshop effective December 30, 2016.
- During the Fall 2016 semester, a total of 27 presentations were given as part of our regular **Colloquium, Research, and Tocqueville Lecture Series**. [Archived live streams](#) of the series, as well as the Spring 2017 schedules, are available via our website.
- **Robert Goldstone** (WAF member; Chancellor’s Professor of Psychological & Brain Sciences) was elected a [Fellow of the American Academy of Arts and Sciences](#), 2016.
- **Gayle Higgins** (Workshop staff member of 28 years) was selected to receive a 2016 IU Staff Merit Award. Provost Lauren Robel hosted a ceremony and reception at the Indiana Memorial Union for all of the award winners on December 12, 2016 (see [Inside IUBloomington](#), January 11, 2017).
- A special issue of the *International Journal of the Commons* (vol. 20, no. 2, 2016), “The Role of Context, Scale, and Interdependencies in Successful Commons Governance,” was guest edited by Edella Schlager.
- The Council of the American Historical Association has appointed WAF member **Alex Lichtenstein** (professor of history) to the position of editor of the *American Historical Review*. Lichtenstein will begin his term in the fall of 2017.
- **Lauren MacLean** (WAF member; associate professor of political science) received the David Collier Mid-Career Achievement Award, 2016, from the APSA Qualitative and Multi-Method Research Organized Section.
- The Carnegie Corporation of New York has awarded IU’s Russian and East European Institute \$1 million to create a Russian Studies Workshop and bolster Russian studies at IU. The project director is **Regina Smyth** (WAF member; associate professor of political science) (see [Inside IUBloomington](#), October 11, 2016).
- In Memoriam: **Robert Warren**, professor emeritus in the University of Delaware’s School of Public Policy and Administration, passed away on October 3, 2016, at the age of 84. Dr. Warren was coauthor with Vincent Ostrom and Charles Tiebout of their classic 1961 *APSR* article, “The Organization of Government in Metropolitan Areas: A Theoretical Inquiry.” Dr. Warren went on to work with two other students of Vincent—Lou Weschler and Robert Bish—at the Universities of Washington and USC.

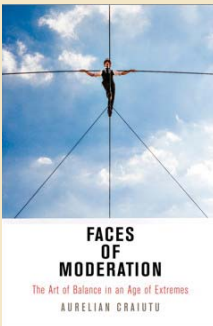
PUBLICATIONS – Books & Monographs



Italia Civile: Associazionismo, Partecipazione e Politica

ROBERTO BIORCIO & TOMMASO VITALE
Donzelli Editore, 2016

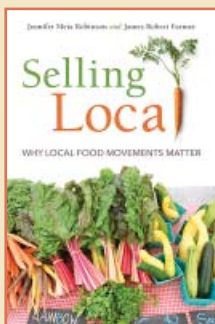
This book, the result of a collective work, reconstructs the history of participation in associations in Italy, from Tangentopoli to now, considering in particular an area very rich in associative networks like that of Lombardy. It does so with a sociological approach, which delves into the thoughts and behaviors of individual citizens engaged in groups, committees, clubs, community centers, unions, movements and associations. Special attention is given to gender inequality, the religious dimension, and the relationship between the volunteers and the political culture of the left. Interviews, surveys, and observations collected over decades are used to outline the profile, the differences, and changes over time of the activists of all associative sectors.



Faces of Moderation: The Art of Balance in an Age of Extremes

AURELIAN CRAIUTU
University of Pennsylvania Press, 2016

Examining the writings of prominent twentieth-century thinkers such as Raymond Aron, Isaiah Berlin, Norberto Bobbio, Michael Oakeshott, and Adam Michnik, Craiutu addresses the following questions: What does it mean to be a moderate voice in political and public life? What are the virtues and limits of moderation? Can moderation be the foundation for a successful platform or party? Though critics maintain that moderation is merely a matter of background and personal temperament, Craiutu finds several basic norms that have consistently appeared in different national and political contexts. . . . *Faces of Moderation* argues that moderation remains crucial for today's encounters with new forms of extremism and fundamentalism across the world.

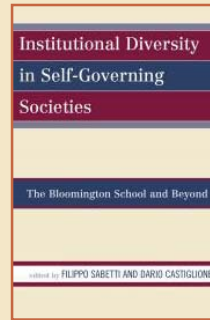


Selling Local: Why Local Food Movements Matter

JENNIFER ROBINSON & JAMES FARMER
Indiana University Press, 2017

In an era bustling with international trade and people on the move, why has local food become increasingly important? How does a community benefit from growing and buying its own produce, rather than eating food sown and harvested by outsiders? *Selling Local* is

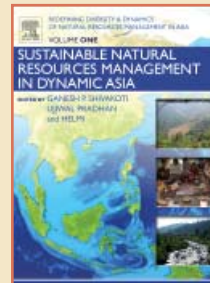
an indispensable guide to community-based food movements, showcasing the broad appeal and impact of farmers' markets, community-supported agriculture programs, and food hubs, which combine produce from small farms into quantities large enough for institutions like schools and restaurants.



Institutional Diversity in Self-Governing Societies: The Bloomington School and Beyond

FILIPPO SABETTI & DARIO CASTIGLIONE, EDS.
Lexington Books, 2016

The work of Elinor and Vincent Ostrom represents a distinctive contribution to the study of political economy, public policy and administration, collective action, and governance theory. . . . Renewed attempts have been made to map the Ostroms' contributions to theories of polycentric governance and collective action, and to multimethods and comparative institutional analysis of ways of managing social and ecological systems, common-pool resources, public economies, and metropolitan reform.



Series Title: Redefining Diversity and Dynamics of Natural Resources Management in Asia

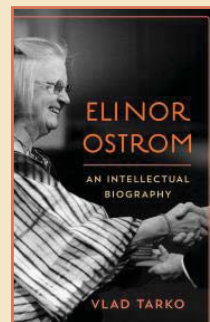
SERIES EDITOR: GANESH SHIVAKOTI
4 VOLS.
ELSEVIER, 2016

Vol. 1: *Sustainable Natural Resources Management in Dynamic Asia*

Vol. 2: *Upland Natural Resources and Social Ecological Systems in Northern Vietnam*

Vol. 3: *Natural Resource Dynamics and Social Ecological Systems in Central Vietnam: Development, Resource Changes and Conservation Issues*

Vol. 4: *The Reciprocal Relationship between Governance of Natural Resources and Socio-Ecological Systems Dynamics in West Sumatra Indonesia*



Elinor Ostrom: An Intellectual Biography

VLAD TARKO
ROWMAN & LITTLEFIELD, 2016

Elinor Ostrom was the first woman to win the Nobel Prize in Economic Sciences. She has been at the forefront of New Institutional Economics and Public Choice revolutions, discovering surprising ways in which communities around the world have succeeded in solving difficult collective problems. She first rose to prominence by studying the police in metropolitan areas in the United States, and showing that, contrary to the prevailing view at the time, community policing and smaller departments worked better than centralized and large police departments. Together with her husband, Vincent, they set up the Bloomington Workshop in Political Theory and Policy Analysis, which has grown into a global network of scholars and practitioners.

Forthcoming Books & Monographs

- Alston, Eric, Lee J. Alston, Bernardo Mueller, & Tomas Nonnenmacher. *Institutional and Organizational Analysis: Concepts and Applications*. Cambridge University Press.
- Cole, Daniel, & Michael McGinnis, eds. March 2017. *Elinor Ostrom and the Bloomington School of Political Economy: Volume 3, A Framework for Policy Analysis*. Lexington Books.
- Wang, Yahua (Bert). *Enhancing Commons Governance: Elinor Ostrom's Ideology and Application* [In Chinese.] Tsinghua University Press.

Articles

- Akinola, Samson R. 2016. "Urbanization, Collective Action and Coping Strategies in Informal Areas of African Cities: A Polycentric Environmental Planning Perspective." In *Dynamics and Resilience of Informal Areas: International Perspectives*, edited by Sahar Attia et al., 5–24. Switzerland: Springer Intl.
- Boschken, Herman L. 2017. "Aligning a Multi-Government Network With Situational Context: Metropolitan Governance as an Organizational Systems Problem." *American Review of Public Administration* 47(2): 189–208.
- Cardenas, Juan Camilo, and Rajiv Sethi. 2016. "Elinor Ostrom: Fighting the Tragedy of the Commons." *Books and Ideas*, September 12. ISSN: 2105–3030.
- Cox, M., S. Villamayor-Tomás, G. Epstein, L. Evans, N. Ban, F. Fleischman, N. Nenadovic, and G. Garcia-Lopez. 2016. "Synthesizing Theories of Natural Resource Management and Governance." *Global Environmental Change* 39: 45–56.
- DeCaro, D. A., B. Chaffin, E. Schlager, A. Garmestani, and J. B. Ruhl. Forthcoming. "Legal and Institutional Foundations of Adaptive Environmental Governance." *Ecology and Society*.
- Farmer, James R., Sara Minard, and Cliff Edens. 2016. "Local Foods and Low-Income Communities: Location, Transportation, and Values." *Journal of Agriculture, Food Systems, and Community Development* 6(4): 41–54.
- Larsson, Jesper. 2016. "Conflict-Resolution Mechanisms Maintaining an Agricultural System: Early Modern Local Courts as an Arena for Solving Collective-Action Problems within Scandinavian Civil Law." *International Journal of the Commons* 10(2): 1100–118.
- Lugovskyy, Volodymyr, Daniela Puzzello, Andrea Sorenson, James Walker, and Arlington Williams. Forthcoming. "An Experimental Study of Finitely and Infinitely Repeated Linear Public Goods Games." *Games and Economic Behavior*.
- Wang, Yahua, Chunliang Chen, and Eduardo Araral. 2016. "The Effects of Migration on Collective Action in the Commons: Evidence from Rural China." *World Development* 88: 79–93.
- Wright, Glenn, Krister Andersson, Clark Gibson, and Tom Evans. 2016. "Decentralization Can Help Reduce Deforestation When User Groups Engage with Local Government." *Proceedings of the National Academy of Sciences*, Early Edition, December 12.

Blog Posts, Interviews, & Op-Eds

- "The Only Woman to Win the Nobel Prize in Economics Showed Us How to Solve the Climate Crisis," by James Dyke, *Economics/The Next Evolution of Economics*, October 15, 2016.
- "Opinion: How to Fix an Internet of Broken Things," by Scott Shackelford, *Christian Science Monitor*, October 26, 2016.
- "Why Verizon's Due Diligence May Not Have Caught Yahoo's Massive Security Breach," by Steven Melendez (includes an interview with Scott Shackelford), *Fast Company*, October 26, 2016.
- "One Way Not to Be Like Trump," by Peter Wehner (write-up about Aurelian Craiutu's new book [see p. 5 in this issue]), *New York Times*, December 17, 2016.
- "In Defense of Moderation," by Aurelian Craiutu, *The Daily Beast*, January 14, 2017.



The **Responsive Forest Governance Initiative (RFGI)** is a research program focused on environmental governance in Africa. RFGI is jointly managed by the Council for the Development of Social Science Research in Africa (CODESRIA), the International Union for the Conservation of Nature (IUCN), and the Social Dimensions of Environmental Policy (SDEP) Program of the University of Illinois at Urbana Champaign. RFGI was generously supported by the Swedish International Development Agency (Sida). RFGI has focused on local representation in climate adaptation and mitigation in the forestry sector in Burkina Faso, Cameroon, the Democratic Republic of Congo, Ghana, Kenya, Mozambique, Nigeria, Senegal, South Sudan, Tanzania, and Uganda, with comparative cases in Nepal and Peru. The **35 working papers** that have come from the RFGI research program from 2011 to 2015 are now all available online.

The main topics of the publications include: learning from projects to improve conservation interventions; sharing the benefits of forest resources; improving representation of local people; and carbon forestry, including REDD+.



MISSION: To build upon the theme of governance to understand and address major societal problems

OUR LEGACY

The **Workshop in Political Theory and Policy Analysis** was founded at Indiana University in 1973 by Nobel Prize-winning economist Elinor Ostrom and her husband, Vincent. Over its 40-year history, Ostrom Workshop scholars have produced pioneering research and taught generations of students about the ways in which governance processes at the local, national, and global level can be crafted to enhance human well-being, while promoting democratic principles and sustainable resource management.

WHAT WE DO

Today, the **Ostrom Workshop continues to address** issues of governance. By governance, we mean the formal and informal rules that shape human behavior. Governance failures prevent us from getting the most out of available human, physical, and financial resources. Only by understanding the nature and causes of governance failures will we be able to solve complex societal problems. To do so, we take an interdisciplinary approach that aims at leveraging the knowledge produced both within and across a number of research areas.

OUR FUTURE

The **Ostrom Workshop is expanding** to support innovative work in a variety of research areas, including natural resources; economic, legal, and political development; Internet and cybersecurity; and governance of data. Future research areas may include financial organizations, international organizations, public health, and education.

THE PLAN

Produce innovative and policy-relevant research:

- Build multiple research programs, each headed by a Program Director recruited from the best scholars across the globe

Increase the scope of scholarly collaborations:

- Expand our network of Visiting Scholars by bringing exceptional researchers to IU to mentor graduate students and junior scholars, and to collaborate with senior scholars
- Support senior scholars by providing help in writing grant proposals, funding their research (Research Awards), and connecting them with other scholars outside IU (Visiting Scholars Program)

Enhance educational opportunities for students:

- Develop promising young scholars by supporting their graduate work while at IU (Ostrom Fellowships), funding their research (Graduate Research Awards), and connecting them with scholars outside IU (Visiting Scholars Program)
- Expand our reach to attract the best undergraduate students at IU (Undergraduate Ostrom Fellowships)

SUPPORT OUR MISSION

- Help us develop a research program
- Contribute to fund a Visiting Scholar
- Donate to student fellowships
- Support student research projects
- Invest in the expansion of our facilities
- Partner with our programs and affiliates

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