



THE VINCENT AND ELINOR OSTROM WORKSHOP
IN POLITICAL THEORY AND POLICY ANALYSIS

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WORKSHOP ON THE
OSTROM WORKSHOP 5
Wow
IU Bloomington • June 18–21, 2014

Save the date for next year's WOW5 conference!

PLANNING IS UNDERWAY for the June 18–21, 2014, “Workshop on the Ostrom Workshop” (WOW5) conference.

WOW5 will be organized around “Working Groups,” as has been done for the past two WOW events. (A conference theme is currently under development.) Each working group will plan and organize what they want to accomplish during these sessions. Our aim is to facilitate the plans of each of the separate working groups. If you are interested in forming a Working Group, please submit your proposal to Gayle Higgins (wow5@indiana.edu) by August 30, 2013.

We will be posting information to the WOW5 website (<http://www.indiana.edu/~wow5/>) as it becomes available, so please check back often! Additional information will also be provided in the November issue of *Polycentric Circles*. If you have any questions regarding WOW5, please contact Gayle (wow5@indiana.edu). (Past WOW conference papers and websites are available at: <http://www.indiana.edu/~workshop/wsl/conference.php>.)

We are looking forward to celebrating this event with Workshop alumni, associates, staff, and friends. The Ostrom Workshop will also be celebrating its 41st anniversary next year!

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SUBMIT YOUR MATERIALS BY OCTOBER 18, 2013, FOR THE NOVEMBER ISSUE OF THE NEWSLETTER

We encourage current and former Workshopers to submit information regarding awards, research projects, events, publications, announcements, photographs, etc. Please send materials to the editor, Patty Lezotte (zielinsk@indiana.edu)

Help keep our records up to date! For e-mail and/or snail mail changes, please send updates to: workshop@indiana.edu

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Search for Executive Director of the Ostrom Workshop

AN IUB SEARCH COMMITTEE has been formed by Provost Lauren Robel to conduct an international search for the newly created position of Executive Director of the Ostrom Workshop. Currently, the codirectors are Tom Evans and Burney Fischer, whose two-year term will expire at the end of June 2014.

The members of the search committee, all from IU Bloomington, are: *Sarita Soni* (chair; Vice Provost for Research), *David Audretsch* (SPEA), *Daniel Cole* (Law), *Alyce Fly* (Public Health), *Eric Leeper* (Economics), *Lesa Major* (Journalism), *Peggy Thoits* (Sociology), *Charles Trzcinka* (Business), and *David Taylor* (Vice Provost for Research [ex officio]).

The diversity of the committee is due to the fact that many different schools and departments within IU have committed to participate in the hiring, depending on the background of the candidates. The Workshop Affiliated Faculty meeting on April 19 was attended by the full search committee, with Sarita Soni serving as primary speaker. Because several members of the search committee are not very familiar with the Ostrom Workshop, Jimmy Walker and Mike McGinnis were asked to participate in the first meeting of the search committee to provide historical and structural information about the Workshop. The search committee will recommend finalists to Provost Robel for review. A soft closing date for applications is May 30, 2013, with interviews for the position beginning in fall 2013.

More information about the search, including the position description and press release, can be found on the Workshop's website at: http://www.indiana.edu/~workshop/about/search_and_screen.php.

Women of IU Art Exhibit



A PORTRAIT OF LIN OSTROM is now on permanent display in a new exhibition called “Women of Indiana University” in the East Lounge of the Indiana Memorial Union on the Bloomington campus. The art exhibit showcases portraits of outstanding women of the university. An official opening and reception for the exhibition was held on May 1, 2013 (see <http://viewpoints.iu.edu/art-at-iu/2013/05/02/exhibit-honors-women-of-indiana-university/> and <http://news-info.iu.edu/news/page/normal/24200.html>).

Sherry Rouse, the curator of campus art, called the project “the most elevating exhibition of my career.” The “Women of Indiana University” art exhibit features 15 paintings of women with deep connections

to IU. The exhibit was assembled by borrowing portraits from various locations on campus.

“Women have been instrumental contributors to Indiana University since its founding. While these beautiful portraits show us only a few of the many women who have helped shape IU, it is my hope that the creation of this exhibit will inspire each of us to explore the rich history of the women of IU.” (IU first lady Laurie Burns McRobbie)

Curating the Ostroms’ Native American Art Collection

A COLLECTION OF Native American art that Lin and Vincent donated to Indiana University is being handled by students in Mather’s Museum of World Cultures director Jason Jackson’s curatorship class. In an article featured in *Inside IU Bloomington* on April 11, 2013 (<http://inside.iub.edu/features/stories/2013-04-11-iniub-story-mathers-curatorship-ostrom-collection.shtml>), Bethany Nolan writes that “Jackson saw the Ostroms’ gift as a perfect opportunity to create a teachable moment for his students—something he believes would have appealed to the couple who spent their lives on research that changed the way people think about the relationship between individuals and institutions.”

“The Ostroms’ collection extends and complements what has been here at the Mathers for many years, and I can’t help but think the Ostroms would approve of IU students gaining experience by working with these items,” Jackson said. “Museums like ours would be hard pressed to collect the way they did, so we’re very fortunate to receive these items.”

The Ostroms’ collection is part of the “Treasures of the Mathers Museum” exhibition, which opened on April 28, 2013 (<http://inside.iub.edu/editors-picks/arts-humanities/2013-04-25-ah-mathers-50th-anniv.shtml>).

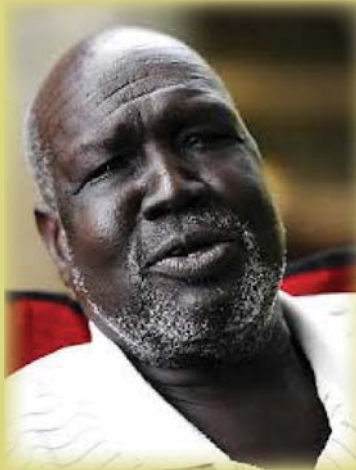


IN MEMORIAM

Wal Duany, 1945–2013

WAL “MICHAEL” DUANY, former chairman of the South Sudan Liberation Movement, passed away on January 1, 2013, in Nairobi, Kenya, after a long battle with cancer.

Wal was born in Dior Village, Akobo County, South Sudan. He was one of the revolutionary leaders of the liberation (Anyanya) movement of South Sudan. In the mid-1950s, he was imprisoned as a teenager for resisting the oppressive regime in Khartoum for the mistreatment of the educators of the South. He was Sudan’s prime minister of finance before being forced to flee Sudan in 1984 shortly before the civil war broke out. Through an award from USAID, Wal was able to come to Bloomington in 1984 to participate in the Workshop’s Advanced Study in Institutional Analysis and Development program. While at IU, he received his doctorate in 1992 with a dissertation entitled, *Neither Palaces Nor Prisons: The Constitution of Order among the Nuer* (published in 2012; Amazon/Kindle). Wal was a research associate at the Workshop from 1985 to 2006. From 2005 to 2009, he was a member of



the South Sudan parliament. After the Peace Agreement was signed in 2005, he was appointed a member of the Interim South Sudan Legislative Assembly, a position he held until 2009.

Wal and his wife Julia split time between their native country and the United States for decades. In recent years, Wal, Julia, and their children—Duany, Nyagon, Kueth, Nok, and Bil—jointly founded the Duany Group, a private company working to build infrastructure, schools, and health clinics and investing in South Sudan’s agricultural and mineral resources. One arm of the organization, Sport Revolution, trains young South Sudanese basketball players and helps them earn scholarships in the United States. The Duany Group also runs a political news magazine, *The Parliamentarian*; Julia, as editor-in-chief, lends her insider perspective as South Sudan’s undersecretary for parliamentary affairs.

A memorial service was held on March 9, 2013, at City Church in Bloomington.

Fischer honored by Arbor Day Foundation



BURNEY FISCHER WAS PRESENTED with the Frederick Law Olmsted Award, named for the renowned 19th-century landscape designer, from the Arbor Day Foundation at a ceremony held in Nebraska City, NE, on April 26, 2013. It recognizes an individual who has had a positive impact on the environment at a state or regional level. “I’m thrilled to be recognized. But the better news is that the award shines a very positive environmental light on Indiana,” Fischer said. “While my recent work is associated mostly with urban forests, in a much broader sense this is about all forests and environmental governance issues.” Burney was one of 14 individuals, organizations, and companies recognized by the foundation during annual Arbor Day Awards.

“The creativity and hard work of this year’s Arbor Day Award winners enrich our public commons and inspire future generations of environmental advocates. Dr. Fischer ought to be proud to be a part of this accomplished group.” (John Rosenow, founder and chief executive of the Arbor Day Foundation)

Press releases at: (1) <http://www.arborday.org/media/pressreleases/pressrelease.cfm?id=315>; (2) <http://newsinfo.iu.edu/news/page/normal/24150.html>; and (3) <http://actrees.org/news/media-center/actrees-news/actrees-and-its-member-organizations-receive-arbor-day-awards/>.

Workshop Library

THE OSTROMS WERE very generous with the IU community in their passing, leaving their personal papers to the Lilly Library and their personal library to the Workshop Library.

Last summer and fall, the staff of the Workshop Library spent many hours at the Ostroms’ house organizing their papers and books. Their papers were added to collections already at the Lilly Library of Lin and Vincent’s papers, and those of the Workshop. The Workshop has been employing a graduate student to process the papers over the past two years so that the collection will soon be organized and more accessible to future students and scholars to use. Even though the collection is not fully processed, it is available to scholars to use now. The Lilly Library staff are very accommodating and encourage people who want to use the collections to contact them (<http://www.indiana.edu/~liblilly/info.shtml>).

Numerous hours were also spent inventorying books from the Ostroms’ personal library in order to avoid duplicating holdings already in the Workshop library. A total of 265 books were added to the library collection, which created a need to reorganize the space usage in the library to make enough room for the new books. A few pieces of furniture from the Ostrom’s house were also added to the library, including filing cabinets and Lin’s desk.



Check out the library’s new blog at: <http://workshoplibrary.blogspot.com/>

IASC announces . . .



ELINOR OSTROM AWARD

On February 21, 2013, the International Association for the Study of the Commons (IASC) announced “The Elinor Ostrom Award on Collective Governance of the Commons.”

“Created to honour and develop the legacy of Elinor Ostrom, the award aims to acknowledge and promote the work of practitioners as well as young and senior scholars involved in the field of the commons. In accordance with Ostrom’s large legacy, the award will go to academic and applied works on traditional commons, local commons, interlinked commons, global commons, knowledge, cultural and virtual commons.”

To learn more about the award and the nomination process, visit: <http://elinorostromaward.org/>.



International Journal
of the Commons

Ostrom Memorial Award

In 2012, the *International Journal of the Commons* (<http://www.thecommonsjournal.org/index.php/ijc/index>) began awarding the “Ostrom Memorial Award for Most Innovative Paper of the Year” published in their journal. The 2012 winner, based on the votes of the IJC editorial board, is:

*Anne M. Larson & Jadder Lewis-Mendoza,
“Decentralisation and Devolution in Nicaragua’s
North Atlantic Autonomous Region: Natural
Resources and Indigenous Peoples’ Rights.”*

The paper was noted for its interesting and innovative approach for grappling with the problem of how to embed power relations in a theory of decentralisation.

Award Programs

THE OSTROM WORKSHOP is tracking new award programs honoring the Ostroms. The three programs listed here are the most recent.



Ostrom Grants Program

The College of Arts & Sciences at Indiana University is very pleased to announce the inaugural Ostrom Grants Program, competitive grants-in-aid designed to support the creative scholarly, artistic, and pedagogical activities of College faculty. The grants are named in honor of Elinor and Vincent Ostrom, whose work emphasized the importance of community and collaboration.

The goal of these grants is to generate creative work through collaborative efforts and, ideally, such creative activities will increase the involvement of both undergraduate and graduate students in our instructional and research programs. The first full year of the Ostrom Grants Program was for academic year 2013–14.

More information can be found at: <http://college.indiana.edu/faculty/OSTROMGrantsProgram.pdf> or <http://college.indiana.edu/faculty/programsandinitiatives.shtml>.



Congratulations to *Joan Pong Linton*, Department of English and Workshop Affiliated Faculty member, who has been selected from the RFP process for funding from the 2013 Ostrom Grants Program for a total of \$6,000. Joan’s project, “Coproducting Health Holistically: Bloomington Campus-Community Partnership,” was one of ten chosen to receive funding from this new grant program this year.

Visiting Scholars—Spring 2013



CLAUDIA KONRAD (February 9–April 28, 2013) is a PhD candidate in economic and social sciences at Trier University, Germany, and scholar of the Transformation Research Cluster of the Heinrich Boell Foundation, Berlin. Her thesis deals with the analysis of networks concerning the management of forest resources and cooperation between institutions at the local, national, and international level. The case study refers to the Sumaco Biosphere Reserve, located in the Amazon basin of Ecuador. The study comprises both the informal institutions of socio-ecological systems at the local level and the formally existing governance strategies at the national and international level, focusing on the rules in use. Claudia's interest in this thematic and geographical area results essentially from her work as a junior consultant for the German Development Service at the Ecuadorian Ministry of Environment. She holds a MA in Cultural Anthropology from Hamburg University. At the Workshop, Claudia will focus on developing a research design that integrates the IAD framework with social network analysis.



ARTHUR SHERWOOD (January–August 2013), PhD, is an associate professor of management at the Scott College of Business at Indiana State University. He earned his MBA, MA, and PhD (2002) from IU's Kelley School of Business and his BBA from the School of Business at the University of Wisconsin-Madison. He joins the Workshop to blend his research and practical experience regarding cooperative business models with the robust frameworks found at the Workshop. He particularly has an interest in governance/leadership of cooperatively owned enterprises as well as how democratically owned organizations cooperate to share knowledge. During his time as a visiting scholar, he will focus his efforts on leading a project that links researchers, practitioners, and the literature to uncover priority research questions related to cooperatives helping to set a multiyear researcher agenda. All of this framed by Workshop thinking. He

is currently collaborating with visiting scholar, Keith Taylor, to implement a series of roundtable discussions that will focus on these issues and culminate in a multistakeholder forum taking place at the Workshop in coordination with the Scott College of Business.



JOSEPHINE VAN ZEBEN (January 1–December 31, 2013) received her PhD in Law and Economics at the University of Amsterdam in May 2012. Her main research interests center around the division of regulatory power between different regulators. Thus far, her work has focused on the European Union and in particular its environmental and climate change policies. Her research at the Workshop is funded by the Niels Stensen Fellowship and is called: "A Polycentric Europe?". The goal of the "Polycentric Europe" research project is to embrace, rather than simplify, the unprecedented complexity of modern regulation. By acknowledging the possibility of overlapping jurisdictions, a polycentric governance model extends not only to the public actors but also encompasses private and voluntary actors. Moreover, it enables us to consider overlapping "realms of responsibility and functional capacity" of different jurisdictions. The potential of polycentric analysis for assessing the functioning of the European Union has not yet been fully explored within the existing legal, and law and economics, literature. A general theoretical framework for mapping the system of governance within the European Union will be developed through which the functioning of specific policy areas can be assessed, particularly: climate and energy policy, public health, and the regulation of the eurozone.

Short-Term Visitor

Prof. Dr. Dr. h.c. Hans-Jochen Schiewer, Rector, Albert-Ludwigs-Universität-Freiburg, April 4–6

WAC Update

THE WORKSHOP ADVISORY COUNCIL (WAC) has had a busy 2013, so far. Based on nominations from Workshop Affiliated Faculty (AF), we approved our first set of Senior Research Fellows in the Ostrom Workshop: Barbara Allen, Mike McGinnis, and Jimmy Walker. This is a new honorific rank created for eligible AF who have attained the rank of full professor and made extraordinary contributions over an extended period of time to the Ostrom Workshop via scholarship, service, and/or teaching. Senior Research Fellows will be nominated and selected annually by the WAC to join previously honored colleagues.

We've also approved six new members of the AF: *Shahzeen Attari* (IUB, SPEA), *Jennifer Brass* (IUB, SPEA), *Sanya Carley* (IUB, SPEA), *James Farmer* (IUB, School of Public Health, Recreation, Park, and Tourism Studies), *Rob Holahan* (SUNY-Binghamton, Environmental Studies), and *Prakash Kashwan* (University of Connecticut, Political Science).

In addition, the WAC has been advising Codirectors Burney Fischer and Tom Evans on a long-needed update to the Workshop's governance document and best uses of the Workshop's endowment resources. Those uses may include improvements in Workshop technologies to allow off-campus AF participation in weekly Workshop

Senior Research Fellows selected in 2013:



Barbara Allen
Carleton College



Michael McGinnis
IU Bloomington



James Walker
IU Bloomington

colloquia and other regular events. We also hope to have funds available to invite more senior visitors to the Workshop, perhaps with titles attached. Needless to say, when any such plans are finalized, we will inform the AF. Finally, the WAC held its semestral meeting with the AF on Friday, April 19. Turnout for the meeting was light, with a total of about 10 AF members (not including WAC members) in attendance (including by Skype call). In addition to updating the AF on activities of the WAC over the past semester, it was also the AF's first opportunity to interact with members of the IU search committee appointed to find a new "Executive Director" for the Ostrom Workshop. AF members were encouraged to recommend potential candidates and to talk to friends and colleagues who might be encouraged to apply.

Current WAC members and term expirations:

BARBARA ALLEN, Department of Political Science, Carleton College, Northfield, MN (2011–14)

JUAN-CAMILO CARDENAS, Facultad de Economía, Universidad de Los Andes, Bogota, COLOMBIA (2012–15)

DANIEL COLE, Maurer School of Law, IUB (2011–14)

AURELIAN CRAIUTU, Department of Political Science, IUB (2011–14)

SALVADOR ESPINOSA, School of Public Affairs, San Diego State University, CA (2011–14)

NAZIF SHAHRANI, Central Eurasian Studies, IUB (2013–15)

CATHERINE TUCKER, Department of Anthropology, IUB (2010–13)

Workshop Endowments

THE OSTROMS ESTABLISHED a total of six endowments during their tenure as Workshop directors. With this wonderful legacy and the due diligence of future Workshop directors, the Ostrom Workshop and its programs will continue to thrive in perpetuity. The following is a brief listing of the purposes and uses of each endowment as well as the current principal in each endowment.

- *Ostrom Endowment Fund* (\$3.68 million)

PURPOSES AND USES: Establishment, support, and maintenance of staff salaries, scholarly research, writing, or other inquiry into the comparative study of institutions in human societies.

- *Workshop Research Chair for Visiting Scholars in Institutional Analysis and Development* (\$1.46 million)

PURPOSES: The primary purpose is to afford support for active research scholars (i.e., Workshop Visiting Scholars Program) to visit the Workshop, for a length of stay determined by the faculty, in order to bring work to completion, to advance that work to publication with regard to the place of institutional arrangements in the development and emergence of human civilizations, and to work with faculty and graduate students associated with the Workshop. In addition, the funds will be used to facilitate the visits of scholars to the Workshop on a short-term basis in order to share their research on institutional arrangements and behavior (Workshop Colloquium Series). A secondary purpose of the fund is to support candidates for the Workshop Research Chair from cultural, ecological, and social sciences; the humanities, including history and philosophy; and the social professions, including law and public administration, are eligible to apply.

USES: Expenditures may include, but not be limited to, a salary or salary supplement including sabbatical leave arrangements, support for research including travel, manuscript preparation, graduate research assistance, library and equipment acquisitions, and other requirements of an active scholar. If funds are adequate, two or more incumbents could hold the Workshop Research Chair simultaneously.

- *Workshop Graduate Fellowship* (\$232,000)

PURPOSES AND USES: Support graduate fellowships. Preference will be given to first-year graduate students with an interest in “Institutional Analysis and the Empirical Study of Institutional Arrangements” or to support work on a dissertation later in the recipient(s) career.

- *The Elinor Ostrom-Johan Skytte Prize Fellowship* (\$80,000)

PURPOSES AND USES: A graduate fellowship for students intending to pursue a doctoral degree in the political sciences.

- *Tocqueville Fund for the Study of Human Institutions* (\$3.73 million)

PURPOSES AND USES: Establishment, support, and maintenance of staff salaries, scholarly research, writing, or other inquiry into the comparative study of institutions in human societies.

NOTE: Donors intend both *income and principal*, as required, may be used to support the purposes of the gift. It is the current intention of the Workshop to spend only the *income* from this account.

- *Nobel Fund* (\$736,000)

PURPOSES AND USES: Establishment, support, and maintenance of staff salaries, scholarly research, writing, or other inquiry into the comparative study of institutions in human societies.

NOTE: Both *income and principal*, as required, may be used to support the purposes of the fund. It is the current intention of the Workshop to spend only the *income* from this account.

Jan 14 • *Saba Siddiki*, assistant professor, SPEA, IU–Purdue University, “Policies and Perceptions: Using the Institutional Grammar Tool to Assess Policy Design, Appropriateness, and Coerciveness”

Jan 18 (Tocqueville Program) • *David Orentlicher*, Samuel R. Rosen Professor of Law and Codirector, William S. and Christine S. Hall Center for Law and Health, IU–Purdue University, “Two Presidents Are Better Than One: The Case for a Bipartisan Executive Branch”

Jan 25 (Tocqueville Program) • *Guillaume Ansart*, associate professor, Department of French and Italian, IUB, “Condorcet’s Writings on the United States”

Jan 28 • *Alison Mathie*, manager, Research and Publications, Coady International Institute, St. Francis Xavier University, Antigonish, Nova Scotia, Canada, “Asset-Based Approaches to Economic Citizenship and Community Development: Lessons from the Field of International Development Practice”

Feb 4 • *Daniel Cole*, professor of Law and SPEA; Ostrom Workshop; *Michael McGinnis*, professor of political science, IUB; and *Graham Epstein*, Workshop graduate student, “Improving and Combining the SES and IAD Frameworks”

Feb 11 • *Cristy Watkins*, postdoctoral research scientist, Social Sciences, The Field Museum, Environment, Culture, and Conservation (ECCo), Chicago, IL; and *Lynne Westphal*, project leader and research social scientist, USDA, Northern Research Station, People and Their Environments, Evan-

ston, IL, “Applying the IAD Framework to Ecological Restoration in the Chicago Wilderness”

Feb 13 • *Jacob Bower-Bir*, PhD candidate, Department of Political Science and SPEA; Ostrom Workshop, “Fairness and Faith in the American Economy”

Feb 18 • *Justin Ross*, assistant professor of public finance, SPEA, IUB, “State Revenue Forecasts as Political and Technical Problems: The Value of Consensus Forecasting and Forecast Acceptance in the Budget Process”

Feb 20 • *Luke Shimek*, PhD student, Department of Political Science and SPEA; Ostrom Workshop, “Strategic Rule Formation Under Externalities: Multilevel Institutional Analysis Using Network Formation Games”

Feb 25 • *Arthur Sherwood*, associate professor, Scott College of Business, Indiana State University, Terre Haute, IN; Workshop Visiting Scholar, “Democratically Owned and Controlled Businesses: Identifying Common and Unique Expectations of Co-op Board Governance Systems”

Feb 27 • *Ursula Kreitmair*, PhD student, Department of Political Science and SPEA; Ostrom Workshop, “Groups, Dictators, and Unequal Pay: An Experimental Study of Group Decision-Making and Membership Effects in Heterogeneous Groups”

Mar 4 • *Amanda Carrico*, research assistant professor, Vanderbilt Institute for Energy and Environment, Vanderbilt University, Nashville, TN, “The American Lawn as a Socio-Ecological System—An Analysis of Psychological and Social Predictors of Fertilizer Application”

Mar 6 • *Ursula Kreitmair*, PhD student, Department of Political Science and SPEA; Ostrom Workshop; and *Jacob Bower-Bir*, PhD candidate, Department of Political Science and SPEA; Ostrom Workshop, “Groups, Dictators, and

Unequal Pay: An Experimental Study of Group Decision-Making and Membership Effects in Heterogeneous Groups”

Mar 18 • *Daniel DeCaro*, postdoctoral researcher, Workshop Visiting Scholar; Busemeyer Decision Research Laboratory, IUB, “Psychological Effects of Public Participation and Economic Enforcement within a Commons Experiment”

Mar 20 • *Sanchayan Nath*, PhD student, Department of Political Science and SPEA; Ostrom Workshop, “Leadership and Forest Outcomes”

Mar 25 • *Josephine van Zeben*, Workshop Visiting Scholar, “Towards a Polycentric European Union?”

Mar 27 • *Tiago Jacauna*, sociologist/economist, PhD candidate in Social Science, Researcher of Center Environmental Studies, State University of Campinas, UNICAMP, Campinas–São Paulo, Brazil; Workshop Visiting Scholar, “Understanding the Brazilian Amazon Governance: Institutional Diversity and Social Network Analysis”

Apr 1 • *Dani Rodrik*, Rafiq Hariri Professor of International Political Economy, John F. Kennedy School of Government, Harvard University, Cambridge, MA, “Ideas and Interests in Political Economy”

Apr 3 • *Patricia Hania*, graduate student, Osgoode Hall Law School, York University, Canada, “Uncharted Waters: Applying the Lens of New Governance Theory to the Practice of Water Source Protection in Ontario”

Apr 5 (Tocqueville Program) • *José Antonio Aguilar Rivera*, visiting fellow, Kellogg Institute for International Studies, University of Notre Dame, IN, “Absent from the Universe? Latin American Political Theory in the Age of Nation-Building”

COLLOQUIA

Apr 8 • *Scott Barrett*, Lenfest-Earth Institute Professor of Natural Resource Economics, School of International and Public Affairs (SIPA) and Earth Institute, Columbia University, New York, NY, “Collective Action to Avoid Catastrophe”

Apr 10 • *Li Guoqing*, director, Urban Policy and Urban Culture Research Center, Institute of Urban and Environmental Studies, Chinese Academy of Social Sciences, Beijing, China; Workshop Visiting Scholar, “System and Practice of Homeowners’ Self-Management in the United States”

Apr 15 • *Robin Turner*, assistant professor, Department of Political Science, Butler University, Indianapolis, IN, “Where the Wild Things Are: Nature Tourism and Rural Politics in Southern Africa”

Apr 17 • *Shannon Lee Watkins, Sarah Mincey, Jess Vogt, Rachael Bergmann, and Burney Fischer*, Bloomington Urban Forestry Research Group (BUFRG) at CIPEC, IUB, “A Research Design for Evaluating the Outcomes of Neighborhood and Nonprofit Urban Forestry”

Apr 19 (Tocqueville Program) • *Seymour Drescher*, professor of history and sociology, University of Pittsburgh, PA, “Civil Society and Antislavery in Tocquevillian Perspective”

Apr 22 • *Michael Fotos*, lecturer, Department of Political Science and Ethics, Politics, and Economics, Yale University, New Haven, CT, “Vincent Ostrom’s Revolutionary Science of Association”

Apr 24 • *Zhu Yukun*, PhD candidate in public finance, Xi’an Jiaotong University, China; Workshop Visiting Scholar, “Institutional Analysis of China’s System of Environmental Taxes”

May 7 • *Violeta Cabello*, PhD candidate, Human Geography Department, University of Seville, Spain; and Visiting Scholar, Center for International and Interdisciplinary Research, University of Arizona, Tucson, “Water-Use Sustainability in Socioecological Systems: A Multiscale Integrated Approach”



Tocqueville Program Speakers for 2013–14

LECTURE SERIES: CAPITALISM, ITS DEFENDERS, AND CRITICS

<http://www.indiana.edu/~tcqville/>

THE POLITICAL AND ECONOMIC developments of the past six years have triggered vibrant debates on the nature and future of capitalism. They have reopened old questions and invited us to rethink a few issues and themes that some might have considered as closed or settled.

Our program for academic year 2013–14 will provide a public forum for investigating the underlying principles and institutions of capitalism (e.g., individual rights, private property, contracts, voluntary associations, entrepreneurship, the rule of law, limited government). Special attention will also be paid to the arguments put forward by capitalism’s critics over time. In keeping with the famous dictum *Audite et alteram partem* (“Listen even to the other side”), we want to help students take those differing perspectives and confront contrary views with an open and active mind.

We will invite distinguished lecturers from different fields and ideological perspectives who would address the theoretical and historical background pertaining to the topics under investigation. They will explore themes such as: revisiting Adam Smith’s famous “invisible hand” and the effectiveness of market institutions in the allocation of information and scarce resources; inequality and the moral foundations of capitalism, the relationship between liberty and equality revisited; the issue of minimal state vs. welfare state reconsidered. There are various national variants of state capitalism, and the differences among them would make for a fascinating debate. These forms of state capitalism have one thing in common as they allow politicians to have far more power than they would do under liberal capitalism. Equally important is the rise of new economic actors along with the state, with governments and multinationals becoming more sophisticated players and actors.

Our speakers will give individual talks on one particular theme and/or attend roundtables on each of the topics mentioned (see page 12). In line with the research carried out by the Ostrom Workshop, which hosts the Tocqueville Program, we will also feature a final event honoring the intellectual legacy of Elinor and Vincent Ostrom, exploring the issues of polycentricity, decentralization, and self-government.

FALL 2013

September 20, 2013: The Intellectual and Moral Foundations of Capitalism (lecture)

*Professor Deirdre McCloskey (University of Chicago, author of *Bourgeois Virtues*), “Market-Tested Innovation: Ethics, Rhetoric, and Outcome”*

October 4, 2013: Capitalism and Liberalism (lecture)

Professor Alan Ryan (Princeton, Former Warden of New College, Oxford), “Rereading J. S. Mill”

November 1, 2013: The Intellectual History of Capitalism (lecture and debate)

Professor Jerry Muller (Catholic University of America), “Capitalism and Inequality: What the Right and the Left Get Wrong”

SPRING 2014

February 7, 2014: Combining Hayek and Rawls: Minimal State and Welfare State Reconsidered (lecture and debate)

John Tomasi (Brown University), “Free Market Fairness”

February 28, 2014: Lessons from the Crisis: What Have We Learned about How Market Institutions (Mal)function in the Real World? Time for a More Visible Hand? (roundtable)

Les Lenkowsky (IUB) and James Piereson (Manhattan Institute)

March 28, 2014: Varieties of Capitalism (lecture)

Jeremy Jennings (King’s College, University of London), “Market and State in French Political Culture”

April 18, 2014: The Relevance of the Bloomington School (roundtable)

Peter Boettke (George Mason), Michael McGinnis (IUB), Dan Cole (IUB), Filippo Sabetti (McGill University)

IU Bloomington Sustainability Awards



BUFRG (far right): Jess Vogt, Kaitlyn McClain, & Jennifer Swilik

THE BLOOMINGTON URBAN FORESTRY RESEARCH GROUP (BUFRG)—located at IU’s Center for the Study of Institutions, Population, and Environmental Change (CIPEC)—is a 2012 corecipient of the first IU Bloomington Campus Sustainability Awards. In an awards ceremony at the Neal-Marshall Black Culture Center’s Grand Hall, the recipients were recognized for outstanding contributions to campus sustainability in the areas of leadership, teaching, research, and collaboration.

Emilie Rex, assistant director of sustainability, presented the “Excellence in Research” award to BUFRG, a coalition of university researchers and community members.

“I selected Bloomington Urban Forestry as the winning entry in the research category because I believe this

research will have significant and broad impact for IU along with the Bloomington and Indianapolis communities,” one selection committee member said. “As we continue to see impacts from climate change, this type of research will be essential for identifying mitigation mechanisms.”

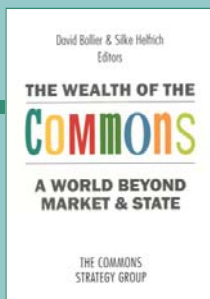
For more information about BUFRG, go to: http://www.indiana.edu/~cipec/research/bufrg_about.php. See IU News Room press release at: <http://newsinfo.iu.edu/news/page/normal/23483.html>.

Books and Monographs

Aligica, Paul Dragos. Forthcoming 2013. *Institutional Diversity and Political Economy: The Ostroms and Beyond*. New York: Oxford University Press.

Barbashin, Maksim U. 2012. *Institutions and Ethno-Genesis: The Institutional Reproduction of Ethnic Identity in Local Communities*. [In Russian.] Rostov-on-Don: Southern Federal University Press.

The book examines ethnic identity formation from the neo-institutional approach and demonstrates institutional features of ethno-genesis in the world. Anyone interested in ethnic groups and ethnic identity issues would find this book of considerable interest.



Bollier, David, and Silke Helfrich, eds. 2012. *The Wealth of the Commons: A World Beyond Market and State*. Amherst, MA: Levellers Press.

In 73 timely essays by a remarkable international roster of activists, academics, and project leaders, this book chronicles ongoing struggles against the private commoditization of shared resources—often known as market enclosures—while documenting the immense generative power of the commons. *The Wealth of the Commons* is about history, political change, public policy, and cultural transformation on a global scale—but most of all, it's about individual commoners taking charge of their lives and their endangered resources.

Ostrom, Elinor, with contributions by Christina Chang, Mark Pennington, and Vlad Tarko. 2012. *The Future of the Commons: Beyond Market Failure and Government Regulation*. London: Institute of Economic Affairs.

This short book provides a brief introduction to the work of the late Elinor Ostrom, 2009 Nobel Laureate in Economic Sciences. Her work is of vital importance in understanding how we can manage difficult environmental problems without top-down government regulation. As Professor Ostrom suggests, examples such as European Union fisheries illustrate the difficulties of approaching the management of common-pool resources with the mind-set that government regulation can be a panacea. The monograph features a lecture given by Elinor Ostrom just before she died, as well as explanations of her work, its relevance, and practical examples by other eminent authors.



Translations

Ostrom, Elinor. 2012. *Governing the Commons: The Evolution of Institutions for Collective Action*. [In Chinese.] Shanghai, China: Shanghai Translation Publishing House.

Poteete, Amy, Marco Janssen, and Elinor Ostrom. 2013. *Trabajar Juntos: Acción Colectiva, Bienes Comunes y Múltiples Métodos en la Práctica* [Working together: Collective action, the commons, and multiple methods in practice]. Mexico: Fondo de Cultura Economica.

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Forthcoming special issues honoring the Ostroms

Journal of Latin American Geography

The issue will be dedicated to Elinor Ostrom and will focus on Latin American commons. Edited by Jim Robson et al., <http://www.utexas.edu/utpress/journals/jlag.html>.

Policy Matters (journal of CEESP/IUCN)

"Elinor Ostrom's Work on Common Property and Its Contribution to the Theory and Practice of Conservation and Sustainable Natural Resource Management." Edited by Jim Robson et al., http://www.iucn.org/about/union/commissions/ceesp/ceesp_publications/pm/.

Public Choice

The issue will include 16 plenary papers from Public Choice's 50th anniversary conference held in March 2013. The conference devoted a plenary session to each of four main pillars in public choice scholarship, including Virginia Political Economy, Social Choice, the Bloomington School, and Experimental Economics. Edited by Edward Lopez and William Shughart, <http://www.springer.com/economics/public+finance/journal/11127>.

Publius: The Journal of Federalism

"The Federalisms of Elinor and Vincent Ostrom." Edited by John Kincaid, <http://publius.oxfordjournals.org/>.

IN THE NEWS

Gwen Arnold has accepted an Assistant Professor and Assistant Policy Specialist position in the Agricultural Experiment Station in the Department of Environmental Science and Policy at the University of California, Davis, beginning July 1, 2013.

Workshop Affiliated Faculty members, **Sanya Carley** (SPEA) and **Rinku Roy Chowdhury** (Geography), are both recipients of a 2012–2013 Outstanding Junior Faculty Award. Awarded by IU's Office of the Vice Provost for Faculty and Academic Affairs and the Office of the Vice Provost for Research, the distinction carries with it a significant financial award to support the recipient's ongoing research. Carley's award will support research on state-by-state energy investments with funds from the American Recovery and Reinvestment Act, the 2009 federal stimulus program. Roy Chowdhury's award will support cross-continental analyses of coastal mangroves in Mexico and Bangladesh.

A team of Workshop-affiliated researchers submitted a proposal to the US National Science Foundation to create a Center on Governance and Sustainability of Social-Ecological Systems (COGS). Many long-time Workshopers invested substantial time to the development of this proposal that Lin initiated late in 2011. While the proposal made it to a set of eleven evaluated in the last round of review, ultimately it was not among the five that were selected for funding. Nevertheless, the group behind this work is pursuing ways to continue the vision of this work through other means.

Aurelian Craiutu was awarded a Conference Grant of \$7,000 from IU's Arts & Humanities Institute in December 2012. The award will be used to help fund travel and accommodation costs for guest speakers attending the Tocqueville Program's "Lecture Series: Capitalism, Its Defenders, and Critics" (see pp. 11–12).

The U.S. Agency for International Development through Higher Education for Development has awarded Indiana University \$4.2 million for a 2-year project to promote women's access to and success in higher education in South Sudan. Heading the project in the country will be **Julia Duany** (see story at <http://inside.iub.edu/features/stories/2013-04-25-iniub-feature-story-sudan.shtml>).

IASC 2013: The 14th global conference of the International Association for the Study of the Commons will be held in Mt. Fuji, Japan, June 3–7, 2013. The theme of the conference is: "Commoners and the Changing Commons: Livelihood, Environmental Security, and Shared Knowledge."

Prakash Kashwan has been nominated to the editorial board of the *Journal of Political Science & Public Affairs*, and to the IUCN Commission on Environmental, Economic and Social Policy (CEESP). Also, his dissertation research was highlighted by *Global Land Project* (http://www.globallandproject.org/arquivos/news9/Feature_research_notes.pdf).

In keeping with tradition, the Workshop held its end-of-the-semester **Miniconference** on April 29, 2013. See the agenda at: http://www.indiana.edu/~workshop/courses/Y673/MiniconfAgenda_April2013.pdf.

Lin Ostrom is featured in a new UCLA video, *The Optimists 2.0* (<http://www.ucla.edu/optimists/>), which includes famous alumni (see also <http://www.ucla.edu/optimists/ostrom>).



The Ostroms' totem pole now resides in the Workshop living room.

A conference on "Networked Social Enterprises: Commons for Local Communities" will be held in memory of **Lin Ostrom** in Verona, Italy, on May 17, 2013 (<http://www.magverona.it/imprese-sociali-in-rete/>).

The **Ostrom Workshop** was highlighted as one of five examples of "Philanthropy at Work" in the IU Foundation's magazine, *Imagine* (see Spring 2013 issue at: <http://imagine.iuf.indiana.edu/articles/spring13/philanthropy-at-work.html>).

Tommaso Vitale and wife welcomed the birth of their son, Carlo, on November 11, 2012.

Jianxun and Ran Wang welcomed the birth of their first child, a son, Ruogu, on March 4, 2013.

Happy Spring & Summer!

