

Polycentric Circles

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Indiana University

Sawyer awarded Gusi Peace Prize



ON THURSDAY, NOVEMBER 24, at a ceremony in Manila, the Philippines, Amos Sawyer was presented with the 2011 Gusi Peace Prize. The prize is presented each year by the Manila-based nonprofit Gusi Peace Prize Foundation and supported by a proclamation by former Philippines President Gloria Macapagal-Arroyo.

Amos was recognized for having “worked tirelessly for peace and the establishment of democratic governance in his home country as well as for the establishment and strengthening of institutions and processes of democratic governance and development in Africa.” He served as interim president of Liberia in 1990–94 and in recent years has chaired the Governance Commission of Liberia at the appointment of President Ellen Johnson Sirleaf.

At Indiana University, Sawyer has been codirector and associate director of the Workshop and remains an affiliated faculty member. “We have been fortunate to have Dr. Amos Sawyer as a colleague, faculty member, and researcher here at IU,” said Elinor Ostrom. “He has been instrumental in bringing peace to Liberia in many ways. His support for the presidential candidacy of Ellen Johnson Sirleaf brought new opportunity to Liberia after centuries of coping with corrupt officials. President Johnson Sirleaf’s recent award of the Nobel Peace Prize

(Continued)

POLYCENTRIC CIRCLES

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WE WANT TO HEAR FROM YOU! Send us information regarding research projects, publications, announcements, and/or photographs. For inclusion in the next issue, send your items to the editor, Claudia Brink (cbrink@indiana.edu), by April 13, 2012

To help keep our address database up-to-date, please send e-mail and/or snail mail changes to workshop@indiana.edu

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(Sawyer Awarded Gusi Peace Prize continued)

is an international acknowledgment of her contribution and the importance of Amos' extensive efforts to help her be successful. We all send our very best congratulations to Dr. Sawyer for this recognition and look forward to many opportunities in the future to learn still more from him."

Sawyer has led peace-building and election observation missions and has worked to build capacity for democratic governance in several African nations. He is one of seven members of the Panel of Eminent Persons of the African Peer Review Mechanism, a program of the African Union. In mid-November, Sirleaf bestowed Liberia's highest national honor on Sawyer, admitting him into the Most Venerable Order of the Knighthood of the Pioneers with the grade of Grand Cordon.

"Amos Sawyer has been an important figure in Indiana University's long-standing and productive engagement in Liberia," said IU President Michael McRobbie. "He has worked for decades to bring peace and reconciliation to his war-torn nation, most recently as a key adviser to President Ellen Johnson Sirleaf and chairman of the Governance Commission of Liberia. He is richly deserving of this award, and Indiana University extends to him its deepest congratulations for the honor."

Added Karen Hanson, IU Bloomington provost and university executive vice president: "We at Indiana University are tremendously proud of Amos Sawyer and his efforts in support of democratic governance and reconciliation in Liberia. He is not only a respected public official but a scholar whose work has examined policy issues and identified opportunities for peace and progress in Africa. We are delighted by the news of the Gusi Peace Prize and this important recognition of Dr. Sawyer and his work."

Sawyer holds a PhD in political science from Northwestern University and served as a professor and dean at the University of Liberia in the 1970s and '80s. He is the author of the books *Beyond Plunder: Toward Democratic Governance in Liberia* (2005) and *The Emergence of Autocracy in Liberia: Tragedy and Challenge* (1992), as well as numerous scholarly articles.

—Adapted from IU News Room, Nov. 21, 2011, <http://newsinfo.iu.edu/news/page/normal/20412.html>. Photo courtesy of Indiana University.

NSF grant to fund study of snowmelt-dependent agricultural systems

RESEARCHERS AT INDIANA UNIVERSITY and two other institutions have been awarded a \$1.2 million National Science Foundation grant to study the impact of climate change on water resources and the ability of governance systems to adapt to the resulting challenges.

The three-year project, which includes experts from diverse academic disciplines, will focus on the changing availability of water from glaciers and seasonal snow packs, an increasingly important source for irrigation of agricultural lands and global food supply.

The principal investigator is Tom Evans, associate professor in the Department of Geography, IUB. Coprincipal investigators are: Elinor Ostrom; Dan Cole, professor in the Maurer School of Law and School of Public and Environmental Affairs, IUB; Kelly Caylor at Princeton University; and Kristler Andersson at the University of Colorado.

“Much of the world’s population depends on water from glaciers and snow pack, and climate change threatens to significantly alter the availability of melt-water for irrigation and other purposes,” Ostrom said. “This research will help us better understand the likely impact of these changes, as well as the capacity of individu-

als and households to adapt to water scarcity.”

Global water withdrawals have doubled in the past 40 years to support increases in agricultural irrigation: 45 percent of global food supply is now produced on only 20 percent of global cropland. As a result, more than one-sixth of the

“Much of the world’s population depends on water from glaciers and snow pack, and climate change threatens to significantly alter the availability of melt-water for irrigation and other purposes.”

world’s population relies on glaciers and seasonal snow pack for agricultural production. But climate change will have an impact on snowfall and on the amount and timing of water discharges, as temperatures and precipitation patterns change and glaciers shrink or even disappear. Systems for allocating water to users will have to respond.

The project will focus on snowmelt-dependent, semi-arid regions in Colorado and New Mexico and in eastern Kenya, providing a range of governmental and institutional arrangements to study.

The researchers will integrate methodological approaches from physical sciences, social sciences and legal scholarship, including interviews, focus groups, field



SNOW-CAPPED MOUNTAINS PROVIDE WATER FOR AN IRRIGATION DITCH AT THIS NEW MEXICO SITE.

hydrological measurements and GIS-based spatial modeling, to assess the vulnerability of communities to climate change and understand how they might respond to alterations in water availability. They will examine how governance systems have responded to past changes in order to gauge the resilience of institutions under different climate scenarios.

The research will develop new knowledge about the ways in which institutional diversity can contribute to effective management of water resources, providing guidance for policy makers who seek nuanced alternatives to “one-size-fits-all” resource management approaches. The project builds on original work in understanding complex social-ecological systems produced by Ostrom and her colleagues.

The grant comes from NSF’s Dynamics of Coupled Natural and Human Systems program, which promotes interdisciplinary studies of complex processes and interactions between human and natural systems at diverse scales.

—Adapted from IU News Room, Sept. 14, 2011, <http://newsinfo.iu.edu/news/page/normal/19578.html>. Photo courtesy of Indiana University.

Workshop Advisory Council update

THE WORKSHOP ADVISORY COUNCIL (WAC) has had a busy year with a number of meetings and activities. We hosted the Workshop Affiliated Faculty fall meeting on November 11. In brief, the agenda included the following items: (1) welcome and update from Lin Ostrom, (2) discussion with Workshop Director Mike McGinnis, (3) update on WAC activities, (4) discussion of the future leadership direction for the Workshop, and (5) the beginnings of a discussion on fundraising for the Workshop.

The WAC has two big agenda items for 2011–12. First, Mike McGinnis will step down as Workshop Director in summer 2012 to take a year-long sabbatical. He and former codirector Jimmy Walker both suggest that we strongly consider proposing to the Office of the Vice Provost for Research (OVPR) that the Workshop returns to a codirector leadership model. The WAC agrees with this recommendation and is working toward a proposed structure of codirectors for Research and Programs/Operations that we will make to

OVPR shortly. We are discussing this structure with possible codirector candidates. The process will be for the WAC to propose both the new leadership structure and candidates to OVPR for their decision-making process. We will provide an update on this effort in the next newsletter.

Second, fundraising for both Workshop research and programs is an increasing concern. For many years, OVPR has generously provided funding for staff and expenses that supports research activities and programs (visiting scholars, colloquia, book parties, miniconferences, etc.). Due to fiscal constraints at the state level, OVPR funding will be declining starting in 2012. The WAC is beginning the work on the development of a strategy for discussions with the IU Foundation.

The WAC is always looking for good ideas from the Workshop family, so please send us your thoughts and ideas. Also, we will have two openings on the WAC for 2012–13, and we would like to hear from any of our Affiliated Faculty if you are interested.

—Burney Fischer
(bufische@indiana.edu)

EIGHT NEW AFFILIATED FACULTY members were welcomed to the Workshop in 2011:

- *Xavier Basurto*, assistant professor of sustainability science, Marine Science and Conservation Division, Nicholas School of the Environment, Duke University, Beaufort, NC
- *Jennifer Brass*, assistant professor, School of Public and Environmental Affairs, IUB
- *Eduardo Brondizio*, professor and chair, Department of Anthropology; associate director, Anthropological Center for Training and Research on Global Environmental Change (ACT), IUB
- *Kathleen Gilbert*, professor, Department of Applied Health Science; executive associate dean, School of Health, Physical Education, and Recreation, IUB
- *Joan Pong Linton*, associate professor, Department of English, IUB
- *Ajay Mehrotra*, professor of law and Louis F. Niezer Faculty Fellow, Maurer School of Law; adjunct associate professor of history; and codirector, Center for Law, Society, and Culture, IUB
- *Justin Ross*, assistant professor of public finance and economics, School of Public and Environmental Affairs, IUB
- *Michael Schoon*, assistant director, Complex Adaptive Systems Initiative, Arizona State University, Tempe

WAC MEMBERS AND TERM EXPIRATION

Burney Fischer, chair (2009–12)
Barbara Allen (2011–14)
Daniel Cole (2011–14)
Aurelian Craiutu (2011–14)
Salvador Espinosa (2011–14)
Catherine Tucker (2010–13)
Jimmy Walker (2009–12)

IFRI training course



THIS FALL, INDIANA UNIVERSITY hosted the annual training course for the International Forestry Resources and Institutions (IFRI) research program, which alternates with the University of Michigan. The course explores major theoretical approaches to understanding local governance of forest ecosystems, the institutional arrangements associated with sustainable management of natural resources, and the biological indicators of forest outcomes. Led by Burney Fischer (instructor on forestry) and Catherine Tucker (instructor on social-institutional theories and methods), the course trained thirteen participants.

Six IU graduate students, representing degree programs in public policy, environmental sciences, political science, and anthropology/geology, took the course, along with Michael Cox, a Workshop research associate. In addition, the course welcomed six visiting scholars: Pratiti Priyadarshini and Rahul Chaturvedi joined us from the Foundation for Ecological Security in India; Zenebe Gebreegziabher came from the Ethiopian Development Research Institute; Jesper Larsson represented the Swedish University of Agricultural Sciences, Uppsala; and Joanna Chan and Carl Salk participated from the University

(Continued on p. 9)

Visitors

Visiting scholars

MANSEE BAL (Fall 2011), PhD scholar in public administration, Erasmus University, Rotterdam, the Netherlands, is also involved in education in Master of Science in Urban Management courses at the Institute for Housing and Urban Development Studies, IHS. She is interested in urban development and landscape design projects and has founded along with her husband Akshay Bhargava, the consultancy firm, Environmental Design Consultants EDC, in Ahmedabad, India. Mansee is featured in IU's *Scholar Newsletter* (Winter 2011/12) at: <http://ois.indiana.edu/scholars/newsletter/2011/winter.php>

IVO BAUR (Oct 13–Dec 18) is a PhD candidate in geography at Karl Franzens University, Austria. Ivo is analyzing common-property pastures in the Swiss Alps from a social-ecological systems perspective. Thereby, he is investigating the interplay of rules, and actors' land-use decisions.

JOANNA CHAN (Fall 2011), PhD candidate, Environmental Studies Program, University of Colorado, Boulder. Her research interest is to study the decision-making process of communities regarding sustainable use and management of common-pool resources. Joanna will conduct her research in Bolivia, where she will study how 200 rural communities govern their forestry resources as well as the motivations behind their decisions.

RAHUL CHATURVEDI (Aug 24–Oct 31) works with Foundation for Ecological Security (FES), India. A graduate in economics and post-graduate in rural development, his interest lies in exploring institutional arrangements at different levels for effective governance of common-pool land and water resources.

VIKRAM DAYAL (Aug 31–Oct 28), an environmental economist, works at the Institute of Economic Growth in Delhi, India. He completed a PhD in economics from the University of Delhi and worked at Ranthambore National Park.

DANIEL DECARO (Aug 2010–Aug 2012), PhD, is a postdoctoral researcher at the Workshop and assistant director for IU's Interdisciplinary Experimental Laboratory. There, Daniel is working to apply his formal expertise in Applied Social and Cognitive Psychology to institutional policy evaluation, design, and implementation. He is especially interested in the relation between participatory forms of human governance and individuals' commitment to the goals and rules inherent in such institutional settings.

KLAUS EISENACK (Fall 2011) is assistant professor of Environment and Development Economics, Carl von Ossietzky University, Oldenburg, Germany, where he leads the Chameleon Research Group since 2008. In 2006, he received a PhD in mathematics at the Free University Berlin. His current research focuses on adaptation to climate change and models of institutional arrangements.

ZENEBE GEBREEGZIABHER (Aug 24–Nov 7) is a research fellow at the EfD (Environment for Development) initiative center in Ethiopia/EEPFE and assistant professor, Department of Economics, Mekelle University. His research interest in relation to common-property resources lies on welfare implications of institutional alternatives in common-pool resources management.

JESPER LARSSON (Oct 2010–Oct 2012), Department of Economics, Swedish University of Agricultural Sciences in Uppsala, received a PhD degree in agrarian history in October 2009. In his research about agricultural systems, he has used theories about the management of common-pool resources.

VISITORS



PARTICIPANTS IN THE INTERNATIONAL FORESTRY RESOURCES AND INSTITUTIONS (IFRI) RESEARCH PROGRAM, IUB, FALL 2011

ANAS MALIK (Spring 2012) is associate professor of political science at Xavier University in Cincinnati, OH. His interests are in political Islam and political economy of development.

TANIA NG (Aug 25–Nov 27) recently graduated from the Lee Kuan Yew School of Public Policy. Her research interests are in the field of social gerontology and political science of religion. Her current research focuses extensively on studying Elderly Activities Clubs (EACs) in Japan to identify micro-situational variables that foster trust and cooperation among participants.

PRATITI PRIYADARSHINI (Aug 23–Oct 31) is working with the Foundation for Ecological Security (FES), an NGO set up in 2001 to reinforce the massive and critical task of ecological restoration work in the country. A graduate in political science and a postgraduate in rural development, she has been working on issues of community-based natural resource management with focus on common-pool land and water resources in Rajasthan, India.

HANNES RUSCH (Aug 25–Oct 31) is a PhD candidate in philosophy of biology at Justus-Liebig-University, Giessen, Germany. He works on Dr. Ulrich Frey's research project on sustainable CPR management and also at the Peter Löscher Chair of Business Ethics at TU München.

CARL SALK (Aug 26–Oct 31) is a post-doc at the University of Colorado and a researcher at the International Institute for Applied Systems Analysis in Laxenburg, Austria. Most of his background and experience is in forest ecology, and he is now working at the interface of ecology and forest resource management.

AUDUN SANDBERG (Oct 25–Nov 25) is professor of sociology at the Faculty of Social Science, University of Nordland, Bodø, Norway. He has been working with analyzing institutions for governing resources in northern areas in coastal, forest, and mountain environments for many years. During the last four years, he has been part of a large EU project on Science and Policy Integration in Coastal Systems.

NAZIF SHAHRANI (academic year) is professor in Central Eurasian Studies, Anthropology, and Near Eastern Languages and Cultures at Indiana University.

REBECCA STECKER (Fall 2011) is a PhD candidate, Department of Economics, Carl von Ossietzky University, Oldenburg, and works in the research group "Chameleon—Adapting Energy and Transport to Climate Change." Her current research interests focus on institutional analysis of adaptation policies, the role of the government in adaptation policy, and the interplay between different actors in this policy arena.

RUNSHENG YIN (academic year) is associate professor and resource economist at Michigan State University and director of the Ecosystem Policy Institute of China. Funded by NSF and other agencies, he has assessed the socioeconomic impacts of China's ecological restoration programs and evaluated the induced changes in ecosystem services.

Short-term visitors

PROF. DR. MARLIES AHLERT, Martin-Luther-Universität Halle-Wittenberg, Germany (Sept 29–30)

PROFESSOR BARBARA ALLEN, Department of Political Science, Carleton College, Northfield, MN (Nov 26–Dec 2)

DR. KRISTER ANDERSSON, Department of Political Science, University of Colorado, Boulder (Aug 17–18)

ROLAND BURGER, member, Board Club of Rome Italy Peccei Foundation (Aug 28–29)

CHIU CHING-JUNG, PhD candidate, Institute of Public Affairs Management, National Sun Yat-Sen University (Aug 4)

DR. ERIC COLEMAN, Department of Political Science, Florida State University (Aug 8)

JENNIFER FRASER, assistant to the director, Center for the Study of Institutional

Diversity, Arizona State University, Tempe (Oct 2–4)

DR. JO GULDI, historian of modern Britain and a junior fellow at the Harvard Society of Fellows (Aug 4)

SAM JOSEPH, Bangalore, India (Oct 23–27)

HARTMUT KLIEMT, head of Legal Studies and Ethics Department, Institute for International Health Management, Frankfurt School of Finance and Management, Germany (Aug 29–30)

PROFESSOR MARGARET LEVI, Department of Political Science, University of Washington, Seattle, and **DR. JOHN AHLQUIST**, Department of Political Science, University of Wisconsin, Madison (Nov 3–4)

LETICIA MERINO, Instituto de Investigaciones Sociales, UNAM, Mexico (Oct 23–26)

RANDY NIELSEN, Program Office, Kettering Foundation (Oct 14)

JEREMY PITT & JULIA SCHAUMEIER, Intelligent Systems and Networks Group, Imperial College, London (Oct 3–4)

GULLAPALLI RAO, chair, Hyderabad Eye Institute, Hyderabad, India (Aug 18)

JAGDEESH RAO, chief executive, Foundation for Ecological Security (Oct 13–14)

MICHAEL SOHLMAN, executive director, The Nobel Foundation (1992–2011) (Oct 13)

DR. JIANXUN WANG, China University of Political Science & Law, Beijing (Aug 10–13)

Vincent Ostrom and The Quest to Understand Human Affairs

IN A WORKSHOP COLLOQUIUM on November 30, 2011, Barbara Allen (Ada M. Harrison Distinguished Teaching Professor of the Social Sciences, professor of political science, Carleton College) discussed the work of Vincent Ostrom and its importance for the study of institutional analysis and development. Allen recently finished editing the two-volume set of Ostrom's previously unpublished essays: *The Quest to Understand Human Affairs: Natural Resources Policy and Essays on Community and Collective Choice, Volume I* (2011) and *The Quest to Understand Human Affairs: Essays on Collective, Constitutional, and Epistemic Choice, Volume II* (forthcoming 2012). {Click above titles for discount order forms.}

Volume I presents 50 essays on the US government's environmental problems and resource governance, and studies for the Public Administration Service (PAS) including drafting the State of Alaska Constitution Article VIII on natural resources that span the six decades of Ostrom's career in political science and public administration. Beginning with a 1947 essay on Western (US) issues in national politics and ending with a 2004 manuscript on constitutional foundations and federal institutional forms, these documents tell us about significant developments in administration, constitutional design, and the evolution of theory and practice in the field of institutional analysis and development. Such studies as those completed by Ostrom for the Oregon State Water Board on the damming of the Snake River (1958) and on the Termination of Federal Trusteeship Responsibilities of the Klamath Indians (1957–58) are linked to his subsequent theoretical writings on federalism, public economies, and public service orders.

The 36 essays, memos, and speeches of Volume II are divided among three parts: (I) Constitutional Choice, (II) Epistemic Choice, and (III) The Quest for Understanding and the Future of Democratic Self-Governance. Part I includes studies on public sector performance and the constitutional dilemmas facing the Federal Republic of Nigeria, the North American "New World" of US constitutionalism, and the United States of Mexico. Essays on David Hume and James Buchanan help the reader to draw from these empirical cases studies to offer more general theoretical propositions about constitutional choice and institutional development. In the essays of Part II, Ostrom turns to the foundational ideas on which the institutions of a particular culture rest. He raises questions about the methodologies of the social sciences, especially the approaches taken in public administration and policy analysis, and insists that we return to "basic questions" in our search for institutional forms that will liberate human communities. Part III offers the reader a colloquy on self-governance in which Ostrom's speeches and presentations on a variety of twenty-first-century issues are supplemented with letters and memos between Ostrom and visiting scholars and students. Allen has annotated each document with extensive headnotes and footnotes that provide context and identify key events and persons cited in the works.

Colloquia

Colloquia papers are available online at:
www.indiana.edu/~workshop/colloquia/index.php

Fall 2011

SEPT 12 • **Gerard Magliocca**, professor of law, IU School of Law–Indianapolis, “Constitutional Liability Rules”

SEPT 19 • **Gunnar Köhlin**, associate professor of economics, Göteborg University, Sweden, “Land Reform through Non-Intervention Agreements: Testing a Policy to Induce Neighborly Relations”

SEPT 21 • **Mansee Bal**, Workshop visiting scholar; “Towards Sustainability of Urban Lake Systems in India: A Close Look at the Current Lake Governance Approach”

SEPT 26 • **David Hart**, director of Online Library of Liberty, Liberty Fund, Indianapolis, IN, “Opposing Economic Fallacies, Legal Plunder, and the State: Frédéric Bastiat’s Rhetoric of Liberty in the Economic Sophisms (1846–1850)”

SEPT 28 • **Klaus Eisenack**, Workshop visiting scholar, “Construction of Archetypes as a Formal Method to Analyze Social-Ecological Systems”

OCT 3 • **Hilton Root**, professor, School of Public Policy, George Mason University, Arlington, VA, “After Liberalism: The Complexity of Governance and Evolution of the Modern State”

OCT 5 • **Rebecca Stecker**, Workshop visiting scholar, “Institutional Challenges of Water Governance to Adapt to a Changing Climate”

OCT 10 • **Sangeeta Sonak**, director, Centre for Natural Resource Management, St. Inez, Panaji, Goa, India; “Determinants of Successful Environmental Regimes in the Context of the Coastal Wetlands of Goa”

OCT 12 • **Vikram Dayal**, Workshop visiting scholar, “Analyzing Institutions in Resource and Development Econometrics: Recognizing Institutions, Exploring Levels, and Querying Causes”

OCT 17 • **Mike McGinnis**, Workshop director; **Joan Pong Linton**, associate professor of English; **Carrie Ann Lawrence**, PhD student, HPER; **Ryan Conway**, PhD student, political science; and **Claudia Brink**, Workshop assistant director, “Managing the Health Commons: An Interim Report”

OCT 19 • **Hannes Rusch**, Workshop visiting scholar, “Tackling CPR Problems with Neural Networks: An Interim Report on Our Proceedings”

OCT 24 • **Leonid Polishchuk**, professor, Higher School of Economics, Moscow, Russia, “Collective Management of Residential Housing in Russia: The Importance of Being Social”

OCT 26 • **Ivo Baur**, Workshop visiting scholar, “Adapting to Societal Transitions by changing Rules in the Governance of Common Property Pastures in the Swiss Alps”

OCT 31 • **Brian Czech**, president, Center for the Advancement of the Steady State Economy, Arlington, VA, “Ecological Macroeconomics, A Trophic Conundrum, and Steady Statesmanship in Global Affairs”

NOV 2 • **Tania Ng**, Workshop visiting scholar, “Building Trust and Reciprocity in Elderly Activity Clubs: An Institutional Intervention”

NOV 7 • **David Bell**, professor of sociology, IUPUI, “Testing Social Norms and Normative Theories”

NOV 9 • **Marco Cervellini**, PhD candidate, School of Environmental Sciences, University of Camerino; “Predictive Models of Social-Ecological System (SES): Developing Sustainable Forest Management Regimes in the Beech Coppice Forest System in Central Italian Apennine”

NOV 14 • **Audun Sandberg**, Workshop visiting scholar, “Arctic Games”

NOV 16 • **Runsheng Yin**, Workshop visiting scholar, “The Implementation and Impacts of China’s Largest Payment for Ecosystem Services Program as Revealed by Longitudinal Household Data”

NOV 28 • **Jos Raadschelders**, professor and Henry Bellmon Chair of Public Service, Ohio State University, Columbus, “Local Associational Life: The Vital Element in the Rise and Fall of Political Regimes”

NOV 30 • **Barbara Allen**, professor of political science, Carleton College, Northfield, MN, “Vincent Ostrom and the Quest to Understand Human Affairs: Researching and Editing Unpublished Manuscripts for New Volumes of Ostrom’s Previously Unpublished Works”

DEC 2 • **Christopher Marquis**, associate professor of organizational behavior, Department of Sociology, Harvard University, Boston, MA, “Fields, Communities, and Institutional Pluralism in the Age of Globalization”

DEC 5 • **Fabio Rojas**, associate professor of Sociology, IUB, “The Distinctiveness of Antiwar Activism: Paths of Activist Participation in a Multi-Movement Environment”

DEC 7 • **Forrest Fleischman**, Workshop research assistant; PhD candidate, SPEA, “Organizational Influences on the Decision-Making of Indian Forest Managers”

Three Workshop book parties in one semester!

DURING THIS FALL SEMESTER, the Workshop sponsored and hosted three book parties, in which a few faculty, visitors, and students gather to offer comments on a draft manuscript to the author or authors. Although others might designate these events as “manuscript review sessions,” we choose to celebrate them as “book parties” because of the constructive contestation that they involve. These festivities were directed at the following draft manuscripts:

1. *Surrogates for Government? NGOs and the State*, by Jennifer Brass, September 30
2. *Constructing Communities of Fate: Leaders, Governance, and Political Activism in Voluntary Organizations*, by John Ahlquist and Margaret Levi, November 4
3. *Field Research in Political Science*, by Lauren MacLean, Diana Kapiszewski, and Benjamin Read, December 2

Jennifer Brass is a new addition to IU and to the Workshop, whereas Lauren MacLean has been around long enough to have just been awarded tenure (Congratulations!). Margaret Levi is a long-time colleague of Lin’s, and she chaired our most recent external review committee (Thanks for the positive review!). She was pleased to see that her committee’s recommendations about painting the buildings had already been implemented.

All three book parties were all-day affairs, and the authors received constructive comments that should help improve the final products. Most discussants were IU faculty or students, supplemented by such external visitors as Torben Iversen (Harvard), Aili Tripp (Wisconsin), and Elisabeth Wood (Yale). Several Workshop students took extensive notes, which the authors found especially useful. Thanks to everyone for being so generous with your time and effort!

(IFRI training course continued)

of Colorado, Boulder. Each of the visiting scholars aims to incorporate IFRI research methods and use of the database in their upcoming research activities.

As a major part of the course, participants conduct research in a nearby forested community. This year, the class visited the Lothlorien Nature Sanctuary, a community that harbors a protected forest area and serves as a retreat center for people who embrace nature-based spiritual beliefs. This visit continued longitudinal research that has been carried out every five years since 1997. Lin Ostrom kindly gave two guest lectures and accompanied the class to its initial research visit in the community.

The class applied social science and forestry data collection methods, including interviews, surveys, participant observation, and forest mensuration, to learn about the institutional, social, economic, biophysical, and ecological contexts of the community, and the changes that have occurred since the previous research visit.

The class benefitted from the warm welcome and collaborative participation of Lothlorien’s members, who graciously shared their experiences and insights. The research found that the forest has continued to mature under the careful protection of the community. The class estimated that above-ground carbon sequestration in the forest has increased from an estimated 2851–3730 megagrams (MgC) in 1997, to an estimated 3854–5088 MgC in 2011 (depending on the allometric equations used). Overall, the community has demonstrated a remarkable ability to remake its governance arrangements to meet internal and external challenges, while maintaining its commitment to forest conservation.

—Catherine Tucker

9/16/11

Fall Kick-Off BBQ



FRONT-BACK: JESPER LARSSON, CATHERINE TUCKER, RUNSHENG YIN; EMILY CASTLE, MIAO CHEN, PATTY LEZOTTE, DAVID PRICE, DARLA KUH; REN BAOXIAN, YUAN JIA, MANSEE BAL, REBECCA STECKER, LIN OSTROM, NICOLE TODD, MICHAEL COX, CARL SALK, HANNES RUSCH, DANIEL DeCARO, KLAUS EISENACK, VIKRAM DAYAL, ROBIN HUMPHREY, SCOTT JENSEN



ZENEBE GEBREEGZIABHER, MARCI & DANIEL DeCARO, PRATITI PRIYADARSHINI



MIKE MCGINNIS, LIN OSTROM, BURNEY FISCHER



RYAN GRANHOLM & MANSEE BAL



ROBIN HUMPHREY, MIAO CHEN, HANNES RUSCH, DAVID PRICE

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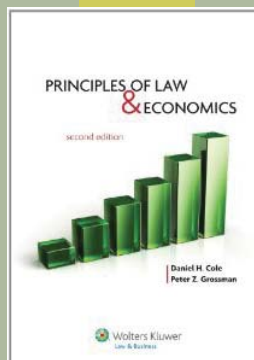
DURING THEIR TRIP TO China in May 2011, Lin Ostrom and Mike McGinnis visited five universities in Beijing. As usual, Lin's itinerary was a bit more complicated, so her visit also included trips to the University of Hong Kong and Sun Yat-Sen University in Guangzhou. It's worth noting that former Workshop students or visiting scholars have faculty positions at each of these universities. Among the highlights were a trip to the Great Wall, a conference at Renmin University in which some 90 papers were presented (most on topics related to polycentricity), and an informal dinner held by the Chinese Ostrom Society, which included an impressive dance performance by a traditional "face-changer."

Lin and Mike tour China



RAN ZHANG, MEI MENG, YAHUA WANG, LIN OSTROM, JIANXUN WANG, YOUHONG CHEN, JING ZHAO

Publications

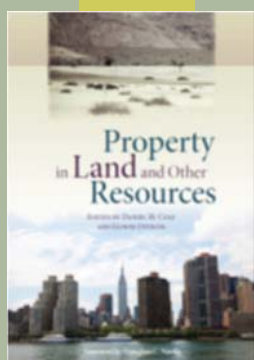


Barbashin, Maksim. 2011. *Institutional Democracy and Social Dilemmas: The Experience of Post-Soviet Transformations* [in Russian]. Saarbrücken, Germany: Lambert Academic Publishing.

The book examines institutional foundations of democratic processes in Post-Soviet transition epoch and demonstrates the importance of social dilemmas institutional regulation for stable democratic development.

Cole, Daniel, and Peter Grossman. 2011. *Principles of Law and Economics*. 2nd ed. New York: Wolters Kluwer/Aspen.

This is an undergraduate coursebook designed specifically for students with little or no background in economics. Written in a clear, jargon-free style, it provides an overview of the relationship between law and economics, including leading-edge topics such as environmental law, intellectual property law, and game theory.

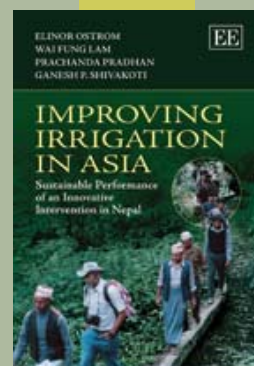


Cole, Daniel, and Elinor Ostrom, eds. 2011. *Property in Land and Other Resources*. Cambridge, MA: Lincoln Institute of Land Policy.

This book, based on a 2010 Lincoln Institute conference, addresses the tendency in social science literature to oversimplify the concept of property rights by assuming that only two or three forms of property rights are appropriate for the effective use and conservation of resources. Instead, it focuses on recent developments in our understanding of how various property systems are applied to and affect the use of scarce natural resources.

Ostrom, Elinor, Wai Fung Lam, Prachanda Pradhan, and Ganesh Shivakoti. 2011. *Improving Irrigation in Asia: Sustainable Performance of an Innovative Intervention in Nepal*. Cheltenham, UK: Edward Elgar.

This book is based on a longitudinal study over two decades on innovative intervention for sustained performance of irrigation systems. The work identifies key factors that can help explain the performance of interventions, and explicates lessons for resource management and the management of development assistance.



FORTHCOMING:

Craiutu, Aurelian. 2012. *A Virtue for Courageous Minds: Moderation in French Political Thought, 1748–1830*. Princeton, NJ: Princeton University Press.

Ostrom, Vincent. 2012. *The Quest to Understand Human Affairs: Essays on Collective, Constitutional, and Epistemic Choice*, vol. 2. Edited by Barbara Allen. Lanham, MD: Lexington Books. [{Discount Order Form}](#)

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Ostrom, Elinor. 2011. *El Gobierno de los Bienes Comunes: La Evolución de las Instituciones de Acción Colectiva* [Governing the commons: The evolution of institutions for collective action]. 2nd edition. Translated by Corina Yturbe Calvo and Adriana Sandoval. Mexico: Universidad Nacional Autónoma de México (UNAM).

Ostrom, Elinor. 2011. *Governing the Commons: The Evolution of Institutions for Collective Action* [in Russian]. Institute for Dissemination of Information on Social & Economic Sciences (IDISES).

Poteete, Amy, Marco Janssen, and Elinor Ostrom. 2011. *Trabalho em Parceria: Ação Coletiva, Bens Comuns e Múltiplos Métodos* [Working together: Collective action, the commons, and multiple methods in practice]. Translated by Rogerio Bettoni. São Paulo, Brazil: Editora Senac.

PUBLICATIONS

Articles

Allen, Jennifer, Jenny DuVander, Ida Kubiszewski, and Elinor Ostrom. 2011. "Institutions for Managing Ecosystem Services." *Solutions* 2(6) (Nov–Dec): 44–48.

Bastakoti, Ram, and Ganesh Shivakoti. 2011. "Rules and Collective Action: An Institutional Analysis of the Performance of Irrigation Systems in Nepal." *Journal of Institutional Economics*, FirstView October 19, 2011, doi:10.1017/S1744137411000452.

Cole, Daniel. 2011. "From Global to Polycentric Climate Governance." *Climate Law* 2(3): 395–413.

Cole, Daniel, and Elinor Ostrom. 2012. "The Variety of Property Systems and Rights in Natural Resources." In *Property in Land and Other Resources*, 37–64. Cambridge, MA: Lincoln Institute of Land Policy.

Coleman, Eric, Burnell Fischer, and John Kershaw Jr. 2011. "Using Stocking Guides to Take Stock of Forest Institutions." *Society & Natural Resources*, doi:10.1080/08941920.2011.585390.

Coleman, Eric, and Elinor Ostrom. 2011. "Experimental Contributions to Collective Action Theory." In *Cambridge Handbook of Experimental Political Science*, ed. James Druckman et al., 339–52. New York: Cambridge University Press.

Folke, Carl, Åsa Jansson, Johan Rockström, Per Olsson, Stephen Carpenter, et al. 2011. "Reconnect-

SPECIAL ISSUES

"Collective Action Research, Practice and Theory: Celebrating Elinor Ostrom and Her Work." *Grassroots Economic Organizing (GEO) Newsletter* 2(9) (Sept 2011), <http://www.geo.coop/issue/9>

"Symposium: The Bloomington School." *The Good Society* 20(1) (2011), http://muse.jhu.edu/journals/good_society/toc/gso.20.1.html

"The 20th Anniversary of Elinor Ostrom's *Governing the Commons*," Part 2. *International Journal of the Commons* (Aug 2011), <http://www.thecommonsjournal.org/index.php/ijc/index>

ing to the Biosphere." *Ambio*, doi: 10.1007/s13280-011-0184-y.

Janssen, Marco, François Bousquet, and Elinor Ostrom. 2011. "A Multi-method Approach to Study the Governance of Social-Ecological Systems." *Natures Sciences Sociétés*: 4–16.

McGinnis, Michael. 2011. "Elinor Ostrom: Politics as Problem-Solving in Polycentric Settings." In *Maestri of Political Science*, vol. 2, ed. Donatella Campus, Gianfranco Pasquino, and Martin Bull, 137–58. Colchester, UK: ECPR Press.

McGinnis, Michael, and Elinor Ostrom. 2011. "Reflections on Vincent Ostrom, Public Administration, and Polycentricity." *Public Administration Review*, Early View article first published online November 11, 2011, doi: 10.1111/j.1540-6210.2011.02488.x.

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Poteete, Amy, and Jesse Ribot. 2011. "Repertoires of Domination: Decentralization as Process in Botswana and Senegal." *World Development* 39(3): 439–49.



In the News

PEACE & HAPPINESS
to all this holiday season!



MATTHEW AUER and MICHAEL COX'S paper, "Appraising Climate Change Information Reported to Congress," was selected as a "Highly Commended" article (among the top four articles in 2010) appearing in the *International Journal of Climate Change Strategies and Management* at the Emerald Literati Network Awards for Excellence 2011.



BRENDA BUSHOUSE'S book, *Universal Preschool: Policy Change, Stability, and the Pew Charitable Trusts* (2009), has received the 2011 Virginia A. Hodgkinson Book Prize. Awarded by the Association for Research on Non-profit Organizations and Voluntary Action (ARNOVA), the Hodgkinson prize recognizes the best book on philanthropy and the nonprofit sector that informs policy and practice.

GAYLE HIGGINS has been promoted to "Account and Financial Support Specialist."

DARLA KUH joined the Workshop staff as "Events Scheduler" on August 15, 2011.

DAVID PRICE has been promoted to "Office and Facilities Coordinator."

FILIPPO SABETTI has been awarded a three-year research grant by the Humanities and Social Science Research Council of Canada to study "civic artisanship: discovering the past, inventing the future."

CEREN SOYLU, visiting scholar, 2010, has joined the faculty of the Economics Department at the University of Massachusetts. She is teaching courses on Society and Environment: Global and Local Perspectives on Conflict and Cooperation and Game Theory. Her research interests include: political economy of conflict and collective action, political economy of power, and political ecology.

SERGIO VILLAMAYOR-TOMAS was awarded a Doctoral Dissertation Research Improvement Grant by NSF for his dissertation proposal on "Understanding Robustness: Institutions, Disturbances, and Performance in Spanish Irrigation Communities." Sergio will be conducting fieldwork in Zaragoza, Spain, Sept 2011–Feb 2012.



STAYING CONNECTED WITH WORKSHOPPERS EVERYWHERE

Most of us have heard about, and a lot of us use, Facebook to stay in touch with friends and family. LinkedIn (www.linkedin.com) is a professional and collegial version of Facebook. David Price, our Office and Facilities Coordinator, has set up a page for the Workshop and we invite you to join us. We can use LinkedIn to: announce new publications and grants; look for Workshopppers with similar research interests; post notices about WOW5; and let others know about new positions at our institutions. From the Workshop, we'll use LinkedIn to let you all know about the latest happenings here and conferences you might be interested in attending.

Please come join us in LinkedIn land. Thanks!

