Happy 2016!

We hope that the new year is off to a great start for everyone, and look forward to continued and new collaborations that the year will bring.

In moving forward with our Strategic Plan, we are pleased to announce that Dean Lueck, currently professor of agricultural and resource economics at the University of Arizona, accepted our offer to be the Director of our Program on Governance of Natural Resources. We identified Economic, Legal, and Political Development as the second program that builds upon the intellectual legacy of the Ostroms and the Ostrom Workshop, and progress is being made toward its establishment. Dean will begin officially in July 2016, but will be visiting the Ostrom Workshop from January 28 to February 2. A roundtable session will be held on January 29 from 2:00–3:00 p.m. in order to acquaint Dean with our affiliated faculty who work in the areas of natural resources and the environment.

Filling a newly created position, Margaret Baechtold will begin on February 1 as Operations Manager. Margaret will direct and oversee the day-to-day operations, including supervision of staff and project management. She will also be responsible for developing long-range strategic planning and identifying new non-research funding sources. She is a former Air Force officer and IU staff member, having served as director and coordinator of IU’s Veterans Support Services and University Military and Veterans Services, respectively. Margaret received a Bachelor of Science in Physics from Kansas State University and a Master of International Public Policy from the Johns Hopkins University School of Advanced International Studies.

The latter part of 2015 brought about some internal staff changes. On October 31, Julie England (Systems Analyst/Programmer) separated from the Ostrom Workshop due to a reduction in force. Julie’s combined tenure with CIPEC and the Ostrom Workshop was 29 years. She is an Adjunct Instructor at SPEA this spring semester, teaching a course on Managing Information Technology. On December 16, Ray Eliason resigned from his position as Computing Systems Manager, which he held for 16 years. We extend our welcome to Dean and Margaret to the Ostrom Workshop community, and wish much success to Julie and Ray in their future endeavors.

Events at the Ostrom Workshop

Did you know that the Ostrom Workshop hosts three weekly series? Each semester, the Colloquium Series, Research Series, and Tocqueville Lecture Series take place on Monday, Wednesday, and Friday, respectively. For a list of speakers and presentations for Spring 2016, visit our website at: http://ostromworkshop.indiana.edu/events/index.php.

NEW LIVESTREAM URL

Watch the Monday Colloquium Series via livestream. Go to: http://go.iu.edu/15Q3. Click the ‘Take me there’ button. Allow for up to 60 seconds for the video to start.
I follow the development of the literature on open access problems in the post WWII period and the standard policy recommendations associated with it: Pigouvian taxes, regulation, subsidies to correct the distortion created when private agents do not bear the full social costs or benefits of their actions. I then describe the criticisms of these approaches associated with the work of Elinor Ostrom, Oliver Williamson, and especially Ronald Coase. I augment Coase’s discussion of transaction costs by adding those involved in lobbying politicians and the bureaucracy. I argue that because interest groups, politicians and agency officials do not bear the full social costs of their actions, there is a second source of externality along with market failure—government failure. The potential distortions arising from lobbying and political/bureaucratic incentives when private and social costs do not align has been neglected in the literature. Vincent Ostrom’s work is a basis for understanding bureaucratic behavior. I then examine how the literature and policy have responded to Coase’s arguments, particularly with cap and trade schemes. I argue that neither have fully incorporated transaction costs in property rights definition and market design. This has important implications for market performance. I conclude with recommendations for further research.
IN MEMORIAM

Timothy Hennessey
October 8, 2015
OBITUARY

Douglass C. North
November 23, 2015
OBITUARY

Ostrom Workshop External Board of Advisors

<table>
<thead>
<tr>
<th>Name</th>
<th>Term</th>
<th>Position and Affiliation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Thráinn Eggertsson</td>
<td>Chair, 2015–2017</td>
<td>Chair, 2015–2017, Professor of Economics, Institute of Economic Studies, University of Iceland, and Hertie School of Governance, Berlin, Germany</td>
</tr>
<tr>
<td>Tom Ginsburg</td>
<td>2015–2019</td>
<td>Professor of Law and Political Science, University of Chicago</td>
</tr>
<tr>
<td>Gary Libecap</td>
<td>2015–2018</td>
<td>Professor of Economics, University of California, Santa Barbara, Bren School of Environmental Science &amp; Management; Research Associate, NBER; Senior Fellow, Hoover Institute</td>
</tr>
<tr>
<td>Jane Menken</td>
<td>2015–2018</td>
<td>Distinguished Professor of Sociology, University of Colorado; Research Professor, Institute of Behavioral Science</td>
</tr>
<tr>
<td>Sonja Opper</td>
<td>2015–2018</td>
<td>Professor of Economics, Lund University, Sweden; Research Fellow, Center for Economy and Society, Cornell University</td>
</tr>
<tr>
<td>Kenneth Shepsle</td>
<td>2015–2019</td>
<td>Professor of Government, Harvard University</td>
</tr>
<tr>
<td>Mary Shirley</td>
<td>2015–2019</td>
<td>President, Coase Institute</td>
</tr>
<tr>
<td>Henry Smith</td>
<td>2015–2018</td>
<td>Professor of Law, Harvard Law School</td>
</tr>
<tr>
<td>Barry Weingast</td>
<td>2015–2019</td>
<td>Professor of Political Science, Stanford University; Senior Fellow, Hoover Institution</td>
</tr>
</tbody>
</table>
Visiting Scholars Program

2016–17 VISITING SCHOLARS PROGRAM

Application Deadline: March 1, 2016

The Ostrom Workshop promotes visits from junior and senior scholars from around the world. Application and Instructions Information available at: http://ostromworkshop.indiana.edu/programs/vs_program.php

2015–16 Visiting Scholars

CRISTINA ADAMS
School of Arts, Sciences and Humanities
Institute of Energy and Environment
University of São Paulo, Brazil
August 14, 2015 – July 31, 2016

FEDERICA CARUGATI
Ostrom Workshop, Political Science Department, and Maurer School of Law
Indiana University Bloomington

FRANS DE VRIES
Environmental Economics
University of Stirling Management School
Scotland
April 18–30, 2016

LINDA KUIL
Centre for Water Resource Systems
Vienna University of Technology
Vienna, Austria
July 1 – November 1, 2015

FEDERICA CARUGATI
Ostrom Workshop, Political Science Department, and Maurer School of Law
Indiana University Bloomington

BERNARDO MUELLER
Department of Economics
University of Brasilia, Brazil

MAIKO NISHI
Urban Planning
Columbia University
New York, NY
February 1 – May 6, 2016

TOMAS NONNENMACHER
Department of Economics
Allegheny College
Meadville, PA
February 1–12, 2016

EOIN O’NEILL
School of Geography, Planning and Environmental Policy
University College Dublin
Dublin, Ireland
November 30 – December 4, 2015

JUNAID ALAM MEMON
Pakistan Institute of Development Economics
Islamabad, Pakistan
November 8, 2015 – October 25, 2016

BERNARDO MUELLER
Department of Economics
University of Brasilia, Brazil

MAIKO NISHI
Urban Planning
Columbia University
New York, NY
February 1 – May 6, 2016

TOMAS NONNENMACHER
Department of Economics
Allegheny College
Meadville, PA
February 1–12, 2016

EOIN O’NEILL
School of Geography, Planning and Environmental Policy
University College Dublin
Dublin, Ireland
November 30 – December 4, 2015
Publications


Developed from the efforts of a multiyear, international project examining how persistent, bioaccumulative, and toxic (PBT) chemicals are evaluated and managed, this book focuses on improving the processes that govern PBTs. Incorporating science and policy literature—as well as interviews and panel discussions featuring experts from around the world—this book provides an international perspective of PBT policies (centering on Europe, Asia, and North America), and reveals major findings and recommendations for improving PBT science, laws, and policies.


Reflecting the very latest research, this book provides a critical review of the ways in which resilience of social-ecological systems, and the ecosystem services they provide, can be enhanced. With contributions from leaders in the field, the chapters are structured around seven key principles for building resilience: maintain diversity and redundancy; manage connectivity; manage slow variables and feedbacks; foster complex adaptive systems thinking; encourage learning; broaden participation; and promote polycentric governance.


This volume examines the most well-known part of Lin’s legacy: her empirical, analytical, and theoretical work demonstrating that, in many cases, local resource users can solve collective-action problems through common-property management regimes. The volume comprises various papers relating to and building on the findings of her masterpiece, Governing the Commons (1990), including some lesser-known papers.


This book analyzes how increases in international trade, finance, and production have altered voter decisions, political party positions, and the types of public issues that parties focus on in postindustrial democracies.


This book is a collection of newly written essays by some of the most well-known Tocquevillian scholars today. The essays in the first part explore the development of Tocqueville’s thought, his intellectual voyage, during his trip to America and while writing Democracy in America. The second part focuses on the dissemination of Tocqueville’s ideas beyond the Franco-American context of 1835–1840 in places such as Argentina, Japan, and Eastern Europe.


This extensive reference writes a modern history of forestry in Japan, Indonesia, the Solomon Islands, and other Asian countries, reflecting industrial and colonial exploitation, periods of excessive deforestation, and the alienation of local residents from natural resources. Drawing on their experience as “participant observers” in local practice, the authors suggest new, “inclusive” approaches to forestry governance that support sustainable development, environmental preservation, and productive collaboration by various stakeholders.

FORTHCOMING


Ostrom Workshop grant recipients share projects, discoveries,” by Federica Carugati, December 14, 2015.


“Do public goods have to be public? Not in some African countries,” by Danielle Carter Kushner, Lauren M. MacLean, and Jeffrey W. Paller, September 23, 2015.
Just before everyone withdrew for the much-deserved break at the end of 2015, a diverse group of twenty scholars gathered at the Ostrom Workshop to collaborate on the topic of polycentricity. Their goals were: to take stock of recent developments surrounding the concept of polycentricity; look ahead at how it could be discussed and disseminated more coherently and in light of recent contributions; and to plan a set of publications including at least one book and a journal special issue.

This authors’ workshop developed under the initiative of Andreas Thiel of Humboldt-Universität zu Berlin and University of Kassel in Germany, Bill Blomquist of IUPUI in the United States, and Dustin Garrick of McMaster University in Canada, and with funding from the German Science Foundation, the Einstein Foundation Berlin, and the Ostrom Workshop itself. It continued an effort that began at WOW5 in 2014 and included panels and roundtables at other professional meetings earlier in 2015. Overall, a group of approximately 30 scholars have participated in these efforts, 19 of whom were able to participate in the four-day workshop in December. The participants came from five countries, included senior and junior scholars, and in true Ostrom Workshop fashion, spanned a variety of disciplines. They convened in the Ostrom Room at 513 N. Park, but over the course of the four days smaller groups working on particular topics could be found in various corners throughout the building. Blog posts and more photos are available at http://www.raulpacheco.org/2016/01/thinking-polycentrically-authors-workshop-at-the-ostrom-workshop-on-political-theory-and-policy-analysis/. (Thanks to Raul Pacheco-Vega!)

At the close of the four days, the participants and organizers parted, fully content with days of reflection and inspiration after an exciting year and with the certainty of being part of an important publication process. New ideas were processed and networks were spun. All this would not have happened without the outstanding atmosphere of Bloomington and the Ostrom Workshop, and the warm and professional hospitality of its outstanding staff, to whom all the participants are greatly indebted. Many more such meetings should take place—Workshoppers and all interested should watch for the outcomes that the meeting described here promises to generate in the coming months and years.

—Andreas Thiel & Bill Blomquist

EDUARDO BRONDIZIO (Anthropology) and DANIEL COLE (Law and SPEA) were panelists at “The Pope’s Encyclical on Climate Change: Religious, Legal, and Social Perspectives,” sponsored by the Center on American and Global Security, IUB, September 15, 2015. The panelists explored the varied religious, legal, and social consequences of climate change as they intersect with the wider issues of human security in light of the Pope’s statement on the phenomenon.

SPECIAL ISSUE CALL FOR PROPOSALS: BUSINESS AND POLITICS. “Property Rights, Financial Risk, and the Politics of a Networked Global Financial System.” Guest editors: W. Travis Selmier (wselmier@indiana.edu) and W. Kindred Winecoff (wkwineco@indiana.edu). Please direct all inquiries to the editors. Publication is slated for Spring 2017.

The Center for the Study of Institutional Diversity (CSID) at Arizona State University has changed its name to the CENTER FOR BEHAVIOR, INSTITUTIONS AND THE ENVIRONMENT (CBIE) to better reflect the scope of their research and teaching (https://cbie.asu.edu/).

DANIEL COLE (Law and SPEA), GRAHAM EPSSTEIN (PhD candidate at SPEA), and MICHAEL McGINNIS (Political Science and SPEA) have been awarded the 2015 Elinor Ostrom Prize for “the best full-length article published in JOIE (Journal of Institutional Economics) in 2014” for their article, “Digging Deeper into Hardin’s Pasture: The Complex Institutional Structure of the ‘Tragedy of the Commons,’” JOIE 10(3) (2014): 353–69. The prize was announced at the 2nd annual meeting of the World Interdisciplinary Network for Institutional Research (WINIR), Rio de Janeiro, Brazil, Sept. 12, 2014. More information about JOIE’s Elinor Ostrom Prize can be viewed here: http://journals.cambridge.org/action/displaySpecialPage?pageld=5536.

The INNOVATIONS IN CLIMATE GOVERNANCE (INOGOV) network is organizing an (open and funded) workshop on legitimacy in polycentric governance settings in Florence, Italy, in May 2016 (more information). See also a more general (open and funded) INOGOV meeting in Bratislava in June 2016 that looks at “Polycentricity in Action,” which spans the INOGOV network (more information).


A 12-foot-tall IU-funded tower constructed next to WonderLab Science Museum in Bloomington will provide a new home for about 250 members of a bird species whose habitat has been threatened by a number of factors over the past century. Support for the project, called “Swifts in the City,” comes from an inaugural ELINOR OSTROM PROGRAM GRANT, launched by the IUB College of Arts and Sciences in 2014 in honor of the Ostrows (see http://news.indiana.edu/releases/2015/10/swift-tower-bird-habitat.shtml).

2016 OSTROM FACULTY AND GRADUATE STUDENT RESEARCH AWARD PROGRAM. Application Deadline: February 22, 2016. The Ostrom Workshop invites applications to the 2016 Ostrom Faculty and Graduate Student Research Award Program (http://ostromworkshop.indiana.edu/programs/ora_program.php#ora_program). Applications are restricted to IU-based Workshop affiliated faculty and graduate students.

Dedicated to Lin and Vincent, the “OSTROM ROOM” recently opened in the new School of Global & International Studies (SGIS) building on the IU Bloomington campus. Some art and handmade furniture from the Ostrows’ collection, as well as a few of their authored books, are now housed in room 2150 of the building.


AMOS SAWYER, Ostrom Workshop research associate, was honored in Liberia on his 70th birthday (see http://viewpoints.iu.edu/policy-briefings/2015/07/10/iu-scholar-sawyer-honored-in-liberia-on-70th-birthday/). The event included the reading of a letter from IU Bloomington Provost Lauren Robel, thanking Sawyer for his service to IU.