

Vincent A. Ostrom (1919–2012)

Curriculum Vitae

ADDRESS

The Vincent and Elinor Ostrom Workshop
in Political Theory and Policy Analysis
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EDUCATION

- Mt. Baker Union High School, Deming, Washington, 1937
- Los Angeles City College, 1938–40
- BA with major in political science, UCLA, 1942
- MA, UCLA, 1945
- PhD, UCLA, 1950

PhD dissertation: “[Government and Water: A Study of the Influence of Water upon Governmental Institutions and Practices in the Development of Los Angeles](#)”

FELLOWSHIPS AND AWARDS

- Faculty Research Fellow, Social Science Research Council, 1954–55, 1956–58
- Fellow, Center for Advanced Study in the Behavioral Sciences, 1955–56
- Fellow, Center for Interdisciplinary Research, Bielefeld University, Germany, 1981–82
- Hooker Distinguished Visiting Scholar, McMaster University, Hamilton, Ontario, 1984–85
- Daniel Elazar Distinguished Scholar Award, American Political Science Association 1991 meeting. Award recognizes a lifetime of contributions to the study of federalism and intergovernmental relations.
- Martha Derthick Best Book Award for *The Political Theory of a Compound Republic*, American Political Science Association 1999 meeting. Award given for best book published at least ten years prior that has made a lasting contribution to the study of federalism and intergovernmental relations.
- Robert O. Anderson Sustainable Arctic Award from the Institute of the North, 2003 International Association for the Study of Common Property (IASCP) Northern Polar Conference, for vital role in the drafting of the Natural Resource Article in the Alaskan Constitution (first and only state constitution to contain such an article).
- Atlas Economic Research Foundation’s Fund for the Study of Spontaneous Order’s Lifetime Achievement Award, 2003, co-recipient with Elinor Ostrom, in honor of their individual contributions and joint work with the Workshop.
- John Gaus Distinguished Lecturer Award, 2005 American Political Science Association meeting, honoring a lifetime of exemplary scholarship in the joint tradition of political science and public administration.
- University Medal, Indiana University Bloomington, 2010, co-recipient with Elinor Ostrom, honoring individuals for singular or noteworthy contributions, including service to the university and achievement in arts, letters, science and law.

POSITIONS HELD

- Process server and investigator, State Bar of California, 1938–41
- Teaching Assistant, UCLA, 1942–43
- Teacher, Chaffey Union High School, Ontario, California, 1943–45
- Assistant Professor of Political Science, University of Wyoming, 1945–48
- Assistant Professor of Political Science, University of Oregon, 1949–54
- Research Associate, Bureau of Municipal Research, Summer, 1950

- Associate Director, Pacific Northwest Cooperative Program in Educational Administration (W. K. Kellogg Foundation grant): 1951–58
- Associate Professor of Political Science, University of Oregon, 1954–58
- Associate Professor of Political Science, UCLA, 1958–64
- Research Associate, Resources for the Future, Inc., 1962–64
- Professor of Political Science, Indiana University, 1964–90
- Arthur F. Bentley Professor Emeritus of Political Science, 1990–2012
- Co-Director, Workshop in Political Theory and Policy Analysis, Indiana University, 1973–2003
- Founding Director, Vincent and Elinor Ostrom Workshop in Political Theory and Policy Analysis, Indiana University, 2003–12

SPECIAL ASSIGNMENTS

- Program coordinator for Wyoming Assessors' School, 1946–48, and Budget Officers' School, 1947–48
- Executive Secretary, Wyoming League of Municipalities, 1947–48
- Consultant to Wyoming Legislative Interim Committee, 1947–48
- Consultant on Natural Resources, Alaska Constitutional Convention, 1955–56
- Consultant to the Tennessee Water Policy Commission, 1956
- Consultant on Study of Resource Management and Economic Development, Territory of Hawaii, 1958–59
- Member, Executive Board, Inter-University Case Program in Public Administration, 1956–60
- Member, Editorial Board, *American Political Science Review*, 1957–60
- Member and Vice-Chairman, Oregon State Water Resources Board, 1957–59
- Member, Education Policy Commission, Oregon Education Association, 1957–58
- Member, Yearbook Committee, *1961 Yearbook on Forces Influencing American Education*, National Society for the Study of Education, 1959–60
- Member, 1962 Program Committee, American Political Science Association. Editor-in-Chief, *Public Administration Review*, 1963–66
- Member, Consultant Team on Merger Study, Louisville-Jefferson County Public Schools, 1966
- Member, Task-Group on Human Uses of the Atmosphere, National Center for Atmospheric Research, Boulder, Colorado, 1966–67
- Program Chairman, North American-Yugoslav Seminar on Federalism, Bloomington, Indiana, June 1–7, 1967
- President, Public Choice Society, 1967–69
- Consultant, National Water Commission, 1970–72
- Member, Board of Editors, *Publius: The Journal of Federalism*, 1972–2005
- Member, 1978 Program Committee, American Political Science Association. Member, Research Group on Guidance, Control, and Performance Evaluation in the Public Sector, Center for Interdisciplinary Research, Bielefeld University, Germany, 1981–82
- Co-Editor, Summary Volume, Bielefeld Research Group, 1982–85
- Member, Advisory Board, Advisory Commission on Intergovernmental Relations Federal Court Study, 1985
- Member, Editorial Board, *Constitutional Political Economy*, 1989–2012
- Member, Editorial Board, *International Journal of Organization Theory and Behavior*, 1997–2006
- Member, Advisory Council, *Publius: The Journal of Federalism*, 2005–12

PUBLICATIONS

Books and Monographs

Water Supply, Monograph no. 8 in *Metropolitan Los Angeles: A Study in Integration*. Los Angeles, CA: Haynes Foundation, 1953.

The Units of Local Government in Oregon, 1951. Eugene: University of Oregon, Bureau of Municipal Research and Service, 1954.

Report on the Merger Issue with Luvern L. Cunningham, Archie Dykes, and James Kincheloe. Louisville, KY: Louisville-Jefferson County Public Schools, 1966. Mimeo.

- Water and Politics: A Study of Water Policies and Administration in the Development of Los Angeles*. New York: Johnson Reprint Corp. 1972 [1953] (Los Angeles, CA: Haynes Foundation, 1953).
- Institutional Arrangements for Water Resource Development—With Special Reference to the California Water Industry*. Prepared for the National Water Commission. Springfield, VA: National Technical Information Service, 22151, PB 207 314, April 1972.
- Understanding Urban Government: Metropolitan Reform Reconsidered*, with Robert Bish. Washington, DC: American Enterprise Institute, 1973.
- Comparing Urban Service Delivery Systems: Structure and Performance*, edited with Frances P. Bish. Beverly Hills, CA: Sage, 1977.
- Guidance, Control, and Evaluation in the Public Sector*, edited with F. X. Kaufmann and G. Majone. Berlin, NY: de Gruyter, 1986.
- Local Government in the United States*, with Robert Bish and Elinor Ostrom. San Francisco, CA: ICS Press, 1988.
- The Meaning of American Federalism: Constituting a Self-Governing Society*. San Francisco, CA: ICS Press, 1991.
- Rethinking Institutional Analysis and Development: Issues, Alternatives, and Choices*, edited with David Feeny and Hartmut Picht. 2nd ed. San Francisco, CA: ICS Press, 1993 [1988].
- The Meaning of Democracy and the Vulnerability of Democracies: A Response to Tocqueville's Challenge*. Ann Arbor: University of Michigan Press, 1997.
- The Intellectual Crisis in American Public Administration*. 3rd ed. Tuscaloosa: University of Alabama Press, 2008. [1st ed. 1973; rev. ed. 1974; 2nd ed. 1989].
- The Political Theory of a Compound Republic: Designing the American Experiment*. 3rd ed. Lanham, MD: Lexington Books, 2008. [1st ed. 1971; 2nd ed. 1987].
- The Quest to Understand Human Affairs: Natural Resources Policy and Essays on Community and Collective Choice*, vol. 1. Edited by Barbara Allen. Lanham, MD: Lexington Books, 2011.
- The Quest to Understand Human Affairs: Essays on Collective, Constitutional, and Epistemic Choice*, vol. 2. Edited by Barbara Allen. Lanham, MD: Lexington Books, 2012.

Chapters in Edited Books and Proceedings

- "The Political Dimension of Regional Analysis." In *Papers and Proceedings, vol. 2, 1956*, ed. Gerald A.P. Carrothers, 1956, pp. 85–91. The Regional Science Association, Second Annual Meeting.
- "Public Policy Studies: An Approach to Governmental Research." In *The Research Function of University Bureaus and Institutes for Government-Related Research*, ed. Dwight Waldo, 159–78. Berkeley: University of California, Bureau of Public Administration, 1960.
- "Education and Politics." In Sixtieth Yearbook of the National Society for the Study of Education, *Social Forces Influencing American Education*, 8–45. Chicago: University of Chicago Press, 1961.
- "The Role of Public and Private Agencies in Planning the Use of Water Resources." In *Proceedings of the Western Resources Conference, 1961*, 29–50. Boulder: University of Colorado Press, 1962.
- "Water Resource Management—Regional Planning." In *Seminar on Social and Cultural Problems*. Ljubljana: Tiskarna Toneta Tomsica.
- "The Politics of Education in a Democracy." In *The American Political Arena: Selected Readings*, ed. Joseph R. Fiszman, 367–76. Boston, MA: Little, Brown, 1962.
- "Property, Proprietorship and Politics: Law and the Structure of Strategic Opportunities in the California Water Industry." In *Papers of the Regional Science Association*, 98–111. Eugene: University of Oregon, 1964. Also published as reprint no. 47 by Resources for the Future, Washington, DC.
- "Culture, Science and Politics." In *The Making of Decisions: A Reader in Administrative Behavior*, ed. William J. Gore and J. W. Dyson, 85–92. New York: Free Press, 1964.
- "1964: Western Water Institutions in a Contemporary Perspective." In *Proceedings: Western Inter-State Water Conference*. Los Angeles: University of California, Water Resources Center, 1964, 22–29. Also published as reprint no. 53 by Resources for the Future, Washington, DC.
- "Water Resource Development: Some Problems in Economic and Political Analysis of Public Policy." In *Political Science and Public Policy*, ed. Austin Ranney, 123–50. Chicago, IL: Markham, 1968.
- "Conditions of Legal and Political Feasibility" with Elinor Ostrom. In *Natural Resource System Models in Decision Making*, ed. Gerrit H. Toebe. Lafayette, IN: Purdue University, Water Resources Center, 1970.
- "Problems for Institutional Analysis of the Great Lakes Basin" with Elinor Ostrom and Ira L. Whitman. In *Proceedings: Thirteenth Conference on Great Lakes Research*, 156–67. Toronto, Ontario: International Association for Great Lakes Research.

- "A Political Theory for Institutional Analysis" with Elinor Ostrom. In *Resource Management in the Great Lakes Basin*, ed. F. A. Butrico, C. J. Touhill, and I. L. Whitman, 173–86. Lexington, MA: D. C. Heath, 1971.
- "The Design of Public Organizational Arrangements." In *Perspectives of Property*, ed. G. Wunderlich and W. L. Gibson Jr., 156–80. Institute for Research on Land and Water Resources, Pennsylvania State University, 1972.
- "Some Problems of Institutional Analysis." In *Institutions for Urban-Metropolitan Water Management: Essays in Social Theory*, ed. Norman Wengert. Fort Collins: Colorado State University, 1972.
- "Community Development and the Mysteries of Politics." In *Policy and Politics of Rural Development*, Proceedings of the 52nd Conference of the American Country Life Association, Inc., July 9–10, 1973, 17–27. Cedar Falls, IA: Education Center, University of Northern Iowa, 1974.
- "Regionalism and Equities in the Distribution of Public Services." In *Proceedings of the Conference on Regionalism*, 40–45. Cleveland: Cleveland Urban League, 1975.
- "Neighborhood Organization and Urban Administration." In *Neighborhood Concepts of Local Government*, ed. Barbara Knight and Myra Mae McFarland, 14–17. Fort Wayne, IN: Government Reorganization Study Advisory Board, 1975.
- "Language, Theory, and Empirical Research in Policy Analysis." In *Problems of Theory in Policy Analysis*, ed. Phillip M. Gregg, 9–18. Lexington, MA: Lexington Books, 1976.
- "Understanding Urban Government: Metropolitan Reform Reconsidered" with Robert L. Bish. In *The Urban Economy*, ed. Harold M. Hochman, 95–117. New York: W. W. Norton, 1976.
- "Some Paradoxes for Planners: Human Knowledge and Its Limitations." In *The Politics of Planning*, ed. A. Lawrence Chickering, 243–54. San Francisco, CA: ICS Press, 1976.
- "The Political Architecture of American Federalism: An Inquiry Into Federalism as Means to Ends." In *Partnership in Federalism*, ed. Max Frenkel, 87–142. Bern, Switzerland: Peter Lang, 1977.
- "A Theory for Institutional Analysis of Common Pool Problems" with Elinor Ostrom. In *Managing the Commons*, eds. Garrett Hardin and John Baden, 157–72. San Francisco, CA: W. H. Freeman, 1977.
- "Structure and Performance." In *Comparing Urban Service Delivery Systems: Structure and Performance*, edited with Frances P. Bish, 19–44. Beverly Hills, CA: Sage, 1977.
- "Public Goods and Public Choices" with Elinor Ostrom. In *Alternatives for Delivering Public Services: Toward Improved Performance*, ed. E. S. Savas, 7–49. Boulder, CO: Westview Press, 1977.
- "What Counties Can Do to Facilitate Operation of Public Economics." In *Decade for Decisions: 1976–1986*, ed. Linda K. Ganschinetz, 113–25. Washington, DC: National Association of Counties, 1977.
- "Federal Principles of Organization and Ethnic Communities." In *Federalism and Political Integration*, ed. Daniel J. Elazar, 73–86. Ramat-Gan, Israel: Turtledove, 1979.
- "Functional Arrangements as Instruments of Federalism." In *Self Rule/Shared Rule: Federal Solutions to the Middle East Conflict*, ed. Daniel J. Elazar, 112–17. Ramat Gan, Israel: Turtledove, 1979.
- "The Theory and Practice of Public Administration as a Science of the Artificial." In *Public Administration. History and Theory in Contemporary Perspective*, ed. Joseph Uveges Jr., 39–53. New York: Marcel Dekker, 1982.
- "A Forgotten Tradition: The Constitutional Level of Analysis." In *Missing Elements in Political Inquiry: Logic and Levels of Analysis*, ed. Judith Gillespie and Dina Zinnes, 237–52. Beverly Hills, CA: Sage, 1982.
- "New Conceptual Developments and Opportunities for Reform." In *Strategies for Administrative Reform*, ed. Gerald Caiden and Heinrich Siedentopf, 25–38. Lexington, MA: Lexington Books, 1982.
- "Reflexions on Public Administration in Europe." In *The Development of Research and Training in European Policy-Making*, 122–69. Maastricht, the Netherlands: European Institute of Public Administration, 1983.
- "Nonhierarchical Approaches to the Organization of Public Activity." In *Implementing Governmental Change*, ed. Charles Gilbert, 135–47. Beverly Hills, CA: Sage, 1983.
- "Why Governments Fail: An Inquiry into the Use of Instruments of Evil to do Good." In *The Theory of Public Choice—II*, ed. James Buchanan and Robert Tollison, 422–35. Ann Arbor: University of Michigan Press, 1984.
- "Adam Smith und öffentliche Güter." In *Markt, Staat und Solidarität bei Adam Smith*, ed. F. X. Kaufmann and H. G. Krüsselberg, 135–57. Frankfurt: Campus Verlag, 1984.
- "Multiorganizational Arrangements in the Governance of Unitary and Federal Political Systems." In *Policy Implementation in Federal and Unitary States*, ed. Kenneth Hanf and Theo A. J. Toonen, 1–16. Dordrecht, the Netherlands: Martinus Nijhoff, 1985.
- "Constitutional Processes and Federal Structure." In *The American Way: Government and Politics in the United States*, ed. Lynton Robins, 24–39. New York: Longman, 1985.
- "Constitutional Considerations with Particular Reference to Federal Systems." In *Guidance, Control, and Evaluation in the Public Sector*, edited with F. X. Kaufmann and G. Majone, 111–25. New York: Walter de Gruyter, 1986.
- "A Fallibilist's Approach to Norms and Criteria of Choice." In *Guidance, Control, and Evaluation in the Public Sector*, edited with F. X. Kaufmann and G. Majone, 229–49. New York: Walter de Gruyter, 1986.
- "Votes and Vetoes" with Roberta Herzberg. In *Guidance, Control, and Evaluation in the Public Sector*, edited with F. X. Kaufmann and G. Majone, 431–43. New York: Walter de Gruyter, 1986.

- "Experience, Theory and Design" with F. X. Kaufmann and G. Majone. In *Guidance, Control, and Evaluation in the Public Sector*, edited with F. X. Kaufmann and G. Majone, 787–804. New York: Walter de Gruyter, 1986.
- "Garcia, Constitutional Rule, and the Central-Government Trap." In *Federalism and the Constitution: A Symposium on Garcia*, Advisory Commission on Intergovernmental Relations, 35–53. Washington, DC: ACIR, 1987.
- "Opportunity, Diversity, and Complexity." In *Rethinking Institutional Analysis and Development: Issues, Alternatives, and Choices*, ed. Vincent Ostrom, David Feeny, and Hartmut Picht, 389–406. San Francisco, CA: ICS Press, 1988.
- "Cryptoimperialism, Predatory States, and Self-Governance." In *Rethinking Institutional Analysis and Development: Issues, Alternatives, and Choices*, ed. Vincent Ostrom, David Feeny, and Hartmut Picht, 43–68. San Francisco, CA: ICS Press, 1988.
- "Some Developments in the Study of Market Choice, Public Choice, and Institutional Choice." In *Handbook on Public Administration*, ed. Jack Rabin, W. Bartley Hildreth, and Gerald Miller, 861–82. New York: Marcel Dekker, 1989.
- "Dewey and Federalism: So Near and Yet So Far." In *Federalism as Grand Design*, ed. Daniel J. Elazar, 299–313. Lanham, MD: University Press of America, 1989.
- "The Problem of Sovereignty in Human Affairs." In *The Failure of the Centralized State: Institutions and Self-Governance in Africa*, ed. Dele Olowu and James Wunsch, 228–44. Boulder, CO: Westview Press, 1990.
- "American Constitutionalism and Self-Governance." In *European and American Constitutionalism in the Eighteenth Century*, ed. Michal Rozbicki, 33–43. Vienna: US Regional Program Office, 1990.
- "A Conceptual-Computational Logic for Federal Systems of Governance." In *Constitutional Design and Power-Sharing in the Post-Modern Epoch*, ed. Daniel J. Elazar, 3–21. Lanham, MD: University Press of America, 1991.
- "Votes and Vetoes" with Roberta Herzberg. In *The Public Sector—Challenge for Coordination and Learning*, ed. Franz-Xaver Kaufmann, 441–50. New York: Walter de Gruyter, 1991.
- "Constitutional Considerations with Particular Reference to Federal Systems." In *The Public Sector—Challenge for Coordination and Learning*, ed. Franz-Xaver Kaufmann, 141–50. New York: Walter de Gruyter, 1991.
- "Comment on the Political Economy Approach." In *Universal Economics: Assessing the Achievements of the Economic Approach*, ed. Gerard Radnitzky, 201–18. New York: Paragon House, 1992.
- "Cultures: Frameworks, Theories, and Models." In *Culture Matters: Essays in Honor of Aaron Wildavsky*, ed. Richard J. Ellis and Michael Thompson, 79–88. Boulder, CO: Westview Press, 1997.
- "El Federalismo en la Vida Cotidiana." In *Cuadernos de Federalismo I: Teoría y Praxis del Federalismo Contemporáneo*, Documentos del Coloquio I, 43–50. San Juan del Rio, Queretaro, Mexico, 1997.
- "Some Developments in the Study of Market Choice, Public Choice, and Institutional Choice." In *Handbook of Public Administration*, 2nd ed., ed. Jack Rabin, W. Bartley Hildreth, and Gerald J. Miller, 1065–87. New York: Marcel Dekker, 1998.
- "El Reto al que se Enfrenta la Revolución Mexicana en su Tercer Siglo." In *Cuadernos de Federalismo III: Federalismo: de la teoría a la práctica*, Documentos del Coloquio III, 11–20. Ciudad de Pachuca, Hidalgo. Mexico: Instituto Cultural Ludwig von Mises, 1998.
- "Cryptoimperialism, Predatory States, and Self-Governance." In *Polycentric Governance and Development: Readings from the Workshop in Political Theory and Policy Analysis*, ed. Michael McGinnis, 166–85. Ann Arbor: University of Michigan Press, 1999.
- "Artisanship and Artifact." In *Polycentric Governance and Development: Readings from the Workshop in Political Theory and Policy Analysis*, ed. Michael McGinnis, 377–93. Ann Arbor: University of Michigan Press, 1999.
- "Public Goods and Public Choices." In *Polycentricity and Local Public Economies: Readings from the Workshop in Political Theory and Policy Analysis*, ed. Michael McGinnis, 75–103. Ann Arbor: University of Michigan Press, 1999.
- "Taking Constitutions Seriously: Buchanan's Challenge to Twentieth-Century Political Science." In *Competition and Cooperation: Conversations with Nobelists about Economics and Political Science*, ed. James E. Alt, Margaret Levi, and Elinor Ostrom, 123–36. New York: Russell Sage Foundation, 1999.
- "Legal and Political Conditions of Water Resource Development," with Elinor Ostrom. In *Water Resources and Economic Development*, ed. R. Maria Saleth, 308–21. Northampton, MA: Edward Elgar, 2002. (Reprint of 1972 article published in *Land Economics* 48(1) (Feb.): 1–14.)
- "The Quest for Meaning in Public Choice," with Elinor Ostrom. In *The Production and Diffusion of Public Choice Political Economy: Reflections on the V.P.I. Center*, ed. Joseph Pitt, Djavad Salehi-Isfahani, and Douglas Eckel, 105–47. Malden, MA: Blackwell, 2004.
- "Self-Government and Federalism." In *Federalism in America: An Encyclopedia*, vol. 2, ed. Joseph Marbach, Ellis Katz, and Troy Smith, 559–61. Westport, CT: Greenwood Press, 2006.
- "Some Developments in the Study of Market Choice, Public Choice, and Institutional Choice." In *Handbook of Public Administration*, 3rd ed., ed. Jack Rabin, W. Bartley Hildreth, and Gerald J. Miller, 1101–21. New York: CRC Press, 2007.

- "Constitutional Foundations for a Theory of System Comparisons." In *The Struggle to Constitute and Sustain Productive Orders: Vincent Ostrom's Quest to Understand Human Affairs*, ed. Mark Sproule-Jones, Barbara Allen, and Filippo Sabetti, 11–26. Lanham, MD: Lexington Books, 2008.
- "The Normative and Limiting Conditions in a Polycentric Political Order." In *The Struggle to Constitute and Sustain Productive Orders*, ed. Mark Sproule-Jones, Barbara Allen, and Filippo Sabetti, 29–43. Lanham, MD: Lexington Books, 2008.
- "American Experience in Metropolitan Governance." In *The Struggle to Constitute and Sustain Productive Orders: Vincent Ostrom's Quest to Understand Human Affairs*, ed. Mark Sproule-Jones, Barbara Allen, and Filippo Sabetti, 201–15. Lanham, MD: Lexington Books, 2008.
- "Beyond Public Choice." In *The Practice of Constitutional Development: Vincent Ostrom's Quest to Understand Human Affairs*, ed. Filippo Sabetti, Barbara Allen, and Mark Sproule-Jones, 59–70. Lanham, MD: Lexington Books, 2009.
- "Federalism, Polycentricity, and *Res Publica*: Some Reflections on the American Experiments in Republican Government." In *The Practice of Constitutional Development: Vincent Ostrom's Quest to Understand Human Affairs*, ed. Filippo Sabetti, Barbara Allen, and Mark Sproule-Jones, 31–57. Lanham, MD: Lexington Books, 2009.
- "Constitutional Level of Analysis: Problems and Prospects." In *The Practice of Constitutional Development: Vincent Ostrom's Quest to Understand Human Affairs*, ed. Filippo Sabetti, Barbara Allen, and Mark Sproule-Jones, 15–29. Lanham, MD: Lexington Books, 2009.
- "Citizen-Sovereigns: The Implications of Hamilton's Query and Tocqueville's Conjecture about the Democratic Revolution." In *Conversations with Tocqueville: The Global Democratic Revolution in the Twenty-First Century*, ed. Aurelian Craiutu and Sheldon Gellar, 19–30. Lanham, MD: Lexington Books, 2009.
- "Why Were There So Many Governance Failures in the Twentieth Century?" In *Community Governance from Multicultural Perspectives: Theory and Practice*, ed. Youhong Chen, 323–35. Beijing, China: Peking University Press, 2009.
- "The Institutional Perspective on Values and Virtues," with Elinor Ostrom. In *Value and Virtue in Public Administration: A Comparative Perspective*, ed. Michiel De Vries and Pan Suk Kim, 115–34. New York: Palgrave Macmillan, 2011.

Journal Articles

- "State Administration of Natural Resources in the West." *American Political Science Review* 47 (1953): 478–93.
- "The Social Scientist and the Control and Development of Natural Resources." *Land Economics* 29 (1953): 105–16.
- "The Political Structure of a Small Community," with Robert E. Agger. *Public Opinion Quarterly* 20 (1956): 81–89.
- "Tools for Decision-Making in Resource Planning." *Public Administration Review* 19 (1959): 114–21.
- "Knowledge, Science, and Policy." *American Behavioral Scientist* 4 (1961): 30–32.
- "Prediction and Planning: Some Problems in the Study of Political Behavior," with Elinor Scott. *Western Political Quarterly* 14(3) (1961): 49–50.
- "The Organization of Government in Metropolitan Areas: A Theoretical Inquiry" with Charles M. Tiebout and Robert Warren. *American Political Science Review* 55 (1961): 831–42.
- "The Water Economy and Its Organization." *Natural Resources Journal* 2 (1962): 55–73.
- "The Political Economy of Water Development." *American Economic Review* 52 (1962): 450–58.
- "Developments in the 'No-Name' Fields of Public Administration." *Public Administration Review* 24(1) (1964): 62–63.
- "The Interrelationship of Politics and Education." *The National Elementary Principal* 43(3) (1964): 6–11.
- "A Behavioral Approach to the Study of Intergovernmental Relations," with Elinor Ostrom. *The Annals of the American Academy of Political and Social Science* 359 (1965): 137–46.
- "Water and Politics: California Style." *Arts and Architecture* 84 (1967): 14–16, 32.
- "Political Feasibility." (One in a series on "NAWPA: A Continental Water System.") *Bulletin of the Atomic Scientists* 23 (1967): 13–17.
- "Operational Federalism: Organization for the Provision of Public Services in the American Federal System." *Public Choice* 6 (1969): 1–17.
- "A Political Scientist's Perspective of Government Efficiency." *The Review* 12(2) (1970): 4–11.
- "Public Choice: A Different Approach to the Study of Public Administration," with Elinor Ostrom. *Public Administration Review* 13 (1971): 203–16.
- "Legal and Political Conditions of Water Resource Development," with Elinor Ostrom. *Land Economics* 47 (1972): 1–14.
- "Theory of Public Policy." *Policy Studies Journal* 1(2) (1972): 64–66.
- "Can Federalism Make a Difference?" *Publius: The Journal of Federalism* 3 (1974): 197–238.
- "The Study of Federalism at Work." *Publius: The Journal of Federalism* 4 (1974): 1–17.
- "Language, Theory and Empirical Research in Policy Analysis." *Policy Studies Journal* 3 (1975): 274–81.

- "Alternative Approaches to the Organization of Public Proprietary Interests." *Natural Resources Journal* 15 (1975): 765–89.
- "Public Choice Theory: A New Approach to Institutional Economics." *American Journal of Agricultural Economics* 58 (1975): 844–50.
- "The American Experiment in Constitutional Choice." *Public Choice* 27 (1976): 1–19.
- "The American Contribution to a Theory of Constitutional Choice." *Journal of Politics* 38 (1976): 56–78.
- "John R. Commons' Foundations for Policy Analysis." *Journal of Economic Issues* 10 (1976): 839–57.
- "The Contemporary Debate Over Centralization and Decentralization." *Publius: The Journal of Federalism* 6 (1976): 21–32.
- "Some Problems in Doing Political Theory: A Response to Golembiewski's 'Critique.'" *American Political Science Review* 71 (1977): 1508–31. [Article written in response to Robert T. Golembiewski's "A Critique of 'Democratic Administration' and Its Supporting Ideation." *American Political Science Review* 71 (1977): 1488–507.]
- "The Undisciplined Discipline of Public Administration: A Response to Stillman's Critique." *Midwest Review of Public Administration* 11(4) (1977): 304–308.
- "The Third Century: Some Anticipated Consequences of Governmental Reorganization." *Publius: The Journal of Federalism* 8 (1978): 121–32.
- "Organizational Rationality, Congressional Oversight, and Decentralization: An Exchange," with John Brademas, Neil Peirce, Elliot Richardson, and Caspar W. Weinberger. *Publius* 8(2) (1978): 111–19.
- "Dewey and Federalism: So Near and Yet So Far." *Publius: The Journal of Federalism* 9 (1979): 87–102.
- "Artisanship and Artifact." *Public Administration Review* 40(4) (1980): 309–17.
- "Hobbes, Covenant, and Constitution." *Publius: The Journal of Federalism* 10(4) (1980): 83–100.
- "The Meaning of Value Terms." *American Behavioral Scientist* 28(2) (1984): 249–62.
- "The Meaning of Federalism in *The Federalist*: A Critical Examination of the Diamond Theses." *Publius: The Journal of Federalism* 15(1) (1985): 1–21.
- "Historical Circumstances and Theoretical Structures as Sources of Meaning: A Response." *Publius: The Journal of Federalism* 15(1) (1985): 55–64.
- "Constitutional Processes and Federal Structure." *Teaching Politics* 14(2) (1986): 328–343.
- "Cryptoimperialism, Socialism, and Self-Government." *The Quarterly Journal of Administration* 21(1&2) (1986/87): 4–25.
- "Buchanan and the Constitutional Bases of Political Decision Making." *PS* 20(2) (1987): 242–46.
- "Religion and the Constitution of the American Political System." *Emory Law Journal* 39(1) (1990): 165–90.
- "An Inquiry Concerning Liberty and Equality in the American Constitutional System." *Publius: The Journal of Federalism* 20(2) (1990): 33–52.
- "Problems of Cognition as a Challenge to Policy Analysts and Democratic Societies." *Journal of Theoretical Politics* 2(3) (1990): 243–62.
- "Courts and Collectivities." *Brigham Young University Law Review* 1990(3) (1990): 857–72.
- "PEGS and the Workshop." *The Newsletter of PEGS* 2(1) (1992): 6–8.
- "Epistemic Choice and Public Choice." *Public Choice* 77(1) (1993): 163–76.
- "Where to Begin?" *Publius: The Journal of Federalism* 25(2) (1995): 45–60.
- "The Constitutive Character of Norms in Human Societies." *Hong Kong Public Administration* 4(2) (1995): 161–79.
- "The Challenge of the Quest for Excellence." *International Journal of Public Administration* 19(2) (1996): 125–49.
- "Faustian Bargains." Special issue, *Constitutional Political Economy* 7(4) (1996): 303–308.
- "The Great Transformation." *PEGS (The Good Society)* 8(2) (1998): 25–28.
- "Revolutionary Transformations." *Zbornik* 50(4) (2000): 555–70.
- "Democratic Transformations: From the Struggle for Democracy to Self-Governance?" with Michael McGinnis. *Journal of Beijing Administrative College* 2-3 (2000).
- "The Quest for Meaning in Public Choice," with Elinor Ostrom. *Zbornik* 51(1) (2001): 119–52.
- "The Challenge of Modernity: Seeing Like Citizens." *PEGS (The Good Society)* 10(2) (2001): 40–41.
- "Transformaciones Revolucionarias" (Revolutionary Transformations). *Política y Gobierno* 9(1) (2002): 225–45.
- "The Quest for Meaning in Public Choice," with Elinor Ostrom. *American Journal of Economics and Sociology* 63(1) (2004): 105–47.
- "Citizen-Sovereigns: The Source of Contestability, the Rule of Law, and the Conduct of Public Entrepreneurship." The John Gaus Award Lecture. *PS: Political Science & Politics* 39(1) (2006): 13–17.
- "Fondamenti costituzionali per una teoria delle comparazioni tra sistemi" (Constitutional Foundations for a Theory of System Comparisons). *La Rivista delle Politiche Sociali* (Italian Journal of Social Policy): no. 2 (2006): 127–43.
- "Buchanan's Opening to Constitutional Choice and Meta-Levels of Analysis." *Public Choice* 152(3-4) (2012): 427–31.

SELECTED PAPERS PRESENTED AT SCHOLARLY MEETINGS

- "Polycentricity." Presented at Workshop on Metropolitan Governance, American Political Science Association Meeting, Washington, DC, September 5–8, 1972.
- "David Hume as a Political Analyst." Presented at conference on the Scottish Contributions to Social and Political Philosophy, held at Dalhousie University, Halifax, Nova Scotia, November 29–30, 1976.
- "Freedom and Organization." Presented at the American Political Science Association, Chicago, IL, September 1–4, 1983.
- "A Computational-Conceptual Logic for Federal Systems of Governance." Presented at conference of the International Association of Centres for the Study of Federalism on "Constitutional Rule and Shared Power," Jerusalem, Israel, October 31–November 2, 1984.
- "Worker Self-Management in American Society." Prepared for a post-graduate course, "Participation, Workers' Control and Self-Management," Inter-University Centre of Post-Graduate Studies, Dubrovnik, Yugoslavia, January 28–February 15, 1985.
- "Cryptoimperialism." Lecture presented at McMaster University, Hamilton, Ontario, Canada, March 21, 1985.
- "The Constitution of Order in Human Societies: Conceptualizing the Nature and Magnitude of the Task in Institutional Analysis and Development." Presented at the International Political Science Association meetings, Paris, July 15–20, 1985.
- "Opportunity, Diversity, and Complexity." Presented at a conference on Multi-Actor Policy Analysis: The Scope and Direction of Policy Recommendations, University of Umea, Umea, Sweden, July 23–25, 1985.
- "American Experience in Metropolitan Governance." Presented at the Fourth International Conference on Urban Government, Milan, Italy, January 30, 1987.
- "Constitutional Foundations for a Theory of System Comparisons: An Inquiry into Problems of Incommensurability, Emergent Properties, and Development." Presented at the Radein Research Seminar, Bolzano, Italy, February 14–25, 1987.
- "Guidance, Control, and Performance in the Public Sector." Presented at the Workshop on Improving Performance in Nigeria's Public Sector, Obafemi Awolowo University, Ile-Ife, Nigeria, April 21–23, 1987.
- "American Constitutionalism and Self-Governance." Presented at the conference on European and American Constitutionalism of the 18th Century, Warsaw, Poland, May 20–22, 1987.
- "An Inquiry Concerning Liberty and Equality in the American Constitutional System." Presented at the conference on Advances in Comparative Institutional Analysis, Dubrovnik, Yugoslavia, October 19–23, 1987 and at the Liberty Fund conference on Liberty and the American Constitution, Temple University, Philadelphia, PA, November 8–10, 1987.
- "Some Critical Reflections on the Political Economy Approach: Comments on Peter Ordeshook's 'The Reintegration of Political Science and Economics and the Presumed Imperialism of Economic Theory.'" Presented at the colloquium on Assessment of the Economic Approach, International Conference on the Unity of the Sciences, Atlanta, November 27–29, 1987.
- "Federalism, Polycentricity, and Res Publica: Some Reflections on the American Experiments in Republican Government." Presented at the conference on Res Publica: East and West, Dubrovnik, Yugoslavia, October 10–14, 1988.
- "Hamilton's Theorem, Coexisting Governments, and Jurisprudential Puzzles." Presented at the Liberty Fund Conference on Liberty and Responsibility in the Writings of The Federalists and Anti-Federalists, Philadelphia, PA, November 12–14, 1988.
- "Courts and Collectivities." Presented at the conference on Public Choice and the Role of the Judiciary, Wellsville, UT, November 9–11, 1989.
- "Problems of Cognition as a Challenge to Policy Analysts and Democratic Societies." Presented at the conference on The World in Transition, Warsaw, Poland, May 17–21, 1990.
- "Problems of Institutional Transition in the Contemporary World." Presented at the First Central and Eastern Europe Region Correspondent Institutes Regional meeting, Popowo, Poland, May 26–29, 1991.
- "Some Ontological and Epistemological Puzzles in Policy Analysis." Presented at the American Political Science Association meeting, at a panel on "The Future of Public Policy Analysis," Washington, DC, August 29–September 1, 1991.
- "The Transformation of Institutions: Some Critical Reflections." Presented at the International Political Science Association meeting, at a panel on "Institutional Change," Buenos Aires, Argentina, July 23, 1991.
- "Democracy, Governance, and Development." Presented at the Agency for International Development Workshop on Democracy and Governance, Falls Church, VA, September 19–20, 1991.
- "Governance and Democracy." Presented at a conference on "Between Government and Society," University of Twente, Enschede, the Netherlands, November 21, 1991.
- "Between State and Society: The Place for Democracy?" Presented at a conference on "The Theory of Democratic Institutions," Taipei, Taiwan, January 4–7, 1992.

- “The Human Condition II: Individual and System in Societies.” Presented at the Individual/System Seminar, Merriam Laboratory for Analytic Political Research, University of Illinois at Urbana-Champaign, February 14–15, 1992.
- “The Problem of Newspeak.” Presented at a conference on “The World in Transition. Transformation in the System of Political and Economic Institutions,” sponsored by the Institute of Economic Policy of the Russian Academy of National Economy, Moscow, May 25–29, 1992.
- “Institution of Languages, Systems of Knowledge, and Ways of Life: Conjectures about the African and European Experiences.” Presented at a seminar on “Institutional Economics and Agriculture,” sponsored by the Centre de Cooperation Internationale en Recherche Agronomique pour le Developpement (CIRAD), Montpellier, France, September 7–10, 1992.
- “Great Experiments and the Welfare State: Basic Paradigmatic Challenges.” Presented at the International Political Science Association workshop on “What Developing Societies Can Learn from the Welfare States,” under the chairmanship of Professor Madan M. Sankhdher, Department of Political Science, University of Delhi, New Delhi, India, July 24–25, 1993.
- “The Quest for Excellence.” Presented at a conference on “Quest for Excellence: Public Administration in the Nineties,” jointly organized by the Department of Public and Social Administration, City Polytechnic of Hong Kong, and the Hong Kong Public Administration Association, Hong Kong, February 25–26, 1994.
- “Comparing African and European Experiences: The Place of Literate Vernacular Languages in Cultural Development.” Presented at the “Workshop on the Workshop” conference on “Interpreting Social Experiments,” Workshop in Political Theory and Policy Analysis, Indiana University, Bloomington, June 16–18, 1994.
- “Great Experiments and the Welfare State: Basic Paradigmatic Challenges.” Presented at the Study Group Session on “Comparative Welfare States in a Global Perspective/L’Etat-providence: analyse comparative selon une perspective mondiale,” IPSA XVIth World Congress, Berlin, Germany, August 21–25, 1994.
- “Federalism in the Affairs of Everyday Life.” Presented at a colloquium on “Theory and Practice of Contemporary Federalism,” San Juan del Río, Querétaro, June 13–15, 1996.
- “Some Conjectures about the Shape of the Political Science to Come.” Presented at the Annual Meeting of the American Political Science Association, San Francisco Hilton and Towers, August 29–September 1, 1996.
- “The Inevitability of Democracy.” Prepared for a series of presentations in the People’s Republic of China and the Republic of Korea, August 1997.
- “Buchanan’s Opening to Constitutional Choice and Meta Levels of Analysis.” Presented at the Annual Meeting of the American Political Science Association, Washington, DC, August 28–31, 1997.
- “The Challenge Facing the Mexican Revolution in Its Third Century.” Presented at a colloquium on “Federalism: From Theory to Practice,” Pachuca, Hidalgo, Mexico, December 4–6, 1997.
- “Democratic Transformations: From the Struggle for Democracy to Self-Governance?,” with Michael McGinnis. Presented at the “Workshop on the Workshop 2” conference, Workshop in Political Theory and Policy Analysis, Indiana University, Bloomington, June 9–13, 1999.
- “The Meaning and Vulnerability of Democracies: A Challenge to Scholarship.” Presented at a panel on “The American Future: E Pluribus Unum or E Pluribus Pluribus,” Midwest Political Science Association meeting, Chicago, IL, April 15–17, 1999.
- “Some Requisites for Understanding Viable Constitutional Designs.” Presented at the Annual Meeting of the American Political Science Association, Atlanta, GA, September 2–5, 1999.
- “The Quest for Meaning in Public Choice,” with Elinor Ostrom. Presented at conference on “Production and Diffusion of Public Choice,” Virginia Polytechnic Institute and State University, Blacksburg, VA, May 19–20, 2000.
- “Why Were There So Many Constitutional Failures in the Twentieth Century?” Presented at the Public Choice Society and Economic Science Association meeting, US Grant Hotel, San Diego, CA, March 22–24, 2002.
- “What Is Federalism? A Search for Meaning.” Presented at a seminar on “Governance and Federalism” for the ÍBERGOP-México A.C., Mexico City, September 23–24, 2002.
- “Political Theory and Public Administration.” Presented at the seminar on “Economics, Politics and Public Policy” held at Carleton University, Ottawa, Canada, June 18–21, 2003.
- “An Assessment of Failure and the Challenge Ahead.” Presented at conference on Tocquevillian Analytics and the Constitution of Order in Human Society, Workshop in Political Theory and Policy Analysis, Indiana University, Bloomington, November 23–28, 2003.
- “Citizen-Sovereigns: The Source of Contestability, the Rule of Law, and the Conduct of Public Entrepreneurship.” John Gaus Award lecture presented at the 101st Annual Meeting of the American Political Science Association, Washington, DC, September 1–4, 2005.