



INDIANA UNIVERSITY

ANNUAL REPORT 2019

THE VINCENT AND ELINOR OSTROM WORKSHOP

UNIT OVERVIEW

Scott Shackelford,
Executive Director

Emily Castle,
Assistant Director



MISSION STATEMENT

To build upon the
theme of governance to
understand and address
major societal problems.



We are at the beginning of a new chapter in the storied history of the Ostrom Workshop. Our goal will be to honor and build upon the Ostroms' legacy along with the historical strengths of the unique community they created while continuing to push back the frontiers of governance research. In particular, our two-year strategic vision is as follows. Broadly, we seek to make the Workshop once again into a cutting-edge epicenter of research focusing on polycentric governance and the mitigation of collective action problems by building from the Ostroms' work and insights. In so doing, and in response to the 2019 Workshop External Review, we will create a welcoming environment for researchers, practitioners, and policymakers to learn about the Bloomington School of Political Economy, while also continuing to refine the theories pioneered here and experiment with new methodologies.

We have already made important progress toward this goal. Since August 2019, for example, we have: (1) reworked our grant policy to encourage more affiliates to run funding proposals through the Workshop—indeed, 2019 was a banner year with 20 grants submitted amounting to more than \$600,000 in new activity; (2) provided more opportunities for affiliate engagement—such as the first faculty affiliate meeting in five years; (3) brought back, and provided a mechanism for nominating new, Ostrom Workshop Senior Research Fellows; (4) reworked the Colloquium Series to make it more welcoming, such as by stopping the policy of mandatory attendance; (5) encouraged the community to launch working groups to complement the top-down Program structure with bottom-up engagement—11 have been launched in response to this initiative; (6) renewed and expanded the number of Ostrom Fellows; and (7) began a new Outreach strategy featuring a revised and more nimble Polycentric Circles Newsletter format, along with a new Workshop podcast (Governance Roundtable for the Ostrom Workshop). In addition, we hosted 21 visiting scholars, awarded 31 research awards and Ostrom fellows (a total of \$370,455), organized more than 50 events including several major conferences in Bloomington and across the nation.

Looking ahead, the Workshop's goals for the next two years may be broken down into near (through Spring 2020), medium (Fall 2020), and long-term (Spring 2021) time horizons. Over the short term, it is our first priority to formalize a more polycentric structure for the Workshop through the establishment of an empowered Workshop Advisory Council functioning under written bylaws. We will also be reviving and reconfiguring the external board, and beginning to host regular grant workshops with the new working groups – the first taking place on Feb. 19, 2020. Workshop leadership will also be reaching out to both historically core units across campus (O'Neill, Political Science, Anthropology) and seeking out new strategic partners (such as Hamilton-Lugar and Luddy). In Fall 2020, we will focus on deepening external partnerships by reintroducing the Workshop to the wider commons governance community with a series of special events co-sponsored with International Association for the Study of the Commons, "Governing the Commons: 30 Years Later" in Bloomington and at IU's Berlin Gateway. We will also work with partners across IU to renew opportunities for doctoral students to study at the Workshop, upgrade our research databases, and seek out new partnerships with domestic and international governance research centers.

Long-term, in 2021 and beyond, we will work to make the Workshop an epicenter for research relating to governance generally, and the Ostroms' work on commons and polycentricity in particular. To accomplish this, we will ensure an appropriate mix of Programs and working groups that are responsive to the 250+ faculty affiliates, practitioners, students, and visiting scholars associated with the Ostrom Workshop, which will be regularly reviewed and reconfigured as needed to fill gaps and play to the Workshop, and IU's, unique strengths.

A handwritten signature in black ink, appearing to read "Scott Shackelford".

Scott Shackelford
Executive Director

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FY 2019 Priorities

- WOW 6 Conference (June 12-21, 2019)
- External Review (June 17-18, 2019)
- Respond to External Review Critiques
 - Rework the grant policy to encourage more affiliates to run grant proposals through the Workshop
 - Provide more opportunities for both affiliate engagement—such as a WAF meeting (the first in five years)—and social networking
 - Bring back, and provide a mechanism for nominating new, Ostrom Workshop Senior Research Fellows
 - Rework Colloquium Series to make it more welcoming, such as by stopping the policy of mandatory attendance
 - Encourage the community to launch working groups
- Renew and expand the number of Ostrom Fellows
- Outreach: Launch a revised and more nimble *Polycentric Circles* Newsletter format, along with a new Workshop podcast and a YouTube channel.



FY 2020 Priorities

- **Governance Restructuring:** relaunching the Workshop Advisory Council, writing bylaws
- **Upgrading Research Databases:** focusing on the Digital Library of the Commons, and the proposed new Polycentricity Database
- **Campus Outreach:** deepening ties with historic key Workshop partners such as O'Neill and the College, and strategically engage with new stakeholders including HLS, Luddy, Media, and Kelley)
- **External Outreach:** re-establishing the Workshop as a global center of commons governance by celebrating the 30-year anniversary of *Governing the Commons*; co-sponsored with IASC, *Governing the Commons: 30 Years Later*; highlight the work of affiliates through the new Governance Roundtable of the Ostrom Workshop (GROW) podcast; and produce a new children's book – *Lin's Uncommon Life* – cataloging Lin Ostrom's amazing journey and what it can teach the next generation
- **Working Groups:** launching an ecosystem of new working groups that reflect the diversity of research interests among the Workshop's 250+ affiliates
- **External Board:** reviving external board to be both a source of fundraising and research connections for the Workshop
- **Programs:** reworking the Programs to better align with Director interests and community demands, including relaunching PELIO as the Political Economy Program (PEP), while establishing clear criteria for success/review
- **Community Engagement:** launching a revised and more nimble *Polycentric Circles* Newsletter Format
- **Ostrom Fellows:** renewing and expand the number of Ostrom Fellows
- **Active Community:** encouraging more groups to make use of Ostrom Workshop resources, staff, and facilities, such as through the establishment of the new IU Cybersecurity Clinic at 513 Park Ave.

Colloquium Series, Research Series and Tocqueville Lectures



Colloquium Lecture Series

The **Colloquium Series** takes place on Mondays at noon and is a lively discussion of a presenter's unpublished paper. The **Research Series** on Wednesdays at noon provides a forum for visiting scholars and graduate students funded by the

Workshop to discuss their current research work. The **Tocqueville Lecture Series** has a more formal presentation structure.

Conferences, Meetings, and Annual Lectures

In FY2019, the Ostrom Workshop hosted and/or sponsored a number of events, including:

- The Ostrom Lecture on Environmental Policy
- Research Group on Political Institutions and Economic Policy (PIEP)
- Rebel Governance Network Workshop
- Workshop on the Ostrom Workshop (WOW6)
- 10th Anniversary of Elinor Ostrom's Nobel
- Ostrom Memorial Lecture
- Governing New Frontiers in the Information Age - Toward Cyber Peace
- Chinese Academy of Social Scientists Meeting: Ethics in the Information Age
- Symposium on Natural Research Governance for Young Scholars
- Y673/P710 Institutional Theory Mini-Conference

Working Groups

For many years, The Ostrom Workshop has facilitated the establishment of self-organized working groups. These groups provide scholars with common interests a platform to discuss their current research across disciplines, benefiting from each other's commentary and criticism. The composition and goals of these groups vary, but many are focused around a set of particular research questions and have resulted in published papers, a research design, a grant proposal, or other joint undertaking. The following Working Groups were established in 2019.

- Artistic Inspiration and the Commons
- Blockchain Governance Initiative
- Cyber Ethics and Technology Society
- Cyber Peace
- Data Management and Information Governance Luncheon Networking Series
- Digital Governance: Digital Environments as a Commons
- Information Governance Reading Group: Cookies and Hard Problems
- Law and Economics
- Polycentricity
- Ungoverned Spaces
- Property Rights of Urban Natural Resources and Sustainability
- Space Governance



Workshop on the Ostrom Workshop (WOW6)



Panel at WOW6 Conference

Held every five years since 1994, WOW6, held June 19 - 21, enabled researchers, students, and alumni from the Ostrom Workshop to reconnect for presentations and discussions of their work and areas of common interest.

From governing metropolitan areas to governing the commons and beyond, scholars and students at or influenced by the Ostrom Workshop have been interested in governance. This interest in governance has been pursued and advanced from many disciplinary perspectives, and it has been applied to a multitude of topics, problems, and situations—including domains such as cyberspace and challenges such as climate change, of which only a few were even dimly aware when the Ostrom Workshop was founded.

IU Historical Marker Ceremony/ 10th Anniversary Celebration of Elinor Ostrom' Nobel Win

A day of commemorative events celebrating the 10th anniversary of Elinor Ostrom receiving the Nobel Prize in Economic Sciences. Events of the day included the dedication of the Bloomington Campus's first historical marker in honor of "Lin", a pioneering, influential scholar whose life and career brought great distinction to Indiana University. Later in the

day, a celebration and reception was held at the University Club in the Indiana Memorial Union. Featured speakers were former directors Mike McGinnis and Jimmy Walker, and former Ostrom student and 2019 Ostrom Workshop Senior Research Fellow awardee Bill Blomquist.

Ostrom Memorial Lecture

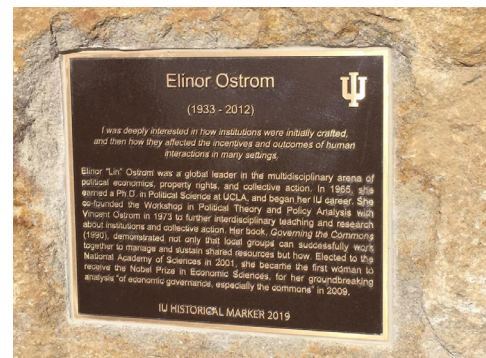
Established in 2015 by former director Lee Alston, the Vincent and Elinor Ostrom Memorial Lecture honors the memory of the Ostroms.

The sixth annual Ostrom Memorial Lecture was presented by veteran journalist and widely-read author Doc Searls. His talk, titled "Saving the Internet: And All the Commons It Makes Possible" was presented on Wednesday October 9th, at the Maurer School of Law Moot Court Room.

Ostrom Lecture on Environmental Policy

The third annual Ostrom Lecture on Environmental Policy was presented by Barry Rabe, Ford School of Public Policy, University of Michigan. Rabe's lecture was titled, "The Politics of Carbon Pricing."

The lecture was held on Wednesday, April 17 at the University Club in the Indiana Memorial Union and was followed by a reception.



Elinor Ostrom Historical Marker

Research programs are the heart of what we do. In July 2016, we established our first program on “Natural Resource Governance,” followed by the programs on “Cybersecurity and Internet Governance” (January 2017), “Data Management and Information Governance” (November 2017), and “Political, Economic, and Legal Institutions and Organizations (PELIO)” (January 2018). Additional research areas for new programs include financial organizations, public health, education, and international organizations.

Cybersecurity and Internet Governance



IU ANU Group Photo

The Program on Cybersecurity and Internet Governance, brings together scholars from across Indiana University and beyond to build scholarly networks, as well as seek out partnerships with managers and policymakers in the United

States and abroad in order to translate research findings into effective policy. A polycentric approach to both Internet governance and cybersecurity risk management will be encouraged in this program with scholars coming from diverse backgrounds including, but not limited to: Secure Computing, Law, Business, Criminology, Economics, Ethics, Public Policy, Media, Education, Psychological and Brain Sciences, Political Science, and International Relations. Only through such a multi-disciplinary, multi-sector, multi-stakeholder partnership might meaningful progress be made toward engendering sustainable models of Internet governance and an equitable cyber peace. Towards that end, this program is a founding member of the Cyber Peace Alliance, in collaboration with the Cyber Peace Foundation.

Today, the Cybersecurity and Internet Governance Program has grown to include more than 85 affiliates from around the world representing institutions as diverse as Harvard and the U.S. Chamber of Commerce to Lawrence Livermore National Lab and the EastWest Institute. In 2019, the Program hosted two major events – a “Making Democracy Harder to Hack” workshop with Australian National University in Canberra, Australia, and a Cyber Peace Workshop with NYU in New York. In

addition. The Program was successful in attracting more than \$600,000 in new grant funding to launch new research projects along with the IU Cybersecurity Clinic, which will be housed at the Ostrom Workshop.

Natural Resource Governance



Young Scholars Symposium

The Program on Natural Resource Governance has developed a network of scholars to examine the institutions that govern natural resources. The program supports the work of its network, including those from IU and around the world. At

IU, the program draws on faculty from Anthropology, Economics, Geography, Law, Political Science, the School of Global & International Studies, and the School of Public & Environmental Affairs, continuing a long Ostrom Workshop tradition.

The NRG Program has many components and over 30 affiliated faculty. The annual Ostrom Lecture on Environmental Policy is given in the spring semester by a distinguished scholar-in-residence. The Symposium on Natural Resource Governance for Young Scholars convenes ten young scholars from around the country for two days in November to be mentored by senior scholars and present their research projects. The Natural Resource Governance Seminar Series is held in collaboration with the Department of Economics, School of Public & Environmental Affairs, and Maurer School of Law. The Natural Resource Governance Speaker Series consists of occasional lectures and roundtables given by faculty at IU and around the country. Outreach and Collaboration projects with innovative organizations include groups from the University of Oslo and NYU.

Data Management and Information Governance

The Program on Data Management and Information Governance fosters a collaborative, multidisciplinary, multisector, multistakeholder environment in which scholars, policymakers, and industry professionals can work together to translate research findings into effective policy. The program seeks to address issues associated with data management and information governance through the exploration and creation of multidisciplinary structures, policies, procedures, processes, and controls implemented to manage data and information.



Activities and Accomplishments

- Data Management and Information Governance Speaker Series – Brought in 2 speakers during Spring 2019.
- Smart Cities: Security, Privacy, and Governance Best Practices Conference, October 2019 (sponsor/co-host)
- Consumer Financial Data Conference/Working Group- Wash. D.C.; April 2019
- The Ethics of Big Data (Washington and Lee) Co-host/ sponsor; April 2019
- Privacy Law Scholars, reviewer, presenter, key participant; (May 2019)
- Indianapolis Consumer Data/Protection Speaker, (brought student driven paper and hosted) June 2019
- Transforming Research (Science Data Best Practices) Wash. DC; Sept 2019
- Doc and Joyce Searls, Lecture Series (and Memorial Lecture); Oct. 2019
- ODR Forum, Data Management and Consensus collaborative communities; Virginia; Oct. 2019
- Digital Governance Conference; Co-Host/Sponsor; Wash. DC; Oct 2019

Working Groups

- Digital Governance
- Artistic Expression

Political, Economic, and Legal Institutions and Organizations

In the Spring 2019, PELIO organized a conference on Rebel Groups. Prestigious scholars working on different features of rebel groups gathered in Bloomington to exchange ideas, approaches and methodologies to improve research on governance issues associated with rebel groups. The conference produced a collective paper written by all the participants (“Ruling Rebellions: Learning about Governance from Rebel Groups.”) In the Spring 2019, PELIO organized the PIEP conference. PEIP brought to Bloomington top scholars working on institutional analysis to intensively discuss three papers.

Until the summer 2019, PELIO was directed by Cyanne Loyle, who did a fantastic job starting the program and building a community interested in PELIO. In the Fall 2019, Gustavo Torrens became the interim director. Goring forward, PELIO will be focused on big picture/ fundamental questions related to long run economic and political development such as:

- What are the fundamental determinants of long run economic performance?
- Why some countries/regions are rich and others poor?
- What is the role of economic and political institutions on long run economic performance and development?
- What are the key mechanisms that generate institutional persistence and/or trigger institutional change?

Each year, we also plan to select one or two topics to organize the activities of the program and have an annual lecture offered by a top scholar who has performed path breaking research on these topics. Finally, we will soon announce a change in the name of the program. PELIO will become the POLITICAL ECONOMY (PE) program.

Working Groups

- Ungoverned Spaces working group focuses on research around the challenges and solutions to issues of governance across a range of actors and actions in areas of the world that are unregulated or underregulated.

INDIANA UNIVERSITY
VINCENT AND ELINOR OSTROM WORKSHOP

513 N. Park Ave.
Bloomington, IN 47408
workshop@indiana.edu
ostromworkshop.indiana.edu
Phone: (812) 855-0441
Fax: (812) 855-3150



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